

Usage Guideline

Corporate Action Notification Eligible Balance seev.031.001.16

DTCC - US - CA - Payment Processing - SR 2026

This document describes a usage guideline restricting the base message **MX seev.031.001.16**. You can also consult [this information online](#).

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Message Functionality

Overview

This message is sent to the specific participant (an account) when a position capture date has been reached to notify details of eligible balance only. It may only contain details of the corporate action for Redemption events. It is NOT meant to replace the Corporate Action Announcement CANO.

Collection Description

Outline

The DTCC - US - CA - Payment Processing - SR 2026 / Corporate Action Notification Eligible Balance seev.031.001.16 message is composed of 25 building blocks

a. Pagination

Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

b. NotificationGeneralInformation

General information about the event notification type, status and contents.

c. PreviousNotificationIdentification

Identification of the previously sent notification document.

d. InstructionIdentification

Identification of a related instruction document.

e. OtherDocumentIdentification

Identification of other documents as well as the document number.

f. EventsLinkage

Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

g. CorporateActionGeneralInformation

General information about the corporate action event.

h. AccountDetails

General information about the safekeeping account, owner and account balance.

i. IntermediateSecurity

Details on rights credited to the account as for example trading period, expiry date, renounceability.

j. CorporateActionDetails

Information about the corporate action event.

k. CorporateActionOptionDetails

Information about the corporate action option.

l. AdditionalInformation

Additional information on the event.

m. IssuerAgent

Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

n. PayingAgent

Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

o. SubPayingAgent

Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

p. Registrar

Party/agent responsible for maintaining the register of the security.

q. ResellingAgent

Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

r. PhysicalSecuritiesAgent

Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

s. DropAgent

Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

t. SolicitationAgent

Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

u. InformationAgent

Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

v. Issuer

Issuer of the financial instrument.

w. Offeror

Party making the offer and which is different from the issuing company.

x. TransferAgent

Trust company, bank or similar financial institution appointed by a fund management company to maintain records of investors and account balances. The transfer agent records transactions, including subscriptions, redemptions, switches, transfers, and re-registrations.

y. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

1 Restriction summary

This Usage Guideline restricts the seev.031.001.16 message.

Restriction Type	See page
Removed elements	25, 26, 27, 29, 33, 33, 34, 34, 35, 35, 36, 36, 37, 37, 38, 38, 42, 45, 46, 57, 57, 58, 58, 58, 58, 58, 58, 59, 59, 59, 59, 61, 61, 62, 62, 67, 68, 70, 71, 71, 72, 72, 73, 73, 73, 76, 77, 78, 78, 86, 86, 87, 88, 88, 88, 89, 89, 89, 90, 90, 90, 90, 91, 91, 92, 92, 92, 93, 94, 95, 96, 96, 97, 98, 98, 99, 99, 99, 100, 121, 124, 125, 127, 127, 128, 128, 129, 131, 134, 134, 136, 136, 137, 137, 138, 138, 140, 141, 141, 142, 142, 143, 143, 144, 144, 145, 145, 145, 146, 147, 147, 148, 148, 149, 149, 150, 150, 151, 151, 152, 152, 153, 153, 154, 154, 155, 155, 156, 156, 157, 158, 158, 162, 164, 166, 170, 173, 174, 174, 175, 175, 176, 176, 178, 178, 179, 179, 180, 180, 181, 181, 182, 182, 183, 183, 187, 188, 188, 189, 189, 190, 190, 190, 191, 191, 192, 192, 192, 193, 194, 195, 196, 196, 218, 242, 242, 242, 242, 242, 247, 258, 259, 260, 261, 268, 268, 269, 269, 269, 270, 270, 270, 271, 271, 271, 271, 272, 272, 272, 273, 273, 274, 274, 275, 275, 276, 278, 278, 279, 279, 280, 280, 280, 280, 281, 281, 281, 282, 282, 282, 283, 283, 284, 284, 285, 285, 286, 286, 306, 307, 307, 307, 307, 307, 307, 307, 307, 307, 307, 307, 307, 307, 337, 364, 366, 369, 369, 369, 369, 369, 369, 369, 369, 369, 369, 369, 369, 380, 412, 412, 412, 412, 412, 412, 412, 413, 414, 466, 467, 467, 468, 469, 469, 469, 470, 475, 476, 476, 477, 477, 478, 478, 479, 479, 480, 480, 481, 482, 482, 483, 488, 488, 489, 489, 490, 496, 500, 500, 508, 508, 508, 509, 510, 511, 511, 524, 524, 524, 524, 525, 525, 525, 527, 527, 527, 528, 532, 533, 535, 535, 535, 537, 539, 542, 544, 545, 550, 551, 553, 554, 555, 555, 556, 556, 557, 558, 560, 571, 572, 572, 572, 573, 573, 574, 579, 579, 579, 579, 607, 607, 607, 608, 608, 608, 608, 609, 609, 609, 610, 610, 610, 611, 611, 649, 649, 649
Make mandatory	n.a.
Reduce Multiplicity	45, 126
Ignore	n.a.
Text rules	25, 120, 121, 122, 123, 123, 126, 166, 167, 168, 177, 199, 298, 298, 298, 298, 298, 298,

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[illegible]

Restriction Type	See page
	406, 406, 406, 406, 406, 406, 406, 406, 407, 407, 407, 407, 407, 407, 407, 407, 407, 407, 407, 407, 408, 408, 408, 408, 408, 408, 408, 408, 408, 408, 409, 409, 409, 409, 409, 409, 409, 409, 409, 409, 409, 410, 416, 416, 416, 416, 416, 417, 417, 417, 417, 417, 428, 429, 431, 431, 431, 431, 431, 432, 432, 434, 434, 435, 435, 435, 436, 436, 436, 436, 436, 437, 441, 441, 441, 451, 451, 452, 453, 455, 456, 457, 459, 471, 472, 492, 492, 492, 492, 495, 495, 501, 501, 502, 502, 502, 502, 502, 502, 503, 503, 503, 503, 504, 504, 504, 504, 504, 505, 505
Extensions	n.a.
Synonyms	25, 41, 94, 97, 120, 121, 122, 123, 123, 124, 125, 129, 130, 147, 165, 165, 167, 168, 169, 267, 327, 327, 337, 380, 492, 508, 509, 510, 510, 528, 529, 529, 529, 530, 530, 530, 531, 531, 531, 531, 532, 533, 533, 534, 534, 536, 536, 537, 538, 538, 539, 539, 539, 540, 541, 541, 541, 542, 543, 543, 543, 544, 544, 545, 545, 546, 546, 547, 547, 547, 548, 548, 549, 549, 549, 550, 551, 551, 551, 552, 552, 553, 554, 554, 554, 555, 557, 557, 558, 559, 559, 559, 560









2 Structure








Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	<i>CorporateActionNotificationV16</i>	<CorpActnNtfctn>		[1..1]		25
	Pagination	<Pgntn>		[0..1]		25
	PageNumber	<PgNb>		[1..1]		381
	LastPageIndicator	<LastPgInd>		[1..1]		381
	NotificationGeneralInformation	<NtfctnGnllnf>		[1..1]		25
	NotificationIdentification	<NtfctnId>		[0..1]		183
	NotificationType	<NtfctnTp>		[1..1]		183
	ProcessingStatus	<PrcgSts>		[1..1]		184
	PreviousNotificationIdentification	<PrvsNtfctnId>		[0..1]		26
	Identification	<Id>		[1..1]		257
	LinkageType	<LkgTp>		[0..1]		258
	InstructionIdentification	<InstrId>		[0..1]		26
	Identification	<Id>		[1..1]		261
	OtherDocumentIdentification	<OthrDocId>		[0..*]		26
	Identification	<Id>		[1..1]		258
	DocumentNumber	<DocNb>		[0..1]		259
	LinkageType	<LkgTp>		[0..1]		259
	EventsLinkage	<EvtsLkg>		[0..*]		27
	EventIdentification	<EvtId>		[1..1]		161
	LinkageType	<LkgTp>		[0..1]		161
	CorporateActionGeneralInformation	<CorpActnGnllnf>		[1..1]		27
	CorporateActionEventIdentification	<CorpActnEvtId>		[1..1]		165
	OfficialCorporateActionEventIdentification	<OffclCorpActnEvtId>		[0..1]		165
	ClassActionNumber	<ClssActnNb>		[0..1]		166
	EventProcessingType	<EvtPrcgTp>		[0..1]		166
	EventType	<EvtTp>		[1..1]		166
	MandatoryVoluntaryEventType	<MndtryVlntryEvtTp>		[1..1]		167
	UnderlyingSecurity	<UndrlygScty>		[1..1]		168

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountDetails	<AcctDtls>		[1..1]		28
	ForAllAccounts	<ForAllAccts>	{Or	[1..1]	⊘	44
	AccountsListAndBalanceDetails	<AcctsListAndBalDtls>	Or}	[1..*]	R[1..1]	45
	IntermediateSecurity	<IntrmdtScty>		[0..1]	⊘	28
	SecurityIdentification	<SctyId>		[1..1]		287
	Quantity	<Qty>		[0..1]	⚠	287
	RenounceableEntitlementStatusType	<RnnclEntitlmtStsTp>		[0..1]		288
	FractionDisposition	<FrctnDspstn>		[0..1]		288
	IntermediateSecuritiesToUnderlyingRatio	<IntrmdtSctiesToUndrlygRatio>		[0..1]		288
	MarketPrice	<MktPric>		[0..1]		289
	ExpiryDate	<XpryDt>		[1..1]		289
	PostingDate	<PstngDt>		[1..1]		289
	TradingPeriod	<TradgPrd>		[0..1]		290
	UninstructedBalance	<UinstdBal>		[0..1]		290
	InstructedBalance	<InstdBal>		[0..1]		290
	CorporateActionDetails	<CorpActnDtls>		[0..1]		29
	DateDetails	<DtDtls>		[0..1]		83
	PeriodDetails	<PrdDtls>		[0..1]	⊘	85
	RateAndAmountDetails	<RateAndAmtDtls>		[0..1]	⊘	86
	PriceDetails	<PricDtls>		[0..1]	⊘	87
	SecuritiesQuantity	<SctiesQty>		[0..1]	⊘	87
	InterestAccruedNumberOfDays	<IntrstAcrdNbOfDays>		[0..1]	⊘	88
	CouponNumber	<CpnNb>		[0..*]	⊘	88
	AcceptancePriorityLevel	<AcptncPrtyLvl>		[0..1]	⊘	88
	CertificationBreakdownIndicator	<CertfctnBrkdwnInd>		[0..1]	⊘	89
	ChargesAppliedIndicator	<ChrgsApldInd>		[0..1]	⊘	89
	RestrictionIndicator	<RstrctnInd>		[0..1]	⊘	89
	AccruedInterestIndicator	<AcrdIntrstInd>		[0..1]	⊘	90
	ForfeitureOfInterestIndicator	<FrfrOfIntrstInd>		[0..1]	⊘	90
	LetterOfGuaranteedDeliveryIndicator	<LtrOfGrntedDlvryInd>		[0..1]	⊘	90
	ShareholderRightsDirectiveIndicator	<ShrhldrRghtsDrctvInd>		[0..1]	⊘	90

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DividendType	<DvddTp>		[0..1]	⊘	91
	EventSequenceType	<EvtSeqTp>		[0..1]	⊘	91
	OccurrenceType	<OcrncTp>		[0..1]	⊘	92
	OfferType	<OfferTp>		[0..*]	⊘	92
	RenounceableEntitlementStatusType	<RnnncblEntitlmntStsTp>		[0..1]	⊘	92
	EventStage	<EvtStag>		[0..*]	⚠	93
	AdditionalBusinessProcessIndicator	<AddtlBizPrclnd>		[0..*]		94
	ChangeType	<ChngTp>		[0..*]	⊘	94
	FollowingEventTypeIndicator	<FllwngEvtTpInd>		[0..1]		94
	CapitalGainInOutIndicator	<CptlGnlnOutInd>		[0..1]	⊘	95
	TaxableIncomePerShareCalculated	<TaxblIncmPerShrClctd>		[0..1]	⊘	95
	ElectionType	<ElctnTp>		[0..1]	⊘	96
	LotteryType	<LtryTp>		[0..1]	⚠	96
	CertificationType	<CertfctnTp>		[0..1]	⊘	97
	ConsentType	<CnsntTp>		[0..1]	⊘	97
	InformationType	<InfTp>		[0..1]	⊘	98
	TaxOnNonDistributedProceedsIndicator	<TaxOnNonDstrbtdPrclsInd>		[0..*]		98
	DutchAuctionType	<DtchAuctnTp>		[0..1]	⊘	98
	ReverseDutchAuctionIndicator	<RvsDtchAuctnInd>		[0..1]	⊘	99
	NewPlaceOfIncorporation	<NewPlcOfIncorprtn>		[0..1]	⊘ ⚠	99
	AdditionalInformation	<AddtlInf>		[0..1]	⊘	99
	CorporateActionOptionDetails	<CorpActnOptnDtls>		[0..*]		30
	OptionNumber	<OptnNb>		[1..1]	⚠	186
	OptionType	<OptnTp>		[1..1]	⚠	186
	FractionDisposition	<FrctnDspstn>		[0..1]	⊘	187
	OptionFeatures	<OptnFeatrs>		[0..*]	⊘	187
	OptionAvailabilityStatus	<OptnAvlbtySts>		[0..1]	⊘	188
	CertificationBreakdownType	<CertfctnBrkdwnTp>		[0..*]	⊘	188
	BidRangeType	<BidRgTp>		[0..1]		189
	ProrationBelowMinimumQuantity	<PrratnBlwMinQty>		[0..1]	⊘	189
	NonDomicileCountry	<NonDmclCtry>		[0..*]	⊘	190

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ValidDomicileCountry	<VldDmclCtry>		[0..*]		190
	CurrencyOption	<CcyOptn>		[0..1]		190
	DefaultProcessingOrStandingInstruction	<DfltPrcgOrStgInstr>		[1..1]		190
	ChargesAppliedIndicator	<ChrgsApldInd>		[0..1]		191
	CertificationBreakdownIndicator	<CertfctnBrkdwnInd>		[0..1]		191
	WithdrawalAllowedIndicator	<WdrwlAllwdInd>		[0..1]		191
	ChangeAllowedIndicator	<ChngAllwdInd>		[0..1]		192
	AppliedOptionIndicator	<ApldOptnInd>		[0..1]		192
	FinancialInstrumentIdentification	<FinInstrmId>		[0..1]		192
	DateDetails	<DtDtls>		[0..1]		193
	PeriodDetails	<PrdDtls>		[0..1]		194
	RateAndAmountDetails	<RateAndAmtDtls>		[0..1]		194
	PriceDetails	<PricDtls>		[0..1]		195
	SecuritiesQuantity	<SctiesQty>		[0..1]		196
	SecuritiesMovementDetails	<SctiesMvmntDtls>		[0..*]		196
	CashMovementDetails	<CshMvmntDtls>		[0..*]		197
	AdditionalInformation	<AddtlInf>		[0..1]		198
	AdditionalInformation	<AddtlInf>		[0..1]		32
	AdditionalText	<AddtlTxt>		[0..*]		177
	NarrativeVersion	<NrrtvVrsn>		[0..*]		178
	InformationConditions	<InfConds>		[0..*]		178
	InformationToComplyWith	<InfToCmplyWth>		[0..*]		179
	TaxationConditions	<TaxtnConds>		[0..*]		179
	Disclaimer	<Dsclmr>		[0..*]		179
	PartyContactNarrative	<PtyCtctNrrtv>		[0..*]		180
	RegistrationDetails	<RegnDtls>		[0..*]		180
	BasketOrIndexInformation	<BsktOrIndxInf>		[0..*]		181
	CertificationBreakdown	<CertfctnBrkdwn>		[0..*]		181
	URLAddress	<URLAdr>		[0..*]		182
	ProcessingTextForNextIntermediary	<PrcgTxtForNxtIntrmy>		[0..*]		182
	IssuerAgent	<IssrAgt>		[0..*]		32

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		384
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		384
	NameAndAddress	<NmAndAdr>	Or	[1..1]		384
	LEI	<LEI>	Or}	[1..1]		385
	PayingAgent	<PngAgt>		[0..*]		33
	AnyBIC	<AnyBIC>	{Or	[1..1]		382
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		382
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		382
	SubPayingAgent	<SubPngAgt>		[0..*]		33
	AnyBIC	<AnyBIC>	{Or	[1..1]		382
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		382
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		382
	Registrar	<Regar>		[0..1]		34
	AnyBIC	<AnyBIC>	{Or	[1..1]		382
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		382
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		382
	ResellingAgent	<RsellingAgt>		[0..*]		34
	AnyBIC	<AnyBIC>	{Or	[1..1]		382
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		382
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		382
	PhysicalSecuritiesAgent	<PhysScitiesAgt>		[0..1]		35
	AnyBIC	<AnyBIC>	{Or	[1..1]		382
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		382
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		382
	DropAgent	<DrpAgt>		[0..1]		35
	AnyBIC	<AnyBIC>	{Or	[1..1]		382
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		382
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		382
	SolicitationAgent	<SlctnAgt>		[0..*]		36
	AnyBIC	<AnyBIC>	{Or	[1..1]		382
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		382

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		382
	InformationAgent	<InfAgt>		[0..1]	⊘	36
	AnyBIC	<AnyBIC>	{Or	[1..1]		382
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		382
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		382
	Issuer	<Issr>		[0..1]	⊘	37
	AnyBIC	<AnyBIC>	{Or	[1..1]		384
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		384
	NameAndAddress	<NmAndAdr>	Or	[1..1]		384
	LEI	<LEI>	Or}	[1..1]		385
	Offeror	<Offerr>		[0..*]	⊘	37
	AnyBIC	<AnyBIC>	{Or	[1..1]		384
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		384
	NameAndAddress	<NmAndAdr>	Or	[1..1]		384
	LEI	<LEI>	Or}	[1..1]		385
	TransferAgent	<TrfAgt>		[0..1]	⊘	38
	AnyBIC	<AnyBIC>	{Or	[1..1]		384
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		384
	NameAndAddress	<NmAndAdr>	Or	[1..1]		384
	LEI	<LEI>	Or}	[1..1]		385
	SupplementaryData	<SplmtryData>		[0..*]		38
	PlaceAndName	<PlcAndNm>		[0..1]	⊘	496
	Envelope	<Envlp>		[1..1]		497

3 ISO 20022 Rules

R1 InterestPaymentRule

CorporateActionDetails/RateAndAmountDetails/InterestRate and CorporateActionOptionsDetails/RateAndAmountDetails/GrossInterestRateUsedforPayment must not be mixed. InterestRate is used to provide the annual rate of the financial instrument. InterestRateUsedforPayment is used to provide the applicable rate for the current payment, after all calculations have been performed, that is, application of period and method of interest computation.

Error handling: Undefined

R2 SafekeepingAccount1Rule ✓

If AccountDetails/ForAllAccounts/IdentificationCode value is GENR (General) then any element in the list (MinimumNominalQuantity, MinimumExercisableQuantity, MinimumExercisableMultipleQuantity, ContractSize) must not be present in any occurrences of CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails;

(MT564 Rule C2 - part 1).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00158
- *Error Text:* Invalid message content for CorporateActionOptionDetails

R3 SafekeepingAccount2Rule ✓

If AccountDetails/ForAllAccounts/IdentificationCode value is GENR (General) then AmountDetails must not be present in any occurrences of CorporateActionOptionDetails/CashMovementDetails.

(MT564 Rule C2 - part 2).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00159
- *Error Text:* Invalid message content for CorporateActionOptionDetails

R4 OtherEventRule ✓

If CorporateActionGeneralInformation/EventType/Code is OTHR (Other) then at least one occurrence of AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C4).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00161
- *Error Text:* Invalid message content for AdditionalInformation. AdditionalInformation/additionalText must be present.

R5 IntermediateSecurity1Rule ✓

If CorporateActionGeneralInformation/EventType/Code is RHDI (IntermediateSecuritiesDistribution), then IntermediateSecurity must be absent.

(MT 564 NVR C6).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00162
- *Error Text:* Invalid message contents for IntermediateSecurity. Must be absent.

R6 IntermediateSecurity2Rule ✓

If CorporateActionGeneralInformation/EventType/Code is RHTS (Rights Issue), then IntermediateSecurity must be present.

(MT 564 NVR C6).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00163
- *Error Text:* Invalid message contents for IntermediateSecurity. Must be present.

R7 NotificationIdentification1Rule ✓

If NotificationGeneralInformation/NotificationType is REPL (Replacement) and Pagination/PageNumber is equal to value 1, then PreviousNotificationIdentification must be present.

(MT 564 NVR C9).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00665
- *Error Text:* PreviousNotificationIdentification is absent.

R8 IntermediateSecuritiesDistribution1Rule ✓

If CorporateActionGeneralInformation/EventType/Code is RHDI (IntermediateSecuritiesDistribution), then CorporateActionDetails/

IntermediateSecuritiesDistributionType must be present.

(MT 564 NVR C11).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00166
- *Error Text:* Invalid message contents for IntermediateSecuritiesDistributionType in CorporateActionDetails. Element must be present.

R9 NameChangeRule

If CorporateActionDetails/AdditionalInformation/NewCompanyName/AdditionalInformation is present, then CorporateActionGeneralInformation/EventType/Code value CHAN (Change) must be present and CorporateActionDetails/ChangeType/Code value NAME (Name) must be present in at least one occurrence of CorporateActionDetails/ChangeType.

(MT 564 NVR C10).

Error handling: Undefined

R10 RateAndCorporateActionEventRule

If CorporateActionOptionDetails/RateAndAmountDetails/GrossDistributionRate/NotSpecifiedRate Code value or CorporateActionOptionDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/NotSpecifiedRate Code value is NILP (Nil Payment), then CorporateActionGeneralInformation/EventType/Code must be either DVCA (CashDividend) or INTR (InterestPayment).

If CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/NotSpecifiedRate Code value or CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/NotSpecifiedRate Code value is NILP (Nil Payment), then CorporateActionGeneralInformation/EventType/Code must be either DVCA (CashDividend) or INTR (InterestPayment).

Error handling: Undefined

R11 TotalEligibleBalanceRule

If NotificationGeneralInformation/EligibleBalanceIndicator is 'true' or '1' (Yes), then at least one occurrence of AccountDetails/AccountsListAndBalanceDetails must be present and TotalEligibleBalance must be present in all occurrences of AccountDetails/AccountsListAndBalanceDetails/Balance.

If NotificationGeneralInformation/EligibleBalanceIndicator is 'false' or '0' (No) or is not present, then TotalEligibleBalance may or may not be present in all occurrences of AccountDetails/AccountsListAndBalanceDetails/Balance but it will not necessarily be the final eligible balance quantity.

Error handling: Undefined

R12 NewMaturityDateRule

If CorporateActionDetails/DateDetails/NewMaturityDate is present, then CorporateActionGeneralInformation/EventType/Code must be EXTM (Maturity Extension).

Error handling: Undefined

R13 InformationEventRule ✓

If CorporateActionGeneralInformation/EventType/Code is INFO (Information), then IntermediateSecurity must be absent and no occurrences of CorporateActionOptionDetails may be present.

(MT 564 NVR C6).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00301

- *Error Text:* Invalid message contents for IntermediateSecurity or CorporateActionOptionDetails. Must both be absent.

R14 DeclaredRateRule ✓

If CorporateActionOptionDetails/RateAndAmountDetails/IssuerDeclaredExchangeRate is present, then CorporateActionDetails/RateAndAmountDetails/DeclaredRate must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00302
- *Error Text:* Invalid message contents for DeclaredRate. It must be present.

R15 AppliedOptionRule

If CorporateActionOptionDetails/AppliedOptionIndicator value is 'true' or '1' (Yes) in one or more occurrences of CorporateActionOptionDetails, then CorporateActionGeneralInformation/MandatoryVoluntaryEventType/Code value must be CHOS (MandatoryWithOptions) and at least one of the CorporateActionDetails/AdditionalBusinessProcessIndicator/Code values must be REAC (RequiredAction).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R16 FirstBidIncrementPrice1Rule ✓

If FirstBidIncrementPrice is present in CorporateActionDetails/PriceDetails, then FirstBidIncrementPrice is not allowed in any occurrences of CorporateActionOptionDetails/PriceDetails.

(MT 564 NVR C24)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00524
- *Error Text:* Invalid message contents for FirstBidIncrementPrice in one or more occurrences of CorporateActionOptionDetails/PriceDetails. Element is not allowed.

R17 FirstBidIncrementPrice2Rule ✓

If FirstBidIncrementPrice is present in any occurrences of CorporateActionOptionDetails/PriceDetails, then FirstBidIncrementPrice is not allowed in CorporateActionDetails/PriceDetails.

(MT 564 NVR C24)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00525

- *Error Text:* Invalid message contents for FirstBidIncrementPrice in CorporateActionDetails/PriceDetails. Element is not allowed.

R18 LastBidIncrementPrice1Rule ✓

If LastBidIncrementPrice is present in CorporateActionDetails/PriceDetails, then LastBidIncrementPrice is not allowed in any occurrences of CorporateActionOptionDetails/PriceDetails.

(MT 564 NVR C24)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00526
- *Error Text:* Invalid message contents for LastBidIncrementPrice in one or more occurrences of CorporateActionOptionDetails/PriceDetails. Element is not allowed.

R19 LastBidIncrementPrice2Rule ✓

If LastBidIncrementPrice is present in any occurrences of CorporateActionOptionDetails/PriceDetails, then LastBidIncrementPrice is not allowed in CorporateActionDetails/PriceDetails.

(MT 564 NVR C24)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00527
- *Error Text:* Invalid message contents for LastBidIncrementPrice in CorporateActionDetails/PriceDetails. Element is not allowed.

R20 FirstBidIncrementPriceEventType1Rule ✓

If FirstBidIncrementPrice is present in CorporateActionDetails/PriceDetails, then CorporateActionGeneralInformation/EventType/Code value must be equal to either BIDS (Repurchase Offer) or DTCH (Dutch Auction) or TEND (Tender).

(MT 564 NVR C30)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00528
- *Error Text:* Invalid message contents for EventType code in CorporateActionGeneralInformation since FirstBidIncrementPrice is present.

R21 LastBidIncrementPriceEventType1Rule ✓

If LastBidIncrementPrice is present in CorporateActionDetails/PriceDetails, then CorporateActionGeneralInformation/EventType/Code value must be equal to either BIDS (Repurchase Offer) or DTCH (Dutch Auction) or TEND (Tender).

(MT 564 NVR C30)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00529
- *Error Text:* Invalid message contents for EventType code in CorporateActionGeneralInformation since LastBidIncrementPrice is present.

R22 FirstBidIncrementPriceEventType2Rule ✓

If FirstBidIncrementPrice is present in any occurrence of CorporateActionOptionDetails/PriceDetails, then CorporateActionGeneralInformation/EventType/Code value must be equal to either BIDS (Repurchase Offer) or DTCH (Dutch Auction) or TEND (Tender).

(MT 564 NVR C30)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00530
- *Error Text:* Invalid message contents for EventType code in CorporateActionGeneralInformation since FirstBidIncrementPrice is present.

R23 LastBidIncrementPriceEventType2Rule ✓

If LastBidIncrementPrice is present in any occurrence of CorporateActionOptionDetails/PriceDetails, then CorporateActionGeneralInformation/EventType/Code value must be equal to either BIDS (Repurchase Offer) or DTCH (Dutch Auction) or TEND (Tender).

(MT 564 NVR C30)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00531
- *Error Text:* Invalid message contents for EventType code in CorporateActionGeneralInformation since LastBidIncrementPrice is present.

R24 MinimumPrice1Rule ✓

If MinimumPrice is present in CorporateActionDetails/PriceDetails, then MinimumPrice is not allowed in any occurrences of CorporateActionOptionDetails/PriceDetails.

(MT 564 NVR C24)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00532
- *Error Text:* Invalid message contents for MinimumPrice in one or more occurrences of CorporateActionOptionDetails/PriceDetails. Element is not allowed.

R25 MinimumPrice2Rule ✓

If MinimumPrice is present in any occurrences of CorporateActionOptionDetails/PriceDetails, then MinimumPrice is not allowed in CorporateActionDetails/PriceDetails.

(MT 564 NVR C24)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00533
- *Error Text:* Invalid message contents for MinimumPrice in CorporateActionDetails/PriceDetails. Element is not allowed.

R26 MaximumPrice1Rule ✓

If MaximumPrice is present in CorporateActionDetails/PriceDetails, then MaximumPrice is not allowed in any occurrences of CorporateActionOptionDetails/PriceDetails.

(MT 564 NVR C24)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00534
- *Error Text:* Invalid message contents for MaximumPrice in one or more occurrences of CorporateActionOptionDetails/PriceDetails. Element is not allowed.

R27 MaximumPrice2Rule ✓

If MaximumPrice is present in any occurrences of CorporateActionOptionDetails/PriceDetails, then MaximumPrice is not allowed in CorporateActionDetails/PriceDetails.

(MT 564 NVR C24)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00535
- *Error Text:* Invalid message contents for MinimumPrice in CorporateActionDetails/PriceDetails. Element is not allowed.

R28 BidInterval1Rule ✓

If BidInterval is present in CorporateActionDetails/RateAndAmountDetails, then BidInterval is not allowed in any occurrences of CorporateActionOptionDetails/RateAndAmountDetails.

(MT 564 NVR C25)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00536
- *Error Text:* Invalid message contents for BidInterval in one or more occurrences of CorporateActionOptionDetails/RateAndAmountDetails. Element is not allowed.

R29 BidInterval2Rule ✓

If BidInterval is present in any occurrences of CorporateActionOptionDetails/RateAndAmountDetails, then BidInterval is not allowed in CorporateActionDetails/RateAndAmountDetails.

(MT 564 NVR C25)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00537
- *Error Text:* Invalid message contents for BidInterval in CorporateActionDetails/RateAndAmountDetails. Element is not allowed.

R30 BeneficialOwnerBreakdownRequestUGRule

If in at least one occurrence of CorporateActionOptionDetails, OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/Event-Type/Code value must be WTRC (Withholding Tax Relief Certification).

(MT 564 NVR C23).

Error handling: Undefined

R31 IncentivePremiumEventTypeUG1Rule

If Code value is equal to INCP (IncentivePremiumPayment) in any occurrence of CorporateActionDetails/AdditionalBusinessProcessIndicator, then CorporateActionGeneralInformation/EventType/Code value must be equal to CONS (Consent).

(MT 564 NVR C26)

Error handling: Undefined

R32 BeneficialOwnerBreakdownRequestRule ✓

If in at least one occurrence of CorporateActionOptionDetails, OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/Event-Type/Code value must be WTRC (Withholding Tax Relief Certification).

(MT 564 NVR C23).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00487
- *Error Text:* Invalid message contents for CorporateActionGeneralInformation/Event-Type/Code. It must be WTRC.

R33 IncentivePremiumEventType1Rule ✓

If Code value is equal to INCP (IncentivePremiumPayment) in any occurrence of CorporateActionDetails/AdditionalBusinessProcessIndicator, then CorporateActionGeneralInformation/EventType/Code value must be equal to CONS (Consent).

(MT 564 NVR C26)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00538

- *Error Text:* Invalid message contents for EventType code in CorporateActionGeneralInformation since an Incentive Premium Payment is processed.

R34 PaymentDateRule ✓

If at least one occurrence of CorporateActionOptionDetails/SecuritiesMovementDetails or at least one occurrence of CorporateActionOptionDetails/CashMovementDetails is present, then CorporateActionDetails/DateDetails/PaymentDate must be absent. (MT 564 NVR C16).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00168
- *Error Text:* Invalid message contents for PaymentDate. It should be absent.

R35 NotificationIdentification2Rule ✓

If NotificationGeneralInformation/NotificationType is RMDR (Reminder) and Pagination/PagNumber is equal to value 1, then PreviousNotificationIdentification must be present.

(MT 564 NVR C9).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00663
- *Error Text:* PreviousNotificationIdentification is absent.

R36 PaginationRule ✓

If pagination is present, then NotificationGeneralInformation/NotificationIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00650
- *Error Text:* NotificationIdentification is absent.

4 Guidelines

1. IssuerAgentGuideline

IssuerAgent must be used only in the communication between a CSD and local custodians.

5 Message Building Blocks

Note The following chapter identifies the building blocks of the DTCC - US - CA - Payment Processing - SR 2026 / Corporate Action Notification Eligible Balance seev.031.001.16 message definition.

Usage Guideline on Root Node

- on seev.031.001.16/

- **Market Practice Usage Rule:**

This message is sent to a specific participant (an account) when a position capture date has been reached to notify details of eligible balance only. It will not contain any details of the corporate action.

- on seev.031.001.16/

Synonym (DTCC): Eligible Balance Notification







5.1 Pagination

XML Tag: Pgntn

Presence: [0..1]

Definition: Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

The **Pgntn** block contains the following elements (see datatype "[Pagination1](#)" on [page 381](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PageNumber	<PgNb>		[1..1]		381
	LastPageIndicator	<LastPgInd>		[1..1]		381

Usage Guideline details

- This element(seev.031.001.16/Pagination) is removed.

5.2 NotificationGeneralInformation

XML Tag: NtfctnGnllnf

Presence: [1..1]

Definition: General information about the event notification type, status and contents.

The **NtfctnGnllnf** block contains the following elements (see datatype "[CorporateActionNotification9_1](#)" on [page 183](#) for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NotificationIdentification	<NtfctnId>		[0..1]		183
	NotificationType	<NtfctnTp>		[1..1]		183
	ProcessingStatus	<PrcgSts>		[1..1]		184

5.3 PreviousNotificationIdentification

XML Tag: PrvsNtfctnId

Presence: [0..1]

Definition: Identification of the previously sent notification document.

The **PrvsNtfctnId** block contains the following elements (see datatype "[DocumentIdentification31_1](#)" on [page 257](#) for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		257
	LinkageType	<LkgTp>		[0..1]		258

5.4 InstructionIdentification

XML Tag: InstrId

Presence: [0..1]

Definition: Identification of a related instruction document.

The **InstrId** block contains the following elements (see datatype "[DocumentIdentification9_1](#)" on [page 261](#) for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		261

Usage Guideline details

- This element(seev.031.001.16/InstructionIdentification) is removed.

5.5 OtherDocumentIdentification

XML Tag: OthrDocId

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

The **OthrDocId** block contains the following elements (see datatype "[DocumentIdentification32_1](#)" on [page 258](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		258
	DocumentNumber	<DocNb>		[0..1]	🚫	259
	LinkageType	<LkgTp>		[0..1]	🚫	259

5.6 EventsLinkage

XML Tag: EvtLkg

Presence: [0..*]

Definition: Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

The **EvtLkg** block contains the following elements (see datatype "[CorporateActionEventReference3_1](#)" on [page 160](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EventIdentification	<EvtId>		[1..1]		161
	LinkageType	<LkgTp>		[0..1]		161

Usage Guideline details

- This element([seev.031.001.16/EventsLinkage](#)) is removed.

5.7 CorporateActionGeneralInformation

XML Tag: CorpActnGnlInf

Presence: [1..1]

Definition: General information about the corporate action event.

The **CorpActnGnlInf** block contains the following elements (see datatype "[CorporateActionGeneralInformation176_1](#)" on [page 164](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CorporateActionEventIdentification	<CorpActnEvtId>		[1..1]	⚠	165
	OfficialCorporateActionEventIdentification	<OffclCorpActnEvtId>		[0..1]	⚠	165
	ClassActionNumber	<ClssActnNb>		[0..1]	🚫 ⚠	166
	EventProcessingType	<EvtPrcgTp>		[0..1]	⚠	166
	EventType	<EvtTp>		[1..1]	⚠	166
	MandatoryVoluntaryEventType	<MndtryVlntryEvtTp>		[1..1]	⚠	167
	UnderlyingSecurity	<UndrlygScty>		[1..1]	⚠	168

5.8 AccountDetails

XML Tag: AcctDtls

Presence: [1..1]

Definition: General information about the safekeeping account, owner and account balance.

The **AcctDtls** block contains the following elements (see datatype "AccountIdentification71Choice 1" on page 44 for details)

🚫 Removed - 🚫 Partial Removed - ⚠ Ignored - ⚠ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ForAllAccounts	<ForAllAccts>	{Or	[1..1]	🚫	44
	AccountsListAndBalanceDetails	<AcctsListAndBalDtls>	Or}	[1..*]	R[1..1]	45

5.9 IntermediateSecurity

XML Tag: IntrmdtScty

Presence: [0..1]

Definition: Details on rights credited to the account as for example trading period, expiry date, renounceability.

The **IntrmdtScty** block contains the following elements (see datatype "FinancialInstrumentAttributes139 1" on page 286 for details)

🚫 Removed - 🚫 Partial Removed - ⚠ Ignored - ⚠ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SecurityIdentification	<SctyId>		[1..1]		287
	Quantity	<Qty>		[0..1]	⚠	287

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RenounceableEntitlementStatusType	<RnnclEntitlmntStsTp>		[0..1]		288
	FractionDisposition	<FrctnDspstn>		[0..1]		288
	IntermediateSecuritiesToUnderlyingRatio	<IntrmdtSciesToUndrlygRatio>		[0..1]		288
	MarketPrice	<MktPric>		[0..1]		289
	ExpiryDate	<XpryDt>		[1..1]		289
	PostingDate	<PstngDt>		[1..1]		289
	TradingPeriod	<TradgPrd>		[0..1]		290
	UninstructedBalance	<UinstdBal>		[0..1]		290
	InstructedBalance	<InstdBal>		[0..1]		290

Usage Guideline details

- This element(seev.031.001.16/IntermediateSecurity) is removed.







5.10 CorporateActionDetails









XML Tag: CorpActnDtls

Presence: [0..1]

Definition: Information about the corporate action event.

The **CorpActnDtls** block contains the following elements (see datatype "CorporateAction94 1" on page 81 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateDetails	<DtDtls>		[0..1]		83
	PeriodDetails	<PrdDtls>		[0..1]		85
	RateAndAmountDetails	<RateAndAmtDtls>		[0..1]		86
	PriceDetails	<PricDtls>		[0..1]		87
	SecuritiesQuantity	<SciesQty>		[0..1]		87
	InterestAccruedNumberOfDays	<IntrstAcrdNbOfDays>		[0..1]		88
	CouponNumber	<CpnNb>		[0..*]		88
	AcceptancePriorityLevel	<AccptncPrtyLvl>		[0..1]		88
	CertificationBreakdownIndicator	<CertfctnBrkdownInd>		[0..1]		89

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ChargesAppliedIndicator	<ChrgsApldInd>		[0..1]	⊘	89
	RestrictionIndicator	<RstrctnInd>		[0..1]	⊘	89
	AccruedInterestIndicator	<AcrdIntrstInd>		[0..1]	⊘	90
	ForfeitureOfInterestIndicator	<FrfrOfIntrstInd>		[0..1]	⊘	90
	LetterOfGuaranteedDeliveryIndicator	<LtrOfGrntedDlvryInd>		[0..1]	⊘	90
	ShareholderRightsDirectiveIndicator	<ShrhldrRghtsDrctvInd>		[0..1]	⊘	90
	DividendType	<DvddTp>		[0..1]	⊘	91
	EventSequenceType	<EvtSeqTp>		[0..1]	⊘	91
	OccurrenceType	<OcrncTp>		[0..1]	⊘	92
	OfferType	<OfferTp>		[0..*]	⊘	92
	RenounceableEntitlementStatusType	<RnncblEntitlmntStsTp>		[0..1]	⊘	92
	EventStage	<EvtStag>		[0..*]	⚠	93
	AdditionalBusinessProcessIndicator	<AddtlBizPrclnd>		[0..*]		94
	ChangeType	<ChngTp>		[0..*]	⊘	94
	FollowingEventTypeIndicator	<FlwngEvtTpInd>		[0..1]		94
	CapitalGainInOutIndicator	<CptlGnInOutInd>		[0..1]	⊘	95
	TaxableIncomePerShareCalculated	<TaxblIncmPerShrClctd>		[0..1]	⊘	95
	ElectionType	<ElctnTp>		[0..1]	⊘	96
	LotteryType	<LtryTp>		[0..1]	⚠	96
	CertificationType	<CertfctnTp>		[0..1]	⊘	97
	ConsentType	<CnsntTp>		[0..1]	⊘	97
	InformationType	<InfTp>		[0..1]	⊘	98
	TaxOnNonDistributedProceedsIndicator	<TaxOnNonDstrbtdPrcdsInd>		[0..*]		98
	DutchAuctionType	<DtchAuctnTp>		[0..1]	⊘	98
	ReverseDutchAuctionIndicator	<RvsDtchAuctnInd>		[0..1]	⊘	99
	NewPlaceOfIncorporation	<NewPlcOfIncorprtn>		[0..1]	⊘ ⚠	99
	AdditionalInformation	<AddtlInf>		[0..1]	⊘	99







5.11 CorporateActionOptionDetails























XML Tag: CorpActnOptnDtls

Presence: [0..*]

Definition: Information about the corporate action option.

The **CorpActnOptnDtls** block contains the following elements (see datatype "CorporateActionOption251_1" on page 184 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	OptionNumber	<OptnNb>		[1..1]		186
	OptionType	<OptnTp>		[1..1]		186
	FractionDisposition	<FrctnDspstn>		[0..1]		187
	OptionFeatures	<OptnFeatrs>		[0..*]		187
	OptionAvailabilityStatus	<OptnAvlbtySts>		[0..1]		188
	CertificationBreakdownType	<CertfctnBrkdwnTp>		[0..*]		188
	BidRangeType	<BidRgTp>		[0..1]		189
	ProrationBelowMinimumQuantity	<PrratnBlwMinQty>		[0..1]		189
	NonDomicileCountry	<NonDmclCtry>		[0..*]		190
	ValidDomicileCountry	<VldDmclCtry>		[0..*]		190
	CurrencyOption	<CcyOptn>		[0..1]		190
	DefaultProcessingOrStandingInstruction	<DfltPrcgOrStgInstr>		[1..1]		190
	ChargesAppliedIndicator	<ChrgsAppldInd>		[0..1]		191
	CertificationBreakdownIndicator	<CertfctnBrkdwnInd>		[0..1]		191
	WithdrawalAllowedIndicator	<WdrwlAllwdInd>		[0..1]		191
	ChangeAllowedIndicator	<ChngAllwdInd>		[0..1]		192
	AppliedOptionIndicator	<ApldOptnInd>		[0..1]		192
	FinancialInstrumentIdentification	<FinInstrmId>		[0..1]		192
	DateDetails	<DtDtls>		[0..1]		193
	PeriodDetails	<PrdDtls>		[0..1]		194
	RateAndAmountDetails	<RateAndAmtDtls>		[0..1]		194
	PriceDetails	<PricDtls>		[0..1]		195
	SecuritiesQuantity	<SctiesQty>		[0..1]		196
	SecuritiesMovementDetails	<SctiesMvmntDtls>		[0..*]		196
	CashMovementDetails	<CshMvmntDtls>		[0..*]		197

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalInformation	<AddtlInf>		[0..1]		198







5.12 AdditionalInformation












XML Tag: AddtlInf

Presence: [0..1]

Definition: Additional information on the event.

The **AddtlInf** block contains the following elements (see datatype "CorporateActionNarrative60_1" on page 176 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalText	<AddtlTxt>		[0..*]		177
	NarrativeVersion	<NrrtvVrsn>		[0..*]		178
	InformationConditions	<InfConds>		[0..*]		178
	InformationToComplyWith	<InfToCmplyWth>		[0..*]		179
	TaxationConditions	<TaxtnConds>		[0..*]		179
	Disclaimer	<Dsclmr>		[0..*]		179
	PartyContactNarrative	<PtyCtctNrrtv>		[0..*]		180
	RegistrationDetails	<RegnDtls>		[0..*]		180
	BasketOrIndexInformation	<BsktOrIndxInf>		[0..*]		181
	CertificationBreakdown	<CertfctnBrkwn>		[0..*]		181
	URLAddress	<URLAdr>		[0..*]		182
	ProcessingTextForNextIntermediary	<PrcgTxtForNxtIntrmy>		[0..*]		182







5.13 IssuerAgent

XML Tag: IssrAgt

Presence: [0..*]

Definition: Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

The **IssrAgt** block contains the following elements (see datatype "PartyIdentification129Choice_1" on page 383 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		384
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		384
	NameAndAddress	<NmAndAdr>	Or	[1..1]		384
	LEI	<LEI>	Or}	[1..1]		385

Usage Guideline details

- This element(seev.031.001.16/IssuerAgent) is removed.







5.14 PayingAgent

XML Tag: PngAgt

Presence: [0..*]

Definition: Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

The **PngAgt** block contains the following elements (see datatype "PartyIdentification120Choice 1" on page 381 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		382
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		382
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		382

Usage Guideline details

- This element(seev.031.001.16/PayingAgent) is removed.







5.15 SubPayingAgent

XML Tag: SubPngAgt

Presence: [0..*]

Definition: Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

The **SubPngAgt** block contains the following elements (see datatype "PartyIdentification120Choice 1" on page 381 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		382
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		382
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		382

Usage Guideline details

- This element(seev.031.001.16/SubPayingAgent) is removed.







5.16 Registrar

XML Tag: Regar

Presence: [0..1]

Definition: Party/agent responsible for maintaining the register of the security.

The **Regar** block contains the following elements (see datatype "[PartyIdentification120Choice_1](#)" on page 381 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		382
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		382
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		382

Usage Guideline details

- This element(seev.031.001.16/Registrar) is removed.







5.17 ResellingAgent

XML Tag: RsellingAgt

Presence: [0..*]

Definition: Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

The **RsellingAgt** block contains the following elements (see datatype "[PartyIdentification120Choice_1](#)" on page 381 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		382
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		382
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		382

Usage Guideline details

- This element(seev.031.001.16/ResellingAgent) is removed.







5.18 PhysicalSecuritiesAgent

XML Tag: PhysSctiesAgt

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

The **PhysSctiesAgt** block contains the following elements (see datatype "PartyIdentification120Choice_1" on page 381 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		382
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		382
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		382

Usage Guideline details

- This element(seev.031.001.16/PhysicalSecuritiesAgent) is removed.







5.19 DropAgent

XML Tag: DrpAgt

Presence: [0..1]

Definition: Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

The **DrpAgt** block contains the following elements (see datatype "PartyIdentification120Choice_1" on page 381 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		382
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		382
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		382

Usage Guideline details

- This element(seev.031.001.16/DropAgent) is removed.







5.20 SolicitationAgent

XML Tag: SlctnAgt

Presence: [0..*]

Definition: Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

The **SlctnAgt** block contains the following elements (see datatype "PartyIdentification120Choice 1" on page 381 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		382
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		382
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		382

Usage Guideline details

- This element(seev.031.001.16/SolicitationAgent) is removed.







5.21 InformationAgent

XML Tag: InfAgt

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

The **InfAgt** block contains the following elements (see datatype "PartyIdentification120Choice 1" on page 381 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		382
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		382
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		382

Usage Guideline details

- This element(seev.031.001.16/InformationAgent) is removed.







5.22 Issuer

XML Tag: Issr

Presence: [0..1]

Definition: Issuer of the financial instrument.

The **Issr** block contains the following elements (see datatype "[PartyIdentification129Choice 1](#)" on page 383 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		384
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		384
	NameAndAddress	<NmAndAdr>	Or	[1..1]		384
	LEI	<LEI>	Or}	[1..1]		385

Usage Guideline details

- This element(seev.031.001.16/Issuer) is removed.







5.23 Offeror

XML Tag: Offerr

Presence: [0..*]

Definition: Party making the offer and which is different from the issuing company.

The **Offerr** block contains the following elements (see datatype "[PartyIdentification129Choice 1](#)" on page 383 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		384
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		384
	NameAndAddress	<NmAndAdr>	Or	[1..1]		384
	LEI	<LEI>	Or}	[1..1]		385

Usage Guideline details

- This element(seev.031.001.16/Offeror) is removed.







5.24 TransferAgent

XML Tag: TrfAgt

Presence: [0..1]

Definition: Trust company, bank or similar financial institution appointed by a fund management company to maintain records of investors and account balances. The transfer agent records transactions, including subscriptions, redemptions, switches, transfers, and re-registrations.

The **TrfAgt** block contains the following elements (see datatype "PartyIdentification129Choice 1" on page 383 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		384
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		384
	NameAndAddress	<NmAndAdr>	Or	[1..1]		384
	LEI	<LEI>	Or}	[1..1]		385

Usage Guideline details

- This element(seev.031.001.16/TransferAgent) is removed.







5.25 SupplementaryData


XML Tag: SplmtryData

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

The **SplmtryData** block contains the following elements (see datatype "SupplementaryData1" on page 496 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		496
	Envelope	<Envlp>		[1..1]		497

6 Message Components

Note The following chapter identifies the message components.

6.1 AccountAndBalance60__1

Definition: Provides account and balance information.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingAccount	<SfkpgAcct>		[0..1]	⚠	41
	BlockChainAddressOrWallet	<BlckChainAdrOrWllt>		[0..1]	⚠	42
	AccountOwner	<AcctOwnr>		[0..1]	⊘	42
	SafekeepingPlace	<SfkpgPlc>		[0..1]		42
	Balance	<Bal>		[0..1]		43

Rules

R57 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

Error handling: Undefined

R58 SafekeepingAccountOrBlockChainAddress2Rule ✓

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00565
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

R59 SafekeepingAccountOrBlockChainAddress3Rule ✓

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00566
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

R60 SafekeepingAccountOrBlockchainAddress1Rule ✓

Either SafekeepingAccount or BlockchainAddressOrWallet must be present but not both.

Error handling:

- *Error severity:* Warning
- *Error Code:* X00551
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

Used in element(s)

"AccountsListAndBalanceDetails" on page 45

6.1.1 SafekeepingAccount

XML Tag: SfkpgAcct

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 645

Usage Guideline details

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/SafekeepingAccount
Type changed to: RestrictedFINXMax35Text on page 645
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/SafekeepingAccount
 - **Applicability:**
true
 - **REDEML Trace:**
Participant Number
 - **REDEMG Trace:**
Participant Number
 - **REDEMA Trace:**
Participant Number
 - **REDEM X Trace:**
Participant Number
 - **REDEMC Trace:**
Participant Number
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/SafekeepingAccount
Synonym (DTCC): Participant Number
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/SafekeepingAccount
Comment:
DTCC assigned internal participant identification number.

6.1.2 BlockChainAddressOrWallet

XML Tag: BlckChainAdrOrWllt

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "RestrictedFINXMax140Text" on page 643

Usage Guideline details

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/BlockChainAddressOrWallet](#)

Type changed to: [RestrictedFINXMax140Text on page 643](#)

6.1.3 AccountOwner

XML Tag: AcctOwnr

Presence: [0..1]

Definition: Party that legally owns the account.

The **AcctOwnr** block contains the following elements (see datatype "[PartyIdentification127Choice 1](#)" on page 383 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or}	[1..1]		383
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		383

Usage Guideline details

- This element([seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/AccountOwner](#)) is removed.

6.1.4 SafekeepingPlace

XML Tag: SfkpgPlc

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

The **SfkpgPlc** block contains the following elements (see datatype "[SafekeepingPlaceFormat42Choice 1](#)" on page 468 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>	{Or	[1..1]	🚫	468
	Country	<Ctry>	Or	[1..1]	🚫	469
	DigitalLedgerIdentification	<DgtlLdgrId>	Or	[1..1]		469
	TypeAndIdentification	<TpAndId>	Or	[1..1]	🚫	469
	Proprietary	<Prtry>	Or}	[1..1]	🚫	470

6.1.5 Balance

XML Tag: Bal



Presence: [0..1]

Definition: Provides information about balance related to a corporate action.

The **Bal** block contains the following elements (see datatype "CorporateActionBalanceDetails43_1" on page 118 for details)







🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TotalEligibleBalance	<TtlElgblBal>		[0..1]	⚠	119
	BlockedBalance	<BlckdBal>		[0..1]	🚫	120
	BorrowedBalance	<BrrwdBal>		[0..1]	⚠	121
	CollateralInBalance	<CollInBal>		[0..1]	⚠	121
	CollateralOutBalance	<CollOutBal>		[0..1]	⚠	122
	OnLoanBalance	<OnLnBal>		[0..1]	⚠	123
	PendingDeliveryBalance	<PdgDlvrBal>		[0..*]	🚫	124
	PendingReceiptBalance	<PdgRctBal>		[0..*]	🚫	124
	OutForRegistrationBalance	<OutForRegnBal>		[0..1]	⚠	125
	SettlementPositionBalance	<SttlmPosBal>		[0..*]	⚠ R[0..1]	125
	StreetPositionBalance	<StrtPosBal>		[0..1]	🚫	126
	TradeDatePositionBalance	<TradDtPosBal>		[0..1]	🚫	127
	InTransshipmentBalance	<InTrnsShpmtBal>		[0..1]	🚫	127
	RegisteredBalance	<RegdBal>		[0..1]	🚫	128
	ObligatedBalance	<OblgtdBal>		[0..1]	🚫	128
	UninstructedBalance	<UinstdBal>		[0..1]	⚠	129
	InstructedBalance	<InstdBal>		[0..1]	⚠	130

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AffectedBalance	<AfctdBal>		[0..1]		130
	UnaffectedBalance	<UafctdBal>		[0..1]		131

6.2 AccountIdentification10

Definition: Provides account identification information.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IdentificationCode	<IdCd>		[1..1]		44

Used in element(s)

"ForAllAccounts" on page 44

6.2.1 IdentificationCode

XML Tag: IdCd







Presence: [1..1]


Definition: Standard code to specify that announcement applies to all safekeeping accounts that own underlying financial instrument.

Datatype: "SafekeepingAccountIdentification1Code" on page 646

6.3 AccountIdentification71Choice__1

Definition: Choice between all accounts (GENR - General in ISO 15022) or one or more selected accounts.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ForAllAccounts	<ForAllAccts>	{Or	[1..1]		44
	AccountsListAndBalanceDetails	<AcctsListAndBalDtls>	Or}	[1..*]	R[1..1]	45

Used in element(s)

"AccountDetails" on page 28







6.3.1 ForAllAccounts

XML Tag: ForAllAccts

Presence: [1..1]

Definition: All safekeeping accounts that own an underlying financial instrument.

The **ForAllAccts** block contains the following elements (see datatype "[AccountIdentification10](#)" on page 44 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IdentificationCode	<IdCd>		[1..1]		44

Usage Guideline details

- This element(seev.031.001.16/AccountDetails/ForAllAccounts) is removed.







6.3.2 AccountsListAndBalanceDetails




XML Tag: AcctsListAndBalDtls

Presence: [1..*]

Definition: Selected safekeeping accounts list (and optionally balance information) to which the corporate action event applies.

The **AcctsListAndBalDtls** block contains the following elements (see datatype "[AccountAndBalance60_1](#)" on page 40 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingAccount	<SfkpgAcct>		[0..1]		41
	BlockChainAddressOrWallet	<BlckChainAdrOrWllt>		[0..1]		42
	AccountOwner	<AcctOwnr>		[0..1]		42
	SafekeepingPlace	<SfkpgPlc>		[0..1]		42
	Balance	<Bal>		[0..1]		43







Usage Guideline details


- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails

This element has change repeatability, the maximum occurrence has been changed to : 1

6.4 AdditionalBusinessProcessFormat17Choice__1

Definition: Choice between a standard code or proprietary code to specify the type of the additional business process.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		46
	Proprietary	<Prtry>	Or}	[1..1]		46

Used in element(s)

"AdditionalBusinessProcessIndicator" on page 94

6.4.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the additional business process linked to a corporate action event.

Datatype: "AdditionalBusinessProcess9Code" on page 507







6.4.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the additional business process linked to a corporate action event.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30_1" on page 326 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327
	SchemeName	<SchmeNm>		[0..1]		337



Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Proprietary) is removed.

6.5 AmountAndQuantityRatio4__1

Definition: Ratio expressed as amount per quantity.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		47
	Quantity	<Qty>		[1..1]		47

Used in element(s)

"AmountToQuantity" on page 464, "AmountToQuantity" on page 495, "QuantityToAmount" on page 464

6.5.1 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Cash amount.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 640

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToQuantity/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)
- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/EarlySolicitationFeeRate/AmountToQuantity/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)
- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/SolicitationFeeRate/AmountToQuantity/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)
- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToAmount/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)

6.5.2 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: "RestrictedFINDecimalNumber" on page 642







Usage Guideline details


- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/EarlySolicitationFeeRate/AmountToQuantity/Quantity](#)
Type changed to: [RestrictedFINDecimalNumber on page 642](#)

- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToAmount/Quantity](#)
Type changed to: [RestrictedFINDecimalNumber](#) on page 642
- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToQuantity/Quantity](#)
Type changed to: [RestrictedFINDecimalNumber](#) on page 642
- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/SolicitationFeeRate/AmountToQuantity/Quantity](#)
Type changed to: [RestrictedFINDecimalNumber](#) on page 642

6.6 AmountAndRateStatus1__1

Definition: Specifies an amount and a rate status.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		48
	RateStatus	<RateSts>		[1..1]		49

Used in element(s)

["AmountAndRateStatus" on page 354](#),
 ["AmountAndRateStatus" on page 370](#),
 ["AmountAndRateStatus" on page 356](#),
 ["AmountAndRateStatus" on page 372](#)

6.6.1 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 640](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/AmountAndRateStatus/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 640
- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/AmountAndRateStatus/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 640
- on [seev.031.001.16/CorporateActionOptionDetails/RateAndAmountDetails/NetDistributionRate/AmountAndRateStatus/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 640

- on [seev.031.001.16/CorporateActionOptionDetails/RateAndAmountDetails/GrossDistribution-Rate/AmountAndRateStatus/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 640

6.6.2 RateStatus

XML Tag: RateSts

Presence: [1..1]

Definition: Value expressed as a rate status.

Datatype: ["RateStatus1Code"](#) on page 635

6.7 AmountPrice2__1

Definition: Price expressed as an actual amount.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		49
	PriceValue	<PricVal>		[1..1]	⚠	49

Used in element(s)

["AmountPrice"](#) on page 394, ["MarketPrice"](#) on page 289

6.7.1 AmountPriceType

XML Tag: AmtPricTp

Presence: [1..1]

Definition: Type of amount price.

Datatype: ["AmountPriceType2Code"](#) on page 515

6.7.2 PriceValue

XML Tag: PricVal

Presence: [1..1]

Definition: Value of the price.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount"](#) on page 640

Usage Guideline details







- on [seev.031.001.16/IntermediateSecurity/MarketPrice/PriceValue](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 640


- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/CashValueForTax/AmountPrice/PriceValue](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 640

6.8 AmountPrice3__1

Definition: Price expressed as an amount.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		50
	PriceValue	<PricVal>		[1..1]		50

Used in element(s)

"AmountPrice" on page 399, "AmountPrice" on page 401, "AmountPrice" on page 396

6.8.1 AmountPriceType

XML Tag: AmtPricTp

Presence: [1..1]

Definition: Type of amount price.

Datatype: ["AmountPriceType1Code"](#) on page 514

6.8.2 PriceValue

XML Tag: PricVal

Presence: [1..1]

Definition: Value of the price.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount"](#) on page 640

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/CashIn-LieuOfSharePrice/AmountPrice/PriceValue](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 640

- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/AmountPrice/PriceValue](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 640

- on [seev.031.001.16/CorporateActionDetails/PriceDetails/LastBidIncrementPrice/Amount-Price/PriceValue](#)







Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 640


- on seev.031.001.16/CorporateActionOptionDetails/PriceDetails/OverSubscriptionDeposit-Price/AmountPrice/PriceValue
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)
- on seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/AmountPrice/PriceValue
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)
- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/IndicativeOrMarketPrice/MarketPrice/AmountPrice/PriceValue
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)
- on seev.031.001.16/CorporateActionOptionDetails/PriceDetails/MaximumPrice/Amount-Price/PriceValue
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)
- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/CashInLieuOfSharePrice/AmountPrice/PriceValue
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)
- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPrice/PriceValue
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)
- on seev.031.001.16/CorporateActionOptionDetails/PriceDetails/MinimumPrice/Amount-Price/PriceValue
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)
- on seev.031.001.16/CorporateActionDetails/PriceDetails/FirstBidIncrementPrice/Amount-Price/PriceValue
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)
- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/IssuePrice/AmountPrice/PriceValue
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)
- on seev.031.001.16/CorporateActionOptionDetails/PriceDetails/LastBidIncrement-Price/AmountPrice/PriceValue
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)
- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/IndicativeOrMarketPrice/IndicativePrice/AmountPrice/PriceValue
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)
- on seev.031.001.16/CorporateActionOptionDetails/PriceDetails/FirstBidIncrement-Price/AmountPrice/PriceValue
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)
- on seev.031.001.16/CorporateActionOptionDetails/PriceDetails/CashIn-LieuOfSharePrice/AmountPrice/PriceValue
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)

- on seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/Gener-icCashPriceReceivedPerProduct/AmountPrice/PriceValue
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 640
- on seev.031.001.16/CorporateActionDetails/PriceDetails/MinimumPrice/AmountPrice/PriceValue
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 640
- on seev.031.001.16/CorporateActionDetails/PriceDetails/MaximumPrice/AmountPrice/PriceValue
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 640

6.9 AmountPrice6__1

Definition: Price expressed as an actual amount.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		52
	PriceValue	<PricVal>		[1..1]		52

Used in element(s)

["AmountPrice" on page 395](#)

6.9.1 AmountPriceType

XML Tag: AmtPricTp

Presence: [1..1]

Definition: Type of amount price.

Datatype: ["AmountPriceType3Code" on page 515](#)

6.9.2 PriceValue

XML Tag: PricVal

Presence: [1..1]

Definition: Value of the price.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 640](#)

Usage Guideline details

- on seev.031.001.16/CorporateActionOptionDetails/PriceDetails/MinimumCashToIn-struct/AmountPrice/PriceValue
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 640







- on [seev.031.001.16/CorporateActionOptionDetails/PriceDetails/MaximumCashToInstruct/AmountPrice/PriceValue](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 640
- on [seev.031.001.16/CorporateActionOptionDetails/PriceDetails/MinimumMultipleCashToInstruct/AmountPrice/PriceValue](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 640



6.10 AmountPricePerAmount2__1

Definition: Specifies a ratio: Amount price per amount.

Example:

ISIN US629377AS17. Repurchase USD1087.17 cash for every USD1000 stock (NRG Energy Inc 8% Senior Notes 15/12/13).

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		53
	PriceValue	<PricVal>		[1..1]		53
	Amount	<Amt>		[1..1]		54

Used in element(s)

"AmountPricePerAmount" on page 397

6.10.1 AmountPriceType

XML Tag: AmtPricTp

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 514

6.10.2 PriceValue

XML Tag: PricVal

Presence: [1..1]

Definition: Value of the price.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 640

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerAmount/PriceValue](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 640

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerAmount/PriceValue](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 640

6.10.3 Amount

XML Tag: Amt

Presence: [1..1]

Definition: The amount on which the price is based.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount"](#) on page 640

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerAmount/Amount](#)







Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 640


- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerAmount/Amount](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 640

6.11 AmountPricePerFinancialInstrumentQuantity10__1

Definition: Specifies a ratio: amount price per financial instrument quantity.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		54
	PriceValue	<PricVal>		[1..1]		55
	FinancialInstrumentQuantity	<FinInstrmQty>		[1..1]		55

Used in element(s)

["AmountPricePerFinancialInstrumentQuantity"](#) on page 397

6.11.1 AmountPriceType

XML Tag: AmtPricTp

Presence: [1..1]

Definition: Type of amount price.

Datatype: ["AmountPriceType1Code"](#) on page 514

6.11.2 PriceValue

XML Tag: PricVal

Presence: [1..1]

Definition: Value of the price.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 640

Usage Guideline details

- on seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/PriceValue
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)
- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/PriceValue
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)







6.11.3 FinancialInstrumentQuantity




XML Tag: FinInstrmQty

Presence: [1..1]

Definition: Quantity of financial instrument.







The **FinInstrmQty** block contains the following elements (see datatype "FinancialInstrumentQuantity33Choice__1" on page 291 for details)


 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]


Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		291
	FaceAmount	<FaceAmt>	Or	[1..1]		299
	AmortisedValue	<AmtsdVal>	Or	[1..1]		306
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		313

6.12 AmountToAmountRatio2__1

Definition: Ratio expressed as a quotient of amounts.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount1	<Amt1>		[1..1]		56

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount2	<Amt2>		[1..1]		56

Used in element(s)

"AmountToAmount" on page 462, "AmountToAmount" on page 464

6.12.1 Amount1

XML Tag: Amt1

Presence: [1..1]

Definition: Numerator of the quotient of amounts.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 640

Usage Guideline details

- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/AmountToAmount/Amount1
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)
- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToAmount/Amount1
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)
- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForSubscribedResultantSecurities/AmountToAmount/Amount1
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)

6.12.2 Amount2

XML Tag: Amt2

Presence: [1..1]

Definition: Denominator of the quotient of amounts.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 640

Usage Guideline details

- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/AmountToAmount/Amount2
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)
- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToAmount/Amount2
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)
- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForSubscribedResultantSecurities/AmountToAmount/Amount2

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 640

6.13 BalanceFormat11Choice__1

Definition: Choice between balance, eligible balance and not eligible balance formats.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]	🚫	57
	EligibleBalance	<ElgblBal>	Or	[1..1]	🚫 ⚠	58
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]	🚫 ⚠	58

Used in element(s)

"AffectedBalance" on page 130, "BlockedBalance" on page 120, "BorrowedBalance" on page 121, "CollateralInBalance" on page 121, "CollateralOutBalance" on page 122, "InTransshipmentBalance" on page 127, "InstructedBalance" on page 130, "InstructedBalance" on page 290, "ObligatedBalance" on page 128, "OnLoanBalance" on page 123, "OutForRegistrationBalance" on page 125, "RegisteredBalance" on page 128, "StreetPositionBalance" on page 126, "TradeDatePositionBalance" on page 127, "UnaffectedBalance" on page 131, "UninstructedBalance" on page 129, "UninstructedBalance" on page 290

6.13.1 Balance

XML Tag: Bal

Presence: [1..1]

Definition: Provides information about balance related to a corporate action.

The **Bal** block contains the following elements (see datatype "[SignedQuantityFormat11](#)" on page 493 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]	⚠	493
	QuantityChoice	<QtyChc>		[1..1]		494

Usage Guideline details

- This element(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/Balance) is removed.
- This element(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/Out-ForRegistrationBalance/Balance) is removed.







6.13.2 EligibleBalance

XML Tag: ElgblBal

Presence: [1..1]

Definition: Provide eligible balance information in different formats.

The **ElgblBal** block contains the following elements (see datatype "SignedQuantityFormat10_1" on page 492 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		492
	Quantity	<Qty>		[1..1]		493

Usage Guideline details

- This element(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/In-structedBalance/EligibleBalance) is removed.
- This element(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/Bor-rowedBalance/EligibleBalance) is removed.
- This element(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/On-LoanBalance/EligibleBalance) is removed.
- This element(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/Out-ForRegistrationBalance/EligibleBalance) is removed.
- This element(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/Af-fectedBalance/EligibleBalance) is removed.
- This element(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/Collat-eralInBalance/EligibleBalance) is removed.
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOut-Balance/EligibleBalance
Comment:
 For Mandatory Reorg Events, position is eligible for entitlement but must be re-leased to receive entitlement.
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBal-ance/EligibleBalance
Comment:
 For Voluntary events, position eligible for instruction

6.13.3 NotEligibleBalance

XML Tag: NotElgblBal

Presence: [1..1]

Definition: Provide not eligible balance information in different formats.

The **NotElgblBal** block contains the following elements (see datatype "SignedQuantityFormat10_1" on page 492 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		492
	Quantity	<Qty>		[1..1]		493

Usage Guideline details





- This element(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/NotEligibleBalance) is removed.
- This element(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance/NotEligibleBalance) is removed.
- This element(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/NotEligibleBalance) is removed.
- This element(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/NotEligibleBalance) is removed.
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOutBalance/NotEligibleBalance
Comment:
For voluntary events only, balance not eligible for instruction
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/OutForRegistrationBalance/NotEligibleBalance
Comment:
Withdraw by Transfer not eligible for mandatory entitlement or voluntary instruction.
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/NotEligibleBalance
Comment:
For Voluntary Reorg events in which there is a position in the Called account which is not eligible for instruction

6.14 BalanceFormat12Choice__1

Definition: Choice between balance, eligible balance and not eligible balance formats.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		60

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EligibleBalance	<ElgblBal>	Or	[1..1]		60
	NotEligibleBalance	<NotElgblBal>	Or	[1..1]		61
	FullPeriodUnits	<FullPrdUnits>	Or	[1..1]		61
	PartWayPeriodUnits	<PartWayPrdUnits>	Or}	[1..1]		62

Used in element(s)

"PendingDeliveryBalance" on page 124, "PendingReceiptBalance" on page 124, "SettlementPositionBalance" on page 125







6.14.1 Balance

XML Tag: Bal

Presence: [1..1]

Definition: Provides information about balance related to a corporate action.

The **Bal** block contains the following elements (see datatype "SignedQuantityFormat11 1" on page 493 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		493
	QuantityChoice	<QtyChc>		[1..1]		494







6.14.2 EligibleBalance

XML Tag: ElgblBal

Presence: [1..1]

Definition: Provide eligible balance information in different formats.

The **ElgblBal** block contains the following elements (see datatype "SignedQuantityFormat10 1" on page 492 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		492
	Quantity	<Qty>		[1..1]		493

Usage Guideline details

- This element(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/EligibleBalance) is removed.







6.14.3 NotElgblBalance

XML Tag: NotElgblBal

Presence: [1..1]

Definition: Provide not eligible balance information in different formats.

The **NotElgblBal** block contains the following elements (see datatype "SignedQuantityFormat10 1" on page 492 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		492
	Quantity	<Qty>		[1..1]		493

Usage Guideline details

- This element(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/NotEligibleBalance) is removed.







6.14.4 FullPeriodUnits

XML Tag: FullPrdUnits

Presence: [1..1]

Definition: Number of units of a fund that were purchased in a previous distribution period and/or held at the beginning of a distribution period, for example Group I Units in the UK.

The **FullPrdUnits** block contains the following elements (see datatype "SignedQuantityFormat10 1" on page 492 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		492
	Quantity	<Qty>		[1..1]		493

Usage Guideline details

- This element(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/FullPeriodUnits) is removed.

6.14.5 PartWayPeriodUnits

XML Tag: PartWayPrdUnits

Presence: [1..1]

Definition: Number of units of a fund that were purchased part way throughout a distribution period, for example Group II Units in the U.K.

The **PartWayPrdUnits** block contains the following elements (see datatype "SignedQuantityFormat10_1" on page 492 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		492
	Quantity	<Qty>		[1..1]		493

Usage Guideline details

- This element(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/PartWayPeriodUnits) is removed.

6.15 BeneficiaryCertificationType13Choice__1

Definition: Choice between a standard code or proprietary code to specify the type of beneficiary certification required.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		62
	Proprietary	<Prtry>	Or}	[1..1]		63

Used in element(s)

"CertificationBreakdownType" on page 188

6.15.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Beneficial owner certification type expressed in a coded form.

Datatype: ["BeneficiaryCertificationType6Code" on page 517](#)

6.15.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Beneficial owner certification type expressed in a proprietary form.

The **Prtry** block contains the following elements (see datatype ["GenericIdentification30_1" on page 326](#) for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327
	SchemeName	<SchmeNm>		[0..1]		337

6.16 BidRangeType1Choice__1

Definition: Bid range type as a choice of a defined coded value or a proprietary value.

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		63
	Proprietary	<Prtry>	Or}	[1..1]		63

Used in element(s)

["BidRangeType" on page 189](#)

6.16.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Bid range type expressed as a code value.

Datatype: ["BidRangeType1Code" on page 518](#)

6.16.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Bid range type expressed as a proprietary value.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification30](#)" on page 326 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]	⚠	327
	SchemeName	<SchmeNm>		[0..1]	⚠	337

6.17 BlockchainAddressWallet3

Definition: Digital account where digital assets or digital tokens can be stored and where an entry is made.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		64
	Type	<Tp>		[0..1]		64
	Name	<Nm>		[0..1]		65

Used in element(s)

"[BlockchainCashWallet](#)" on page 67

6.17.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Unambiguous identification for the account between the account owner and the account servicer.

Datatype: "[Max140Text](#)" on page 614

6.17.2 Type

XML Tag: Tp

Presence: [0..1]

Definition: Specifies the type of securities account.

The **Tp** block contains the following elements (see datatype "[GenericIdentification30](#)" on page 325 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		325
	Issuer	<Issr>		[1..1]		325
	SchemeName	<SchmeNm>		[0..1]		326

6.17.3 Name

XML Tag: Nm







Presence: [0..1]

Definition: Description of the account.

Datatype: "Max70Text" on page 617

6.18 BorrowerLendingDeadline5__1

Definition: Stock lending deadline assigned to a borrower of the stock.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	StockLendingDeadline	<StockLndgDdln>		[1..1]		65
	Borrower	<Brrwr>		[1..1]		66

Used in element(s)

"BorrowerStockLendingDeadline" on page 137







6.18.1 StockLendingDeadline

XML Tag: StockLndgDdln

Presence: [1..1]

Definition: Date/time set as the deadline to respond, with instructions, to an outstanding event for which the underlying security is out on loan.

The **StockLndgDdln** block contains the following elements (see datatype "DateFormat43Choice 1" on page 247 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		247
	DateCode	<DtCd>	Or}	[1..1]		248







6.18.2 Borrower

XML Tag: Brrwr

Presence: [1..1]

Definition: Party who has borrowed stocks on loan.







The **Brrwr** block contains the following elements (see datatype "[PartyIdentification127Choice_1](#)" on page 383 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		383
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		383

6.19 CapitalGainFormat3Choice__1

Definition: Choice between a standard code or proprietary code to specify the type of capital gain.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		66
	Proprietary	<Prtry>	Or}	[1..1]		66

Used in element(s)

"[CapitalGainInOutIndicator](#)" on page 95

6.19.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Specifies whether capital gain is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June) for an income realised upon sale, a refund or redemption of shares and units etc.

Datatype: "[EUCapitalGain2Code](#)" on page 586







6.19.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of capital gain.







The **Prtry** block contains the following elements (see datatype "[GenericIdentification30_1](#)" on page 326 for details)




 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327
	SchemeName	<SchmeNm>		[0..1]		337

6.20 CashAccountIdentification9Choice__1

Definition: Unique identifier of an account, as assigned by the account servicer.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IBAN	<IBAN>	{Or	[1..1]		67
	BlockChainCashWallet	<BlckChainCshWllt>	Or	[1..1]		67
	Proprietary	<Prtry>	Or}	[1..1]	 	68

Used in element(s)

"CashAccountIdentification" on page 73

6.20.1 IBAN

XML Tag: IBAN

Presence: [1..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of a customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Datatype: "IBAN2007Identifier" on page 595

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/CashAccountIdentification/IBAN) is removed.

6.20.2 BlockChainCashWallet

XML Tag: BlckChainCshWllt

Presence: [1..1]

Definition: Block Chain Wallet where digital currencies are maintained. This is the equivalent of cash account for digital currencies.

The **BlockChainCshWlt** block contains the following elements (see datatype "[BlockChainAddressWallet3](#)" on page 64 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		64
	Type	<Tp>		[0..1]		64
	Name	<Nm>		[0..1]		65

6.20.3 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Unique identifier for an account. It is assigned by the account servicer using a proprietary identification scheme.

Datatype: "[RestrictedFINX2Max34Text](#)" on page 643

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/CashAccountIdentification/Proprietary) is removed.
- on seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/CashAccountIdentification/Proprietary







Type changed to: [RestrictedFINX2Max34Text](#) on page 643

6.21 CashOption116__1

Definition: Provides information about the cash option.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CreditDebitIndicator	<CdtDbtInd>		[1..1]		70
	ContractualPaymentIndicator	<CtrctlPmtInd>		[0..1]		70
	NonEligibleProceedsIndicator	<NonElgblPrcdsInd>		[0..1]		70
	IssuerOfferorTaxabilityIndicator	<IssrOfferrTaxbltyInd>		[0..1]		71
	IncomeType	<IncmTp>		[0..1]		71
	OtherIncomeType	<OthrIncmTp>		[0..*]		72
	ExemptionType	<XmptnTp>		[0..*]		72

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PriceCalculationMethod	<PricClctnMtd>		[0..1]		73
	CountryOfIncomeSource	<CtryOfIncmSrc>		[0..1]		73
	CashAccountIdentification	<CshAcctId>		[0..1]		73
	AmountDetails	<AmtDtls>		[0..1]		74
	DateDetails	<DtDtls>		[1..1]		76
	ForeignExchangeDetails	<FXDtls>		[0..1]		76
	RateAndAmountDetails	<RateAndAmtDtls>		[0..1]		77
	PriceDetails	<PricDtls>		[0..1]		78

Rules

R95 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

Error handling: Undefined

R96 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R97 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R98 IncomeType2Rule ✓

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00545
- *Error Text:* Invalid message contents for IncomeType. Element must be present.

Guidelines

1. GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price.) is derived from the event type.

Used in element(s)

"CashMovementDetails" on page 197

6.21.1 CreditDebitIndicator

XML Tag: CdtDbtInd

Presence: [1..1]

Definition: Indicates whether the value is a debit or a credit.

Datatype: "CreditDebitCode" on page 577

6.21.2 ContractualPaymentIndicator

XML Tag: CtrctlPmtInd

Presence: [0..1]

Definition: Specifies exceptions to contractual payment service.

Datatype: "Payment2Code" on page 627

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/ContractualPaymentIndicator) is removed.







6.21.3 NonEligibleProceedsIndicator

XML Tag: NonElgblPrclsInd

Presence: [0..1]

Definition: Specifies information regarding outturn resources that cannot be processed by the Central Securities Depository (CSD). Special delivery instruction is required from the account owner for the corporate action outcome to be credited.

The **NonElgblPrclsInd** block contains the following elements (see datatype "NonEligibleProceedsIndicator5Choice 1" on page 372 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		373
	Proprietary	<Prtry>	Or}	[1..1]		373

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/NonEligibleProceedsIndicator) is removed.







6.21.4 IssuerOfferorTaxabilityIndicator

XML Tag: IssrOfferrTaxbltyInd

Presence: [0..1]

Definition: Proceeds are taxable according to the information provided by the issuer / offeror.

The **IssrOfferrTaxbltyInd** block contains the following elements (see datatype "IssuerOfferorTaxabilityIndicator2Choice" on page 364 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		365
	Proprietary	<Prtry>	Or}	[1..1]		365

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/IssuerOfferorTaxabilityIndicator) is removed.







6.21.5 IncomeType


XML Tag: IncmTp


Presence: [0..1]

Definition: Specifies the type of income.

The **IncmTp** block contains the following elements (see datatype "GenericIdentification30_1" on page 326 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SchemeName	<SchmeNm>		[0..1]		337

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/Income-Type) is removed.







6.21.6 OtherIncomeType



XML Tag: OthrlncmTp

Presence: [0..*]

Definition: Type of income to be used under another tax regime.

The **OthrlncmTp** block contains the following elements (see datatype "GenericIdentification30_1" on page 326 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327
	SchemeName	<SchmeNm>		[0..1]		337

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/OtherIncomeType) is removed.







6.21.7 ExemptionType


XML Tag: XmpnTp


Presence: [0..*]

Definition: Specifies the basis for the reduced rate of withholding.

The **XmpnTp** block contains the following elements (see datatype "GenericIdentification30_1" on page 326 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SchemeName	<SchmeNm>		[0..1]		337

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/ExemptionType) is removed.







6.21.8 PriceCalculationMethod

XML Tag: PricClctnMtd

Presence: [0..1]

Definition: Specifies the method applied for the calculation of the price.

The **PricClctnMtd** block contains the following elements (see datatype "[PriceCalculationMethod2Choice 1](#)" on page 391 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		391
	Proprietary	<Prtry>	Or}	[1..1]		392

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/PriceCalculationMethod) is removed.

6.21.9 CountryOfIncomeSource

XML Tag: CtryOfIncmSrc

Presence: [0..1]

Definition: Indicates the country from which the income originates.

Datatype: "[CountryCode](#)" on page 577

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/CountryOfIncomeSource) is removed.

6.21.10 CashAccountIdentification

XML Tag: CshAcctId

Presence: [0..1]

Definition: Identification of the account in which cash is maintained.

The **CshAcctId** block contains the following elements (see datatype "CashAccountIdentification9Choice 1" on page 67 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IBAN	<IBAN>	{Or	[1..1]	🚫	67
	BlockChainCashWallet	<BlckChainCshWlt>	Or	[1..1]		67
	Proprietary	<Prtry>	Or}	[1..1]	🚫 ⚠	68

6.21.11 AmountDetails

XML Tag: AmtDtls
































Presence: [0..1]





Definition: Provides information about the amounts related to a cash movement.

The **AmtDtls** block contains the following elements (see datatype "CorporateActionAmounts77 1" on page 101 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	GrossAmount	<GrssAmt>		[0..1]	⚠	103
	NetAmount	<NetAmt>		[0..1]	⚠	103
	SolicitationFees	<SlctnFees>		[0..1]	⚠	103
	CashInLieuOfShare	<CshInLieuOfShr>		[0..1]	⚠	104
	CapitalGain	<CptlGn>		[0..1]	⚠	104
	InterestAmount	<IntrstAmt>		[0..1]	⚠	104
	IndemnityAmount	<IndmntyAmt>		[0..1]	⚠	105
	ManufacturedDividendPaymentAmount	<ManfctrdDvddPmtAmt>		[0..1]	⚠	105
	ReinvestmentAmount	<RinvstmtAmt>		[0..1]	⚠	105
	FullyFrankedAmount	<FullyFrnkAmt>		[0..1]	⚠	106
	UnfrankedAmount	<UfrnkAmt>		[0..1]	⚠	106
	SundryOrOtherAmount	<SndryOrOthrAmt>		[0..1]	⚠	106
	TaxFreeAmount	<TaxFreeAmt>		[0..1]	⚠	107
	TaxDeferredAmount	<TaxDfrdAmt>		[0..1]	⚠	107

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ValueAddedTaxAmount	<ValAddedTaxAmt>		[0..1]		107
	StampDutyAmount	<StmpDtyAmt>		[0..1]		108
	TaxReclaimAmount	<TaxRclmAmt>		[0..1]		108
	TaxCreditAmount	<TaxCdtAmt>		[0..1]		108
	AdditionalTaxAmount	<AddtlTaxAmt>		[0..1]		108
	WithholdingTaxAmount	<WhldgTaxAmt>		[0..1]		109
	SecondLevelTaxAmount	<ScndLvITaxAmt>		[0..1]		109
	FiscalStampAmount	<FscIStmpAmt>		[0..1]		109
	ExecutingBrokerAmount	<ExctgBrkrAmt>		[0..1]		110
	PayingAgentCommissionAmount	<PngAgtComssnAmt>		[0..1]		110
	LocalBrokerCommissionAmount	<LclBrkrComssnAmt>		[0..1]		110
	RegulatoryFeesAmount	<RgltryFeesAmt>		[0..1]		111
	ShippingFeesAmount	<ShppgFeesAmt>		[0..1]		111
	ChargesAmount	<ChrgsAmt>		[0..1]		111
	EntitledAmount	<EntitldAmt>		[0..1]		112
	OriginalAmount	<OrgnlAmt>		[0..1]		112
	PrincipalOrCorpus	<PrncplOrCrps>		[0..1]		112
	RedemptionPremiumAmount	<RedPrmAmt>		[0..1]		113
	IncomePortion	<IncmPrtn>		[0..1]		113
	StockExchangeTax	<StockXchgTax>		[0..1]		113
	EUTaxRetentionAmount	<EUTaxRtntnAmt>		[0..1]		114
	AccruedInterestAmount	<AcrdIntrstAmt>		[0..1]		114
	EqualisationAmount	<EqulstnAmt>		[0..1]		114
	FATCATaxAmount	<FATCATaxAmt>		[0..1]		115
	NRATaxAmount	<NRATaxAmt>		[0..1]		115
	BackUpWithholdingTaxAmount	<BckUpWhldgTaxAmt>		[0..1]		115
	TaxOnIncomeAmount	<TaxOnIncmAmt>		[0..1]		115
	TransactionTax	<TxTax>		[0..1]		116
	DeemedAmount	<DmdAmt>		[0..1]		116
	ForeignIncomeAmount	<FrgnIncmAmt>		[0..1]		116
	DeemedDividendAmount	<DmdDvddAmt>		[0..1]		117

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DeemedFundAmount	<DmdFndAmt>		[0..1]		117
	DeemedInterestAmount	<DmdIntrstAmt>		[0..1]		117
	DeemedRoyaltiesAmount	<DmdRyltsAmt>		[0..1]		118
	BuyUpAmount	<BuyUpAmt>		[0..1]		118

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/Amount-Details) is removed.







6.21.12 DateDetails




XML Tag: DtDtIs

Presence: [1..1]

Definition: Provides information about the dates related to a cash movement.

The **DtDtIs** block contains the following elements (see datatype "[CorporateActionDate84](#)" on page 156 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PaymentDate	<PmtDt>		[1..1]		157
	ValueDate	<ValDt>		[0..1]		157
	ForeignExchangeRateFixingDate	<FXRateFxdDt>		[0..1]		157
	EarliestPaymentDate	<EarlstPmtDt>		[0..1]		158







6.21.13 ForeignExchangeDetails

XML Tag: FXDtIs


Presence: [0..1]

Definition: Exchange rate between the amount and the resulting amount.

The **FXDtIs** block contains the following elements (see datatype "[ForeignExchangeTerms39](#)" on page 322 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	UnitCurrency	<UnitCcy>		[1..1]		322

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuotedCurrency	<QtdCcy>		[1..1]		322
	ExchangeRate	<XchgRate>		[1..1]		323
	ResultingAmount	<RsltgAmt>		[0..1]		323

Usage Guideline details

- This element(see v.031.001.16/CorporateActionOptionDetails/CashMovementDetails/ForeignExchangeDetails) is removed.







6.21.14 RateAndAmountDetails

XML Tag: RateAndAmtDtls

Presence: [0..1]

Definition: Provides information about the corporate action option.

The **RateAndAmtDtls** block contains the following elements (see datatype "Rate48 1" on page 417 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalTax	<AddtlTax>		[0..1]		420
	GrossDistributionRate	<GrssDstrbtnRate>		[0..*]		420
	GrossInterestRateUsedForPayment	<GrssIntrstRateUsd-ForPmt>		[0..*]		421
	WithholdingTaxRate	<WhldgTaxRate>		[0..*]		421
	SecondLevelTax	<ScndLvITax>		[0..*]		422
	ChargesFees	<ChrgsFees>		[0..1]		422
	EarlySolicitationFeeRate	<EarlySlctnFeeRate>		[0..1]		423
	FiscalStamp	<FscIStmp>		[0..1]		423
	ThirdPartyIncentiveRate	<ThrdPtyIncntivRate>		[0..1]		423
	NetDistributionRate	<NetDstrbtnRate>		[0..*]		424
	ApplicableRate	<ApplblRate>		[0..1]		424
	SolicitationFeeRate	<SlctnFeeRate>		[0..1]		425
	TaxCreditRate	<TaxCdtRate>		[0..1]		425
	TaxOnIncome	<TaxOnIncm>		[0..1]		425
	TaxOnProfits	<TaxOnPrfts>		[0..1]		426

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TaxReclaimRate	<TaxRclmRate>		[0..1]		426
	EqualisationRate	<EqulstnRate>		[0..1]		426
	DeemedRate	<DmdRate>		[0..*]		427

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails) is removed.







6.21.15 PriceDetails

XML Tag: PricDtls

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

The **PricDtls** block contains the following elements (see datatype "PriceDetails38__1" on page 392 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	GenericCashPricePaidPerProduct	<GncCshPricPdPerPdct>		[0..1]		392
	GenericCashPriceReceivedPerProduct	<GncCshPricRcvdPerPdct>		[0..1]		393
	CashInLieuOfSharePrice	<CshInLieuOfShrPric>		[0..1]		393

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/PriceDetails) is removed.

6.22 CertificationTypeFormat3Choice__1

Definition: Choice between a standard code or proprietary code to specify the certification format required.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		79

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Proprietary	<Prtry>	Or}	[1..1]		79

Used in element(s)

"CertificationType" on page 97

6.22.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the certification format required, that is, physical or electronic format.

Datatype: "CertificationFormatType1Code" on page 520







6.22.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the certification format.







The **Prtry** block contains the following elements (see datatype "GenericIdentification30_1" on page 326 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327
	SchemeName	<SchmeNm>		[0..1]		337

6.23 ClassificationType32Choice__1

Definition: Choice of format for the classification.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ClassificationFinancialInstrument	<ClssfctnFinInstrm>	{Or	[1..1]		80
	AlternateClassification	<AltrnClssfctn>	Or}	[1..1]		80

Used in element(s)

"ClassificationType" on page 268, "ClassificationType" on page 278

6.23.1 ClassificationFinancialInstrument

XML Tag: ClssfctnFinInstrm

Presence: [1..1]

Definition: ISO 10962 Classification of Financial Instrument (CFI).

Datatype: "CFIOct2015Identifier" on page 519







6.23.2 AlternateClassification




XML Tag: AltrnCllsfctn

Presence: [1..1]

Definition: Proprietary classification of financial instrument.







The **AltrnCllsfctn** block contains the following elements (see datatype "GenericIdentification36_1" on page 347 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		348
	Issuer	<Issr>		[1..1]		348
	SchemeName	<SchmeNm>		[0..1]		349

6.24 ConsentTypeFormat4Choice__1

Definition: Choice between a standard code or proprietary code to specify the consent type format required.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		80
	Proprietary	<Prtry>	Or}	[1..1]		81

Used in element(s)

"ConsentType" on page 97

6.24.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the consent type required.

Datatype: "ConsentType1Code" on page 520







6.24.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the consent type.







The **Prtry** block contains the following elements (see datatype "GenericIdentification30_1" on page 326 for details)














 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327
	SchemeName	<SchmeNm>		[0..1]		337

6.25 CorporateAction94__1

Definition: Provides information about the corporate action event.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateDetails	<DtDtls>		[0..1]		83
	PeriodDetails	<PrdDtls>		[0..1]		85
	RateAndAmountDetails	<RateAndAmtDtls>		[0..1]		86
	PriceDetails	<PricDtls>		[0..1]		87
	SecuritiesQuantity	<SctiesQty>		[0..1]		87
	InterestAccruedNumberOfDays	<IntrstAcrdNbOfDays>		[0..1]		88
	CouponNumber	<CpnNb>		[0..*]		88
	AcceptancePriorityLevel	<AcptncPrtyLvl>		[0..1]		88
	CertificationBreakdownIndicator	<CertfctnBrkdwnInd>		[0..1]		89
	ChargesAppliedIndicator	<ChrgsAppldInd>		[0..1]		89
	RestrictionIndicator	<RstrctnInd>		[0..1]		89
	AccruedInterestIndicator	<AcrdIntrstInd>		[0..1]		90
	ForfeitureOfInterestIndicator	<FrfrOfIntrstInd>		[0..1]		90
	LetterOfGuaranteedDeliveryIndicator	<LtrOfGrntedDlvryInd>		[0..1]		90

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShareholderRightsDirectiveIndicator	<ShrhldrRghtsDrctvInd>		[0..1]	⊘	90
	DividendType	<DvddTp>		[0..1]	⊘	91
	EventSequenceType	<EvtSeqTp>		[0..1]	⊘	91
	OccurrenceType	<OcrncTp>		[0..1]	⊘	92
	OfferType	<OfferTp>		[0..*]	⊘	92
	RenounceableEntitlementStatusType	<RnnclEntitlmtStsTp>		[0..1]	⊘	92
	EventStage	<EvtStag>		[0..*]	⚠	93
	AdditionalBusinessProcessIndicator	<AddtlBizPrclnd>		[0..*]		94
	ChangeType	<ChngTp>		[0..*]	⊘	94
	FollowingEventTypeIndicator	<FlwngEvtTpInd>		[0..1]		94
	CapitalGainInOutIndicator	<CptlGnlInOutInd>		[0..1]	⊘	95
	TaxableIncomePerShareCalculated	<TaxblIncmPerShrClctd>		[0..1]	⊘	95
	ElectionType	<ElctnTp>		[0..1]	⊘	96
	LotteryType	<LtryTp>		[0..1]	⚠	96
	CertificationType	<CertfctnTp>		[0..1]	⊘	97
	ConsentType	<CnsntTp>		[0..1]	⊘	97
	InformationType	<InfTp>		[0..1]	⊘	98
	TaxOnNonDistributedProceedsIndicator	<TaxOnNonDstrbtdPrcdslnd>		[0..*]		98
	DutchAuctionType	<DtchAuctnTp>		[0..1]	⊘	98
	ReverseDutchAuctionIndicator	<RvsDtchAuctnInd>		[0..1]	⊘	99
	NewPlaceOfIncorporation	<NewPlcOfIncorprtn>		[0..1]	⊘ ⚠	99
	AdditionalInformation	<AddtlInf>		[0..1]	⊘	99

Rules

R62 DissenterRights1UGRule

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

Error handling: Undefined

R63 DissenterRights2UGRule

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

Error handling: Undefined

R64 DissenterRights1Rule ✓

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00541
- *Error Text:* Invalid message contents for OfferType. Either code value DISS or NDISS is allowed, not both.

R65 DissenterRights2Rule ✓

If OfferType/Code value is equal to NDISS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00564
- *Error Text:* Invalid message contents for OfferType. Either code value DISS or NDISS is allowed, not both.

R66 AcceptancePriorityLevelUGRule

If OfferType/Code is equal to AcceptancePriority (ACPR), then AcceptancePriorityLevel must be present.

Error handling: Undefined

Used in element(s)

"CorporateActionDetails" on page 29

6.25.1 DateDetails

XML Tag: DtDtIs

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action event.

The **DtDtIs** block contains the following elements (see datatype "CorporateActionCode83 1" on page 138 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnnouncementDate	<AnncmntDt>		[0..1]		140
	CertificationDeadline	<CertfctnDdln>		[0..1]		140
	CourtApprovalDate	<CrtApprvlDt>		[0..1]		141
	EarlyClosingDate	<EarlyClsgDt>		[0..1]		141
	EffectiveDate	<FctvDt>		[0..1]		142
	EqualisationDate	<EqulstnDt>		[0..1]		142
	FurtherDetailedAnnouncement- Date	<FrthrDtldAnncmntDt>		[0..1]		143
	FixingDate	<FxdDt>		[0..1]		143
	LotteryDate	<LtryDt>		[0..1]		144
	NewMaturityDate	<NewMtrtyDt>		[0..1]		144
	MeetingDate	<MtgDt>		[0..1]		145
	MarginFixingDate	<MrgnFxdDt>		[0..1]		145
	ProrationDate	<PrratnDt>		[0..1]		146
	RecordDate	<RcrdDt>		[0..1]		146
	RegistrationDeadline	<RegnDdln>		[0..1]		147
	ResultsPublicationDate	<RsltsPblctnDt>		[0..1]		147
	DeadlineToSplit	<DdlnToSpl>		[0..1]		148
	DeadlineForTaxBreakdownIn- struction	<DdlnForTaxBrkdwIn- str>		[0..1]		148
	TradingSuspendedDate	<TradgSspdDt>		[0..1]		148
	UnconditionalDate	<UcondlDt>		[0..1]		149
	WhollyUnconditionalDate	<WhlyUcondlDt>		[0..1]		149
	ExDividendDate	<ExDvddDt>		[0..1]		150
	OfficialAnnouncementPublica- tionDate	<OffclAnncmntPblctnDt>		[0..1]		150
	SpecialExDate	<SpclExDt>		[0..1]		151
	GuaranteedParticipationDate	<GrntedPrtpctnDt>		[0..1]		151
	ElectionToCounterpartyMarket- Deadline	<ElctnToCtrPtyMktDdln>		[0..1]		152
	ElectionToCounterpartyRe- sponseDeadline	<ElctnToCtrPtyRspnD- dln>		[0..1]		152
	LapsedDate	<LpsdDt>		[0..1]		153

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PaymentDate	<PmtDt>		[0..1]		153
	ThirdPartyDeadline	<ThrdPtyDdln>		[0..1]	🚫	154
	EarlyThirdPartyDeadline	<EarlyThrdPtyDdln>		[0..1]	🚫	154
	MarketClaimTrackingEndDate	<MktClnTrckgEndDt>		[0..1]	🚫	154
	LeadPlaintiffDeadline	<LeadPlntffDdln>		[0..1]	🚫	155
	FilingDate	<FilgDt>		[0..1]	🚫	155
	HearingDate	<HrgDt>		[0..1]	🚫	156

6.25.2 PeriodDetails

XML Tag: PrdDtls

Presence: [0..1]

Definition: Provides information about the periods related to a corporate action event.

The **PrdDtls** block contains the following elements (see datatype "[CorporateActionPeriod17](#)" on page 200 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	InterestPeriod	<IntrstPrd>		[0..1]		200
	CompulsoryPurchasePeriod	<CmplsryPurchsPrd>		[0..1]		201
	ClaimPeriod	<ClnPrd>		[0..1]		201
	DepositorySuspensionPeriodFor- BookEntryTransfer	<DpstrySspnsnPrdFor- BookNtryTrf>		[0..1]		201
	DepositorySuspensionPeriod- ForDepositAtAgent	<DpstrySspnsnPrdForDp- stAtAgt>		[0..1]		202
	DepositorySuspensionPeriod- ForDeposit	<DpstrySspnsnPrd- ForDpst>		[0..1]		202
	DepositorySuspensionPeriodFor- Pledge	<DpstrySspnsnPrdFor- Pldg>		[0..1]		203
	DepositorySuspensionPeriod- ForSegregation	<DpstrySspnsnPrdForS- grtn>		[0..1]		203
	DepositorySuspensionPeriodFor- WithdrawalAtAgent	<DpstrySspnsnPrdForW- drwlAtAgt>		[0..1]		203
	DepositorySuspensionPeriodForW- ithdrawalInNmneeNm	<DpstrySspnsnPrdForW- drwlInNmneeNm>		[0..1]		204
	DepositorySuspensionPeriodForW- ithdrawalInStrtNm	<DpstrySspnsnPrdForW- drwlInStrtNm>		[0..1]		204
	BookClosurePeriod	<BookClsrPrd>		[0..1]		204

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CoDepositoriesSuspensionPeriod	<CoDpstriesSspnsnPrd>		[0..1]		205
	SplitPeriod	<SplltPrd>		[0..1]		205
	FiscalYearPeriod	<FsclYrPrd>		[0..1]		205

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/PeriodDetails) is removed.







6.25.3 RateAndAmountDetails

XML Tag: RateAndAmtDtls

Presence: [0..1]

Definition: Provides information about rates and amounts related to a corporate action event.

The **RateAndAmtDtls** block contains the following elements (see datatype "CorporateActionRate122_1" on page 223 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	InterestRate	<IntrstRate>		[0..1]		224
	PercentageSought	<PctgSght>		[0..1]		224
	RelatedIndex	<RltdIndx>		[0..1]		225
	Spread	<Sprd>		[0..1]		225
	BidInterval	<BidIntrvl>		[0..1]		226
	PreviousFactor	<PrvsFctr>		[0..1]		226
	NextFactor	<NxtFctr>		[0..1]		226
	ReinvestmentDiscountRate-ToMarket	<RinvstmtDscntRate-ToMkt>		[0..1]		227
	InterestShortfall	<IntrstShrtfll>		[0..1]		227
	RealisedLoss	<RealsdLoss>		[0..1]		227
	DeclaredRate	<DclrdRate>		[0..1]		228
	IndexFactor	<IndxFctr>		[0..1]		228

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/RateAndAmountDetails) is removed.







6.25.4 PriceDetails

XML Tag: PricDtls

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action event.

The **PricDtls** block contains the following elements (see datatype "[CorporateActionPrice85_1](#)" on page 211 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MaximumPrice	<MaxPric>		[0..1]		212
	MinimumPrice	<MinPric>		[0..1]		212
	FirstBidIncrementPrice	<FrstBidIncrmtPric>		[0..1]		213
	LastBidIncrementPrice	<LastBidIncrmtPric>		[0..1]		213

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/PriceDetails) is removed.







6.25.5 SecuritiesQuantity

XML Tag: SctiesQty

Presence: [0..1]

Definition: Provides information about securities quantity linked to a corporate action.

The **SctiesQty** block contains the following elements (see datatype "[CorporateActionQuantity15_1](#)" on page 219 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MaximumQuantity	<MaxQty>		[0..1]		219
	MinimumQuantitySought	<MinQtySght>		[0..1]		220
	NewBoardLotQuantity	<NewBrdLotQty>		[0..1]		220
	NewDenominationQuantity	<NewDnmtnQty>		[0..1]		221
	BaseDenomination	<BaseDnmtn>		[0..1]		221
	IncrementalDenomination	<IncrmtlDnmtn>		[0..1]		222
	SecurityCalledQuantity	<SctyClldQty>		[0..1]		222
	NewOutstandingQuantity	<NewOutsdngQty>		[0..1]		222

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TotalOutstandingQuantity	<TtlOutsdngQty>		[0..1]		223

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/SecuritiesQuantity) is removed.

6.25.6 InterestAccruedNumberOfDays

XML Tag: IntrstAcrdNbOfDays

Presence: [0..1]

Definition: Number of days used for calculating the accrued interest amount.

Datatype: "Max3Number" on page 616

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/InterestAccruedNumberOfDays) is removed.







6.25.7 CouponNumber


XML Tag: CpnNb

Presence: [0..*]

Definition: Number of the coupon attached/associated with a security.

The **CpnNb** block contains the following elements (see datatype "IdentificationFormat3Choice_1" on page 357 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortIdentification	<ShrtId>	{Or	[1..1]		357
	LongIdentification	<LngId>	Or	[1..1]		357
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		358

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/CouponNumber) is removed.

6.25.8 AcceptancePriorityLevel

XML Tag: AccptncPrtyLvl

Presence: [0..1]

Definition: Level of priority of the given offer which will be accepted by the issuer/agent within the tiered structure of the event.

Offer events in many cases are subject to proration.

Datatype: "Exact3UpperCaseAlphaNumericText" on page 590

Usage Guideline details

- This element([seev.031.001.16/CorporateActionDetails/AcceptancePriorityLevel](#)) is removed.

6.25.9 CertificationBreakdownIndicator

XML Tag: CertfctnBrkdwnInd

Presence: [0..1]

Definition: Indicates whether certification/breakdown is required.

Yes = certification required.

No = no certification required.

Datatype: "YesNoIndicator" on page 650

Usage Guideline details

- This element([seev.031.001.16/CorporateActionDetails/CertificationBreakdownIndicator](#)) is removed.

6.25.10 ChargesAppliedIndicator

XML Tag: ChrgsAppldInd

Presence: [0..1]

Definition: Indicates whether charges apply to the holder, for instance redemption charges.

Datatype: "YesNoIndicator" on page 650

Usage Guideline details

- This element([seev.031.001.16/CorporateActionDetails/ChargesAppliedIndicator](#)) is removed.

6.25.11 RestrictionIndicator

XML Tag: RstrctnInd

Presence: [0..1]

Definition: Indicates whether restrictions apply to the corporate action event or not.

Datatype: "YesNoIndicator" on page 650

Usage Guideline details

- This element([seev.031.001.16/CorporateActionDetails/RestrictionIndicator](#)) is removed.

6.25.12 **AccruedInterestIndicator**

XML Tag: AcrdIntrstInd

Presence: [0..1]

Definition: Indicates whether the holder is entitled to accrued interest.

Datatype: ["YesNoIndicator" on page 650](#)

Usage Guideline details

- This element([seev.031.001.16/CorporateActionDetails/AccruedInterestIndicator](#)) is removed.

6.25.13 **ForfeitureOfInterestIndicator**

XML Tag: FrftrOfIntrstInd

Presence: [0..1]

Definition: Indicates that bondholders who convert between record date and payable date will forfeit their interest.

Datatype: ["YesNoIndicator" on page 650](#)

Usage Guideline details

- This element([seev.031.001.16/CorporateActionDetails/ForfeitureOfInterestIndicator](#)) is removed.

6.25.14 **LetterOfGuaranteedDeliveryIndicator**

XML Tag: LttrOfGrntedDlvryInd

Presence: [0..1]

Definition: Indicates whether a letter of guaranteed delivery can be submitted in order to participate in the offer on full eligible position. It is not intended for use in situations arising from failed or late trades.

Datatype: ["YesNoIndicator" on page 650](#)

Usage Guideline details

- This element([seev.031.001.16/CorporateActionDetails/LetterOfGuaranteedDeliveryIndicator](#)) is removed.

6.25.15 **ShareholderRightsDirectiveIndicator**

XML Tag: ShrhlDrRghtsDrctvInd

Presence: [0..1]

Definition: Indicates whether the announcement was initiated by the first intermediary in the custody chain in accordance with SRD II.

Datatype: "YesNoIndicator" on page 650

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/ShareholderRightsDirectiveIndicator) is removed.

6.25.16 DividendType

XML Tag: DvddTp

Presence: [0..1]

Definition: Specifies the conditions in which a dividend is paid.

The **DvddTp** block contains the following elements (see datatype "DividendTypeFormat9Choice 1" on page 256 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		256
	Proprietary	<Prtry>	Or}	[1..1]		257

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/DividendType) is removed.

6.25.17 EventSequenceType

XML Tag: EvtSeqTp

Presence: [0..1]

Definition: Specifies whether the event is an interim or a final event in a series of predefined or planned events of the same type and for the same underlying instrument.

The **EvtSeqTp** block contains the following elements (see datatype "EventSequenceTypeFormat1Choice 1" on page 264 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		265
	Proprietary	<Prtry>	Or}	[1..1]		265

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/EventSequenceType) is removed.







6.25.18 OccurrenceType

XML Tag: OcrncTp

Presence: [0..1]

Definition: Specifies the conditions in which the instructions and/or payment of the proceeds occurs.

The **OcrncTp** block contains the following elements (see datatype "DistributionTypeFormat7Choice 1" on page 255 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		256
	Proprietary	<Prtry>	Or}	[1..1]		256

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/OccurrenceType) is removed.







6.25.19 OfferType

XML Tag: OfferTp

Presence: [0..*]

Definition: Specifies the conditions that apply to the offer.

The **OfferTp** block contains the following elements (see datatype "OfferTypeFormat18Choice 1" on page 373 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		374
	Proprietary	<Prtry>	Or}	[1..1]		374

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/OfferType) is removed.

6.25.20 RenounceableEntitlementStatusType

XML Tag: RnncbEntitlmntStsTp

Presence: [0..1]

Definition: Specifies whether terms of the event allow resale of the rights.

The **RnncbEntitlmntStsTp** block contains the following elements (see datatype "[RenounceableEntitlementStatusTypeFormat3Choice](#) 1" on page 465 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		465
	Proprietary	<Prtry>	Or}	[1..1]		465

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/RenounceableEntitlementStatusType) is removed.

6.25.21 EventStage

XML Tag: EvtStag

Presence: [0..*]

Definition: Stage in the corporate action event life cycle.

The **EvtStag** block contains the following elements (see datatype "[CorporateActionEventStageFormat13Choice](#) 1" on page 161 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		162
	Proprietary	<Prtry>	Or}	[1..1]	🚫	162

Usage Guideline details

- on seev.031.001.16/CorporateActionDetails/EventStage
 - **Applicability:**
true
 - **Redemption Rule:**
Mapped from DTCC Event Stage data element. Mapping is without conditions. The following codes are applicable: Cancellation and Re Run, Supplemental Cancellation and Supplemental Cancellation and Re Run. The Unconditional As to Acceptance field does not apply to Redemption based lottery events.
 - **REDEML Trace:**
Lottery Type

- on [seev.031.001.16/CorporateActionDetails/EventStage](#)

Synonym (DTCC): Event Stage

6.25.22 AdditionalBusinessProcessIndicator

XML Tag: AddtlBizPrclnd

Presence: [0..*]

Definition: Specifies the type of the additional business process linked to the corporate action event such as a claim compensation or tax refund.

The **AddtlBizPrclnd** block contains the following elements (see datatype "[AdditionalBusinessProcess-Format17Choice 1](#)" on page 45 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or}	[1..1]		46
	Proprietary	<Prtry>	Or}	[1..1]	❌	46

6.25.23 ChangeType

XML Tag: ChngTp

Presence: [0..*]

Definition: Specifies the type of change announced.

The **ChngTp** block contains the following elements (see datatype "[CorporateActionChangeTypeFormat5Choice 1](#)" on page 131 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or}	[1..1]		132
	Proprietary	<Prtry>	Or}	[1..1]		132

Usage Guideline details

- This element([seev.031.001.16/CorporateActionDetails/ChangeType](#)) is removed.

6.25.24 FollowingEventTypeIndicator

XML Tag: FlwngEvtTpInd

Presence: [0..1]

Definition: Specifies the event type of the following event.

The **FillwngEvtTpInd** block contains the following elements (see datatype "[IntermediateSecuritiesDistributionTypeFormat19Choice](#)" on page 363 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		364
	Proprietary	<Prtry>	Or}	[1..1]	🚫	364

6.25.25 CapitalGainInOutIndicator

XML Tag: CptlGnlInOutInd

Presence: [0..1]

Definition: Specifies whether the capital gain is in the scope of the EU Savings directive for the income realised upon the sale, refund or redemption of shares and units (.) (Article 6(1d)).

The **CptlGnlInOutInd** block contains the following elements (see datatype "[CapitalGainFormat3Choice](#)" on page 66 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		66
	Proprietary	<Prtry>	Or}	[1..1]		66

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/CapitalGainInOutIndicator) is removed.

6.25.26 TaxableIncomePerShareCalculated

XML Tag: TaxblIncmPerShrClctd

Presence: [0..1]

Definition: Specifies whether the financial instrument calculates the taxable income per dividend/taxable income per share.

The **TaxblIncmPerShrClctd** block contains the following elements (see datatype "[TaxableIncomePerShareCalculatedFormat3Choice](#)" on page 497 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		497
	Proprietary	<Prtry>	Or}	[1..1]		497

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/TaxableIncomePerShareCalculated) is removed.

6.25.27 ElectionType

XML Tag: ElctnTp

Presence: [0..1]

Definition: Specifies the effect on the holdings of electing a corporate action option.

The **ElctnTp** block contains the following elements (see datatype "[ElectionTypeFormat3Choice 1](#)" on page 263 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		264
	Proprietary	<Prtry>	Or}	[1..1]		264

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/ElectionType) is removed.

6.25.28 LotteryType

XML Tag: LtryTp

Presence: [0..1]

Definition: Specifies the type of lottery announced.

The **LtryTp** block contains the following elements (see datatype "[LotteryTypeFormat4Choice 1](#)" on page 365 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		366
	Proprietary	<Prtry>	Or}	[1..1]		366

Usage Guideline details

- on seev.031.001.16/CorporateActionDetails/LotteryType
 - **Redemption Rule:**
Mapped from DTCC Lottery Type data element. Mapping is without conditions. The following codes are applicable: Original and Supplemental.

- **Applicability:**
true
- **REDEML Trace:**
Lottery Type
- on seev.031.001.16/CorporateActionDetails/LotteryType
Synonym (DTCC): Lottery Type

6.25.29 CertificationType

XML Tag: CertfctnTp

Presence: [0..1]

Definition: Specifies the certification format required, this is, physical or electronic format.

The **CertfctnTp** block contains the following elements (see datatype "[CertificationTypeFormat3Choice_1](#)" on page 78 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		79
	Proprietary	<Prtry>	Or}	[1..1]		79

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/CertificationType) is removed.

6.25.30 ConsentType

XML Tag: CnsntTp

Presence: [0..1]

Definition: Specifies the type of consent announced.

The **CnsntTp** block contains the following elements (see datatype "[ConsentTypeFormat4Choice_1](#)" on page 80 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		80
	Proprietary	<Prtry>	Or}	[1..1]		81

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/ConsentType) is removed.







6.25.31 InformationType

XML Tag: InfTp

Presence: [0..1]

Definition: Specifies the type of information event.

The **InfTp** block contains the following elements (see datatype "[InformationTypeFormat4Choice](#) 1" on page 360 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		360
	Proprietary	<Prtry>	Or}	[1..1]		361

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/InformationType) is removed.







6.25.32 TaxOnNonDistributedProceedsIndicator





XML Tag: TaxOnNonDstrbtdPrcdsInd

Presence: [0..*]

Definition: Specifies the tax regulation being attributed to the non-distributed proceeds event or to any other event.

The **TaxOnNonDstrbtdPrcdsInd** block contains the following elements (see datatype "[GenericIdentification30](#) 1" on page 326 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327
	SchemeName	<SchmeNm>		[0..1]	 	337

6.25.33 DutchAuctionType

XML Tag: DtchAuctnTp

Presence: [0..1]

Definition: Specifies whether a Dutch auction is modified or unmodified.

The **DtchAuctnTp** block contains the following elements (see datatype "[DutchAuctionTypeFormat1Choice](#)" on page 263 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		263
	Proprietary	<Prtry>	Or}	[1..1]		263

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/DutchAuctionType) is removed.

6.25.34 ReverseDutchAuctionIndicator

XML Tag: RvsDtchAuctnInd

Presence: [0..1]

Definition: Indicates that the Dutch Auction event is a Reverse Dutch Auction.

Datatype: "[YesNoIndicator](#)" on page 650

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/ReverseDutchAuctionIndicator) is removed.

6.25.35 NewPlaceOfIncorporation

XML Tag: NewPlcOfIncorprtn

Presence: [0..1]

Definition: New company's place of incorporation.

Datatype: "[RestrictedFINXMax350Text](#)" on page 645

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/NewPlaceOfIncorporation) is removed.
- on seev.031.001.16/CorporateActionDetails/NewPlaceOfIncorporation
Type changed to: [RestrictedFINXMax350Text](#) on page 645







6.25.36 AdditionalInformation




XML Tag: AddtlInf

Presence: [0..1]

Definition: Provides additional information. This field may only be used when the information to be transmitted, cannot be coded.

The **AddtlInf** block contains the following elements (see datatype "[CorporateActionNarrative58__1](#)" on page 170 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Offeror	<Offerr>		[0..*]		171
	NewCompanyName	<NewCpnyNm>		[0..1]		171
	URLAddress	<URLAdr>		[0..*]		171
	EventProcessingWebSiteAddress	<EvtPrcgWebSiteAdr>		[0..1]		171



Usage Guideline details

- This element([seev.031.001.16/CorporateActionDetails/AdditionalInformation](#)) is removed.

6.26 CorporateActionAmounts60__1

Definition: Specifies amounts in the framework of a corporate action event.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	WithholdingTaxAmount	<WhldgTaxAmt>		[0..1]		100
	SecondLevelTaxAmount	<ScndLvITaxAmt>		[0..1]		101

Used in element(s)

"[AmountDetails](#)" on page 482

6.26.1 WithholdingTaxAmount

XML Tag: WhldgTaxAmt

Presence: [0..1]

Definition: Amount of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

Datatype: "[RestrictedFINActiveCurrencyAndAmount](#)" on page 641

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/AmountDetails/WithholdingTaxAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 641

6.26.2 SecondLevelTaxAmount

XML Tag: ScndLvlTaxAmt

Presence: [0..1]

Definition: Amount of money withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate levied by the jurisdiction of the issuer's tax domicile.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 641

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/AmountDetails/SecondLevelTaxAmount](#)


























Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 641








6.27 CorporateActionAmounts77__1

Definition: Specifies amounts in the framework of a corporate action event.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	GrossAmount	<GrssAmt>		[0..1]	⚠	103
	NetAmount	<NetAmt>		[0..1]	⚠	103
	SolicitationFees	<SlctnFees>		[0..1]	⚠	103
	CashInLieuOfShare	<CshInLieuOfShr>		[0..1]	⚠	104
	CapitalGain	<CptlGn>		[0..1]	⚠	104
	InterestAmount	<IntrstAmt>		[0..1]	⚠	104
	IndemnityAmount	<IndmntyAmt>		[0..1]	⚠	105
	ManufacturedDividendPaymentAmount	<ManfctrdDvddPmtAmt>		[0..1]	⚠	105
	ReinvestmentAmount	<RinvstmtAmt>		[0..1]	⚠	105
	FullyFrankedAmount	<FullyFrnkdAmt>		[0..1]	⚠	106
	UnfrankedAmount	<UfrnkAmt>		[0..1]	⚠	106

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SundryOrOtherAmount	<SndryOrOthrAmt>		[0..1]		106
	TaxFreeAmount	<TaxFreeAmt>		[0..1]		107
	TaxDeferredAmount	<TaxDfrdAmt>		[0..1]		107
	ValueAddedTaxAmount	<ValAddedTaxAmt>		[0..1]		107
	StampDutyAmount	<StmpDtyAmt>		[0..1]		108
	TaxReclaimAmount	<TaxRclmAmt>		[0..1]		108
	TaxCreditAmount	<TaxCdtAmt>		[0..1]		108
	AdditionalTaxAmount	<AddtlTaxAmt>		[0..1]		108
	WithholdingTaxAmount	<WhldgTaxAmt>		[0..1]		109
	SecondLevelTaxAmount	<ScndLvITaxAmt>		[0..1]		109
	FiscalStampAmount	<FscIStmpAmt>		[0..1]		109
	ExecutingBrokerAmount	<ExctgBrkrAmt>		[0..1]		110
	PayingAgentCommissionAmount	<PngAgtComssnAmt>		[0..1]		110
	LocalBrokerCommissionAmount	<LclBrkrComssnAmt>		[0..1]		110
	RegulatoryFeesAmount	<RgltryFeesAmt>		[0..1]		111
	ShippingFeesAmount	<ShppgFeesAmt>		[0..1]		111
	ChargesAmount	<ChrgsAmt>		[0..1]		111
	EntitledAmount	<EntitldAmt>		[0..1]		112
	OriginalAmount	<OrgnlAmt>		[0..1]		112
	PrincipalOrCorpus	<PrncplOrCrps>		[0..1]		112
	RedemptionPremiumAmount	<RedPrmAmt>		[0..1]		113
	IncomePortion	<IncmPrtn>		[0..1]		113
	StockExchangeTax	<StockXchgTax>		[0..1]		113
	EUTaxRetentionAmount	<EUTaxRtntnAmt>		[0..1]		114
	AccruedInterestAmount	<AcrdIntrstAmt>		[0..1]		114
	EqualisationAmount	<EqulstnAmt>		[0..1]		114
	FATCATaxAmount	<FATCATaxAmt>		[0..1]		115
	NRATaxAmount	<NRATaxAmt>		[0..1]		115
	BackUpWithholdingTaxAmount	<BckUpWhldgTaxAmt>		[0..1]		115
	TaxOnIncomeAmount	<TaxOnIncmAmt>		[0..1]		115
	TransactionTax	<TxTax>		[0..1]		116

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	DeemedAmount	<DmdAmt>		[0..1]		116
	ForeignIncomeAmount	<FrgrnIncmAmt>		[0..1]		116
	DeemedDividendAmount	<DmdDvddAmt>		[0..1]		117
	DeemedFundAmount	<DmdFndAmt>		[0..1]		117
	DeemedInterestAmount	<DmdIntrstAmt>		[0..1]		117
	DeemedRoyaltiesAmount	<DmdRyltsAmt>		[0..1]		118
	BuyUpAmount	<BuyUpAmt>		[0..1]		118

Used in element(s)

"AmountDetails" on page 74

6.27.1 GrossAmount

XML Tag: GrssAmt

Presence: [0..1]

Definition: Amount of money before any tax deductions have been made.

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 641

Usage Guideline details

- on seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/GrossAmount

Type changed to: RestrictedFINActiveCurrencyAndAmount on page 641

6.27.2 NetAmount

XML Tag: NetAmt

Presence: [0..1]

Definition: Amount of money after tax deductions have been made.

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 641

Usage Guideline details

- on seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/NetAmount

Type changed to: RestrictedFINActiveCurrencyAndAmount on page 641

6.27.3 SolicitationFees

XML Tag: SlctnFees

Presence: [0..1]

Definition: Cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fees.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 641](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/SolicitationFees](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 641](#)

6.27.4 CashInLieuOfShare

XML Tag: CshInLieuOfShr

Presence: [0..1]

Definition: Cash disbursement in lieu of a fractional quantity of, for example, equity.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 641](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/CashInLieuOfShare](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 641](#)

6.27.5 CapitalGain

XML Tag: CptlGn

Presence: [0..1]

Definition: Amount of money distributed as the result of a capital gain.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 641](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/CapitalGain](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 641](#)

6.27.6 InterestAmount

XML Tag: IntrstAmt

Presence: [0..1]

Definition: Amount of money representing a coupon payment.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 641](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/InterestAmount](#)
Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 641

6.27.7 IndemnityAmount

XML Tag: IndmntyAmt

Presence: [0..1]

Definition: Market claim/reverse market claim amount treated as an indemnity (whether net or gross).

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 641

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/IndemnityAmount](#)
Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 641

6.27.8 ManufacturedDividendPaymentAmount

XML Tag: ManfctrdDvddPmtAmt

Presence: [0..1]

Definition: Any amount representative of a dividend/other income payment that is required to be paid by one person to another, under a contract or any other arrangement between the parties, for the transfer of the underlying securities. Manufactured payments are a compensation/substitute payment made by the holder of the securities to the original owner, to reflect the fact that the original owner does not receive the real dividend or interest.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 641

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/ManufacturedDividendPaymentAmount](#)
Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 641

6.27.9 ReinvestmentAmount

XML Tag: RinvstmtAmt

Presence: [0..1]

Definition: Amount of money reinvested in additional securities.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 641

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/ReinvestmentAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 641

6.27.10 FullyFrankedAmount

XML Tag: FullyFrnkdAmt

Presence: [0..1]

Definition: Amount resulting from a fully franked dividend paid by a company; amount includes tax credit for companies that have made sufficient tax payments during the fiscal period.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 641

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/FullyFrankedAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 641

6.27.11 UnfrankedAmount

XML Tag: UfrnkdAmt

Presence: [0..1]

Definition: Amount resulting from an unfranked dividend paid by a company; the amount does not include tax credit and is subject to withholding tax.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 641

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/UnfrankedAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 641

6.27.12 SundryOrOtherAmount

XML Tag: SndryOrOthrAmt

Presence: [0..1]

Definition: Amount of money related to taxable income that cannot be categorised.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 641

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/SundryOrOtherAmount](#)
Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 641

6.27.13 TaxFreeAmount

XML Tag: TaxFreeAmt

Presence: [0..1]

Definition: Amount of money that has not been subject to taxation.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 641

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/TaxFreeAmount](#)
Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 641

6.27.14 TaxDeferredAmount

XML Tag: TaxDfrdAmt

Presence: [0..1]

Definition: Amount of income eligible for deferred taxation.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 641

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/TaxDeferredAmount](#)
Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 641

6.27.15 ValueAddedTaxAmount

XML Tag: ValAddedTaxAmt

Presence: [0..1]

Definition: Amount of value added tax.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 641

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/ValueAddedTaxAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 641](#)

6.27.16 StampDutyAmount

XML Tag: StmpDtyAmt

Presence: [0..1]

Definition: Amount of stamp duty.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 641](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/StampDutyAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 641](#)

6.27.17 TaxReclaimAmount

XML Tag: TaxRclmAmt

Presence: [0..1]

Definition: Amount that was paid in excess of actual tax obligation and was reclaimed.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 641](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/TaxReclaimAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 641](#)

6.27.18 TaxCreditAmount

XML Tag: TaxCdtAmt

Presence: [0..1]

Definition: Amount of taxes that have been previously paid in relation to the taxable event.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 641](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/TaxCreditAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 641](#)

6.27.19 AdditionalTaxAmount

XML Tag: AddtlTaxAmt

Presence: [0..1]

Definition: Amount of additional taxes that cannot be categorised.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 641](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/AdditionalTaxAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 641](#)

6.27.20 WithholdingTaxAmount

XML Tag: WhldgTaxAmt

Presence: [0..1]

Definition: Amount of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 641](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/WithholdingTaxAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 641](#)

6.27.21 SecondLevelTaxAmount

XML Tag: ScndLvITaxAmt

Presence: [0..1]

Definition: Amount of money withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate levied by the jurisdiction of the issuer's tax domicile.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 641](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/SecondLevelTaxAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 641](#)

6.27.22 FiscalStampAmount

XML Tag: FscIStmpAmt

Presence: [0..1]

Definition: Amount of fiscal tax to apply.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 641](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/FiscalStampAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 641](#)

6.27.23 ExecutingBrokerAmount

XML Tag: ExctgBrkrAmt

Presence: [0..1]

Definition: Amount of money paid to an executing broker as a commission.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 641](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/ExecutingBrokerAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 641](#)

6.27.24 PayingAgentCommissionAmount

XML Tag: PngAgtComssnAmt

Presence: [0..1]

Definition: Amount of paying/sub-paying agent commission.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 641](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/PayingAgentCommissionAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 641](#)

6.27.25 LocalBrokerCommissionAmount

XML Tag: LclBrkrComssnAmt

Presence: [0..1]

Definition: Local broker's commission.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 641](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/LocalBrokerCommissionAmount](#)
Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 641

6.27.26 RegulatoryFeesAmount

XML Tag: RgltryFeesAmt

Presence: [0..1]

Definition: Amount of money charged by a regulatory authority, for example, securities and exchange fees.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 641

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/RegulatoryFeesAmount](#)
Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 641

6.27.27 ShippingFeesAmount

XML Tag: ShppgFeesAmt

Presence: [0..1]

Definition: All costs related to the physical delivery of documents such as stamps, postage, carrier fees, insurances or messenger services.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 641

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/ShippingFeesAmount](#)
Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 641

6.27.28 ChargesAmount

XML Tag: ChrgsAmt

Presence: [0..1]

Definition: Amount of money paid for the provision of financial services that cannot be categorised by another qualifier.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 641

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/ChargesAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 641

6.27.29 EntitledAmount

XML Tag: EntitldAmt

Presence: [0..1]

Definition: Cash amount based on the terms of the corporate action event and balance of underlying securities, entitled to/from account owner (which may be positive or negative).

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 641

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/EntitledAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 641

6.27.30 OriginalAmount

XML Tag: OrgnlAmt

Presence: [0..1]

Definition: Posting/settlement amount in its original currency when conversion from/into another currency has occurred.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 641

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/OriginalAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 641

6.27.31 PrincipalOrCorpus

XML Tag: PrncplOrCrps

Presence: [0..1]

Definition: Amount of money representing a distribution of a bond's principal, for example, repayment of outstanding debt.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 641

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/PrincipalOrCorpus](#)
Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 641

6.27.32 RedemptionPremiumAmount*XML Tag:* RedPrmAmt*Presence:* [0..1]*Definition:* Amount of money (not interest) in addition to the principal at the redemption of a bond.*Datatype:* ["RestrictedFINActiveCurrencyAndAmount"](#) on page 641**Usage Guideline details**

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/RedemptionPremiumAmount](#)
Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 641

6.27.33 IncomePortion*XML Tag:* IncmPrtn*Presence:* [0..1]*Definition:* Amount relating to the underlying security for which income is distributed.*Datatype:* ["RestrictedFINActiveCurrencyAndAmount"](#) on page 641**Usage Guideline details**

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/IncomePortion](#)
Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 641

6.27.34 StockExchangeTax*XML Tag:* StockXchgTax*Presence:* [0..1]*Definition:* Amount of stock exchange tax.*Datatype:* ["RestrictedFINActiveCurrencyAndAmount"](#) on page 641**Usage Guideline details**

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/StockExchangeTax](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 641](#)

6.27.35 EUTaxRetentionAmount

XML Tag: EUTaxRtnAmt

Presence: [0..1]

Definition: Total amount of tax withheld at source in conformance with the EU Savings Directive.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 641](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/EUTaxRetentionAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 641](#)

6.27.36 AccruedInterestAmount

XML Tag: AcrdIntrstAmt

Presence: [0..1]

Definition: Amount of interest that has been accrued in between coupon payment periods.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 641](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/AccruedInterestAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 641](#)

6.27.37 EqualisationAmount

XML Tag: EqulstnAmt

Presence: [0..1]

Definition: Portion of the fund distribution amount which represents the average accrued income included in the purchase price for units bought during the account period.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 641](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/EqualisationAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 641](#)

6.27.38 FATCATaxAmount

XML Tag: FATCATaxAmt

Presence: [0..1]

Definition: FATCA (Foreign Account Tax Compliance Act) related tax amount.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 641](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/FAT-CATaxAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 641](#)

6.27.39 NRATaxAmount

XML Tag: NRATaxAmt

Presence: [0..1]

Definition: Amount of tax related income subject to NRA (Non Resident Alien).

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 641](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/NRATaxAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 641](#)

6.27.40 BackUpWithholdingTaxAmount

XML Tag: BckUpWhldgTaxAmt

Presence: [0..1]

Definition: Amount of tax related to back up withholding.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 641](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/BackUpWithholdingTaxAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 641](#)

6.27.41 TaxOnIncomeAmount

XML Tag: TaxOnIncmAmt

Presence: [0..1]

Definition: Amount of overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 641](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/Tax-OnIncomeAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 641](#)

6.27.42 TransactionTax

XML Tag: TxTax

Presence: [0..1]

Definition: Amount of transaction tax.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 641](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/TransactionTax](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 641](#)

6.27.43 DeemedAmount

XML Tag: DmdAmt

Presence: [0..1]

Definition: Amount of proceeds which is not actually paid to the security holder but on which withholding tax is applicable.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 641](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/DeemedAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 641](#)

6.27.44 ForeignIncomeAmount

XML Tag: FrgnIncmAmt

Presence: [0..1]

Definition: Amount relating to a foreign income such as a conduit foreign income.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 641](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/ForeignIncomeAmount](#)
Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 641

6.27.45 DeemedDividendAmount

XML Tag: DmdDvddAmt

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of dividend proceeds attributed to the security holder for the taxable year and the rate of dividend proceeds previously notified to the security holder in relation to cash distributions for the year.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 641

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/DeemedDividendAmount](#)
Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 641

6.27.46 DeemedFundAmount

XML Tag: DmdFndAmt

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of the proceeds components comprising a fund payment attributed to the security holder for the taxable year and the rate of the fund proceeds previously notified to the security holder in relation to cash distributions for the year.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 641

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/DeemedFundAmount](#)
Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 641

6.27.47 DeemedInterestAmount

XML Tag: DmdIntrstAmt

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of interest proceeds attributed to the security holder for the taxable year and the rate of interest proceeds previously notified to the security holder in relation to cash distributions for the year.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 641

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/DeemedInterestAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 641

6.27.48 DeemedRoyaltiesAmount

XML Tag: DmdRyltsAmt

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of royalty proceeds attributed to the security holder for the taxable year and the rate of royalty proceeds previously notified to the security holder in relation to cash distributions for the year.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 641

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/DeemedRoyaltiesAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 641

6.27.49 BuyUpAmount

XML Tag: BuyUpAmt

Presence: [0..1]

Definition: Amount of cash debited in the context of a buy up option.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 641







Usage Guideline details



- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/AmountDetails/BuyUpAmount](#)


















Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 641

6.28 CorporateActionBalanceDetails43__1

Definition: Eligible and not eligible balance of securities for a corporate action event.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

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	RegisteredBalance	<RegdBal>		[0..1]		128
	ObligatedBalance	<OblgtdBal>		[0..1]		128
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Rules

R61 AffectedAndUnaffectedBalanceRule

UnaffectedBalance and AffectedBalance should only be mentioned as a result of the process that was run through the event. For instance lottery results, Dutch auction results or tender offer results.

Error handling: Undefined

Used in element(s)

"Balance" on page 43

6.28.1 TotalEligibleBalance



XML Tag: TtlElgblBal

Presence: [0..1]

Definition: Total balance of securities eligible for this corporate action event. The entitlement calculation is based on this balance.

The **TtlElgblBal** block contains the following elements (see datatype "TotalEligibleBalanceFormat10_1" on page 499 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>		[0..1]		499
	FullPeriodUnits	<FullPrdUnits>		[0..1]		499
	PartWayPeriodUnits	<PartWayPrdUnits>		[0..1]		500

Usage Guideline details

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance**
 - Total Eligible Balance Distributions Rule:**
 Represents current eligible [for elections, allocations] balance.
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance**
 - Applicability:**
 true
 - Redemption Rule:**
 The summary of the subaccounts as of the day prior to Publication Date.
 - REDEML Trace:**
 Total Position
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance**
Synonym (DTCC): Total Eligible Balance
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance**
Comment:
 Balance eligible for allocations, elections.







6.28.2 BlockedBalance

XML Tag: BlckdBal

Presence: [0..1]

Definition: Balance of financial instruments that are blocked.

The **BlckdBal** block contains the following elements (see datatype "BalanceFormat11Choice 1" on page 57 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		57
	EligibleBalance	<ElgblBal>	Or	[1..1]		58
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		58

Usage Guideline details

- This element(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/BlockedBalance) is removed.







6.28.3 BorrowedBalance



XML Tag: BrrwdBal

Presence: [0..1]

Definition: Balance of financial instruments that have been borrowed from another party.

The **BrrwdBal** block contains the following elements (see datatype "BalanceFormat11Choice 1" on page 57 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		57
	EligibleBalance	<ElgblBal>	Or	[1..1]		58
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		58

Usage Guideline details

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance
 - Market Practice Usage Rule:

Represents negative net balance of the stock loans.
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance

Synonym (DTCC): Stock Loans (negative balance)

6.28.4 CollateralInBalance

XML Tag: CollInBal

Presence: [0..1]

Definition: Balance of securities that belong to a third party and that are held for the purpose of collateralisation.

The **CollInBal** block contains the following elements (see datatype "BalanceFormat11Choice_1" on page 57 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		57
	EligibleBalance	<ElgblBal>	Or	[1..1]	🚫	58
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]	🚫	58

Usage Guideline details

- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance**
 - **Market Practice Implementation Rule:**
Represents positive net balance of the repurchase agreements (REPO).
- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance**
Synonym (DTCC): REPO (positive balance)

6.28.5 CollateralOutBalance

XML Tag: CollOutBal

Presence: [0..1]

Definition: Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.

The **CollOutBal** block contains the following elements (see datatype "BalanceFormat11Choice_1" on page 57 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		57
	EligibleBalance	<ElgblBal>	Or	[1..1]	⚠	58
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]	⚠	58

Usage Guideline details

- on `seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOut-Balance`
 - **DTCC Collateral Out Balance Distributions Rule:**
Represents negative net balance of the repurchase agreements (REPO).
- on `seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOut-Balance`
 - **Applicability:**
true
 - **Redemption Rule:**
Portion of the Original Balance held in DTC pledged account as of day prior to publication date. Position held in this account is subject to redemption lottery call. However, pledged units must be released to allow allocation.
 - **REDEML Trace:**
Pledged Position
- on `seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOut-Balance`
Synonym (DTCC (Distributions)): REPO (Negative balance)
- on `seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOut-Balance`
Synonym (DTCC (Redemptions)): Pledged Balance

6.28.6 OnLoanBalance

XML Tag: OnLnBal

Presence: [0..1]

Definition: Balance of financial instruments that have been loaned to a third party.

The **OnLnBal** block contains the following elements (see datatype "BalanceFormat11Choice_1" on page 57 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		57
	EligibleBalance	<ElgblBal>	Or	[1..1]	🚫	58
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]	🚫	58

Usage Guideline details

- on `seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance`
 - **Market Practice Implementation Rule:**

Represents positive net balance of the stock loans.

- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance**
Synonym (DTCC): Stock Loans (positivebalance)

6.28.7 PendingDeliveryBalance

XML Tag: PdgDlvryBal

Presence: [0..*]

Definition: Balance of financial instruments that are pending delivery.

The **PdgDlvryBal** block contains the following elements (see datatype "[BalanceFormat12Choice 1](#)" on [page 59](#) for details)

❌ Removed - ⊗ Partial Removed - ◇ Ignored - ⊠ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		60
	EligibleBalance	<ElgblBal>	Or	[1..1]		60
	NotEligibleBalance	<NotElgblBal>	Or	[1..1]		61
	FullPeriodUnits	<FullPrdUnits>	Or	[1..1]		61
	PartWayPeriodUnits	<PartWayPrdUnits>	Or}	[1..1]		62

Usage Guideline details

- This element(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance) is removed.

6.28.8 PendingReceiptBalance

XML Tag: PdgRctBal

Presence: [0..*]

Definition: Balance of financial instruments that are pending receipt.

The **PdgRctBal** block contains the following elements (see datatype "[BalanceFormat12Choice 1](#)" on [page 59](#) for details)

❌ Removed - ⊗ Partial Removed - ◇ Ignored - ⊠ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		60
	EligibleBalance	<ElgblBal>	Or	[1..1]		60

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NotEligibleBalance	<NotElgblBal>	Or	[1..1]		61
	FullPeriodUnits	<FullPrdUnits>	Or	[1..1]		61
	PartWayPeriodUnits	<PartWayPrdUnits>	Or}	[1..1]		62

Usage Guideline details

- This element(see v.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceiptBalance) is removed.







6.28.9 OutForRegistrationBalance




XML Tag: OutForRegnBal

Presence: [0..1]

Definition: Balance of financial instruments currently being processed by the institution responsible for registering the new beneficial owner (or nominee).

The **OutForRegnBal** block contains the following elements (see datatype "BalanceFormat11Choice 1" on page 57 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		57
	EligibleBalance	<ElgblBal>	Or	[1..1]		58
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		58

Usage Guideline details

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/OutForRegistrationBalance
Synonym (Withdrawal by Transfer): DTCC
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/OutForRegistrationBalance
Comment:
 Will be used for Withdraw byTransfer position

6.28.10 SettlementPositionBalance

XML Tag: SttlmPosBal

Presence: [0..*]

Definition: Balance of securities representing only settled transactions; pending transactions not included.

The **SttlmPosBal** block contains the following elements (see datatype "[BalanceFormat12Choice_1](#)" on page 59 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		60
	EligibleBalance	<ElgblBal>	Or	[1..1]	🚫	60
	NotEligibleBalance	<NotElgblBal>	Or	[1..1]	🚫	61
	FullPeriodUnits	<FullPrdUnits>	Or	[1..1]	🚫	61
	PartWayPeriodUnits	<PartWayPrdUnits>	Or}	[1..1]	🚫	62

Usage Guideline details

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance](#)

This element has change repeatability, the maximum occurrence has been changed to : 1

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance](#)

- **Settlement Position Balance Distributions Rule:**

Represents current eligible [for elections, allocations] balance.

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance](#)

- **Applicability:**

true

- **Redemption Rule:**

Current position held in a redemption security as of prior close of business.

- **REDEML Trace:**

Current Position

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance](#)

Comment:

Balance eligible for allocations, elections.

6.28.11 StreetPositionBalance

XML Tag: StrtPosBal

Presence: [0..1]

Definition: Balance of financial instruments that remain registered in the name of the prior beneficial owner.

The **StrtPosBal** block contains the following elements (see datatype "[BalanceFormat11Choice_1](#)" on [page 57](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		57
	EligibleBalance	<ElgblBal>	Or	[1..1]		58
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		58

Usage Guideline details

- This element(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/StreetPositionBalance) is removed.

6.28.12 TradeDatePositionBalance

XML Tag: TradDtPosBal

Presence: [0..1]

Definition: Balance of securities based on trade date, for example, includes all pending transactions in addition to the balance of settled transactions.

The **TradDtPosBal** block contains the following elements (see datatype "[BalanceFormat11Choice_1](#)" on [page 57](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		57
	EligibleBalance	<ElgblBal>	Or	[1..1]		58
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		58

Usage Guideline details

- This element(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/TradeDatePositionBalance) is removed.

6.28.13 InTransshipmentBalance

XML Tag: InTrnsShipmntBal

Presence: [0..1]

Definition: Balance of physical securities that are in the process of being transferred from one depository/agent to another.

The **InTrnsShipmntBal** block contains the following elements (see datatype "BalanceFormat11Choice 1" on page 57 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		57
	EligibleBalance	<ElgblBal>	Or	[1..1]		58
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		58

Usage Guideline details

- This element(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/In-TranshipmentBalance) is removed.

6.28.14 RegisteredBalance

XML Tag: RegdBal

Presence: [0..1]

Definition: Balance of financial instruments that are registered (in the name of a nominee name or of the beneficial owner).

The **RegdBal** block contains the following elements (see datatype "BalanceFormat11Choice 1" on page 57 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		57
	EligibleBalance	<ElgblBal>	Or	[1..1]		58
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		58

Usage Guideline details

- This element(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/RegisteredBalance) is removed.

6.28.15 ObligatedBalance

XML Tag: OblgtdBal

Presence: [0..1]

Definition: Position that account holders should return to the account servicer to participate in the event or to fulfil their obligation for the event to be complete, for example, return of securities for late announced drawing.

The **OblgtdBal** block contains the following elements (see datatype "[BalanceFormat11Choice 1](#)" on [page 57](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		57
	EligibleBalance	<ElgblBal>	Or	[1..1]		58
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		58

Usage Guideline details

- This element([seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance](#)) is removed.

6.28.16 UninstructedBalance

XML Tag: UinstdBal

Presence: [0..1]

Definition: Balance of uninstructed position.

The **UinstdBal** block contains the following elements (see datatype "[BalanceFormat11Choice 1](#)" on [page 57](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		57
	EligibleBalance	<ElgblBal>	Or	[1..1]	⚠	58
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]	🚫	58

Usage Guideline details

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance](#)
Synonym (DTCC): Uninstructed Balance
- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance](#)
Comment:
For Voluntary / Choice Reorganization Events







6.28.17 InstructedBalance


XML Tag: InstdBal

Presence: [0..1]

Definition: Balance of instructed position.

The **InstdBal** block contains the following elements (see datatype "BalanceFormat11Choice 1" on page 57 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		57
	EligibleBalance	<ElgblBal>	Or	[1..1]		58
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		58

Usage Guideline details

- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance**
Synonym (DTCC): Instructed Balance
- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance**
Comment:
 For Voluntary events, instructed position not eligible for instruction







6.28.18 AffectedBalance




XML Tag: AfctdBal

Presence: [0..1]

Definition: Balance that has been affected by the process run through the event.

The **AfctdBal** block contains the following elements (see datatype "BalanceFormat11Choice 1" on page 57 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		57
	EligibleBalance	<ElgblBal>	Or	[1..1]		58
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		58

Usage Guideline details

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance](#)

Comment:

Used in reorganization events only whereby called position is not eligible for instruction on voluntary events or not eligible for entitlement on Mandatory events.







6.28.19 UnaffectedBalance

XML Tag: UafctdBal

Presence: [0..1]

Definition: Balance that has not been affected by the process run through the event.

The **UafctdBal** block contains the following elements (see datatype "[BalanceFormat11Choice_1](#)" on page 57 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		57
	EligibleBalance	<ElgblBal>	Or	[1..1]		58
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		58

Usage Guideline details

- This element([seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance](#)) is removed.

6.29 CorporateActionCodeTypeFormat5Choice__1

Definition: Choice between a standard code or proprietary code to specify the change type of a corporate action event.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		132
	Proprietary	<Prtry>	Or}	[1..1]		132

Used in element(s)

["ChangeType" on page 94](#)

6.29.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of changes.

Datatype: "CorporateActionCodeType1Code" on page 521







6.29.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of changes.







The **Prtry** block contains the following elements (see datatype "GenericIdentification30_1" on page 326 for details)











 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]



Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327
	SchemeName	<SchmeNm>		[0..1]		337

6.30 CorporateActionCode110__1

Definition: Specifies corporate action dates.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EarlyResponseDeadline	<EarlyRspnDdln>		[0..1]		133
	CoverExpirationDeadline	<CoverXprtnDdln>		[0..1]		133
	ProtectDeadline	<PrctDdln>		[0..1]		134
	MarketDeadline	<MktDdln>		[0..1]		134
	ResponseDeadline	<RspnDdln>		[0..1]		135
	ExpiryDate	<XpryDt>		[0..1]		135
	SubscriptionCostDebitDate	<SbcptCostDbtDt>		[0..1]		136
	DepositoryCoverExpirationDate	<DpstryCoverXprtnDt>		[0..1]		136
	StockLendingDeadline	<StockLndgDdln>		[0..1]		137
	BorrowerStockLendingDeadline	<BrrwrStockLndgDdln>		[0..*]		137

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EndOfSecuritiesBlockingPeriod	<EndOfSctiesBlckgPrd>		[0..1]		137
	DocumentationDeadline	<DcmnttnDdln>		[0..1]		138

Used in element(s)

"DateDetails" on page 193







6.30.1 EarlyResponseDeadline

XML Tag: EarlyRspnDdln

Presence: [0..1]

Definition: Date/time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives.

The **EarlyRspnDdln** block contains the following elements (see datatype "DateFormat43Choice__1" on page 247 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		247
	DateCode	<DtCd>	Or}	[1..1]		248

Usage Guideline details

- on seev.031.001.16/CorporateActionOptionDetails/DateDetails/EarlyResponseDeadline

Comment:

For Reorganization Events only







6.30.2 CoverExpirationDeadline

XML Tag: CoverXprtnDdln

Presence: [0..1]

Definition: Last day a holder can deliver the securities that it had elected on and/or previously protected.

The **CoverXprtnDdln** block contains the following elements (see datatype "DateFormat43Choice__1" on page 247 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		247
	DateCode	<DtCd>	Or}	[1..1]		248

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/DateDetails/CoverExpirationDeadline) is removed.







6.30.3 ProtectDeadline

XML Tag: PrtctDdln

Presence: [0..1]

Definition: Last date/time a holder can request to defer delivery of securities pursuant to a notice of guaranteed delivery or other required documentation.

The **PrtctDdln** block contains the following elements (see datatype "DateFormat43Choice 1" on page 247 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		247
	DateCode	<DtCd>	Or}	[1..1]		248

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/DateDetails/ProtectDeadline) is removed.







6.30.4 MarketDeadline

XML Tag: MktDdln

Presence: [0..1]

Definition: Issuer or issuer's agent deadline to respond with an instruction to an outstanding offer or privilege.

The **MktDdln** block contains the following elements (see datatype "DateFormat43Choice 1" on page 247 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		247
	DateCode	<DtCd>	Or}	[1..1]		248

Usage Guideline details

- on seev.031.001.16/CorporateActionOptionDetails/DateDetails/MarketDeadline

Comment:

For Reorganization Events only







6.30.5 ResponseDeadline

XML Tag: RspnDdlIn

Presence: [0..1]

Definition: Date/time at which the account servicer has set as the deadline to respond, with instructions, to an outstanding event.

The **RspnDdlIn** block contains the following elements (see datatype "[DateFormat44Choice 1](#)" on page 248 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		248
	DateCodeAndTime	<DtCdAndTm>	Or	[1..1]		249
	DateCode	<DtCd>	Or}	[1..1]		249

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/DateDetails/ResponseDeadline](#)

Comment:

For Reorganization Events only







6.30.6 ExpiryDate

XML Tag: XpryDt

Presence: [0..1]

Definition: Date/time at which an order expires or on which a privilege or offer terminates.

The **XpryDt** block contains the following elements (see datatype "[DateFormat43Choice 1](#)" on page 247 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		247
	DateCode	<DtCd>	Or}	[1..1]		248

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/DateDetails/ExpiryDate](#)

Comment:

For Reorganization Events only

6.30.7 SubscriptionCostDebitDate

XML Tag: SbcptCostDbtDt*Presence:* [0..1]*Definition:* Date/time by which cash must be in place in order to take part in the event.

The **SbcptCostDbtDt** block contains the following elements (see datatype "DateFormat43Choice 1" on page 247 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -

M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or}	[1..1]		247
	DateCode	<DtCd>	Or}	[1..1]		248

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/DateDetails/SubscriptionCost-DebitDate) is removed.

6.30.8 DepositoryCoverExpirationDate

XML Tag: DpstryCoverXprtnDt*Presence:* [0..1]

Definition: Last day that a participant of the depository can deliver securities that it had elected on and/or previously protected.

The **DpstryCoverXprtnDt** block contains the following elements (see datatype "DateFormat43Choice 1" on page 247 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -

M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or}	[1..1]		247
	DateCode	<DtCd>	Or}	[1..1]		248

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/DateDetails/DepositoryCoverExpirationDate) is removed.







6.30.9 StockLendingDeadline

XML Tag: StockLndgDdlIn

Presence: [0..1]

Definition: Date/time set as the deadline to respond, with instructions, to an outstanding event, for which the underlying security is out on loan.

The **StockLndgDdlIn** block contains the following elements (see datatype "[DateFormat43Choice_1](#)" on page 247 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		247
	DateCode	<DtCd>	Or}	[1..1]		248

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/DateDetails/StockLendingDeadline) is removed.







6.30.10 BorrowerStockLendingDeadline

XML Tag: BrwrStockLndgDdlIn

Presence: [0..*]

Definition: Specifies the party borrowing stocks and the associated stock lending deadline assigned to the borrower.

The **BrwrStockLndgDdlIn** block contains the following elements (see datatype "[BorrowerLendingDeadline5_1](#)" on page 65 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	StockLendingDeadline	<StockLndgDdlIn>		[1..1]		65
	Borrower	<Brwr>		[1..1]		66

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/DateDetails/BorrowerStockLendingDeadline) is removed.

6.30.11 EndOfSecuritiesBlockingPeriod

XML Tag: EndOfScitiesBlckgPrd

Presence: [0..1]

Definition: Date until which the blocking of securities can occur upon instructing this option.

The **EndOfScitiesBckgPrd** block contains the following elements (see datatype "DateFormat59Choice" on page 252 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		252
	DateCode	<DtCd>	Or}	[1..1]		253

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/DateDetails/EndOfSecurities-BlockingPeriod) is removed.

6.30.12 DocumentationDeadline

XML Tag: DcmnttnDdlIn

Presence: [0..1]

Definition: Date/Time by when the documentation must be provided.

The **DcmnttnDdlIn** block contains the following elements (see datatype "DateFormat46Choice 1" on page 250 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		250
	DateCode	<DtCd>	Or}	[1..1]		251

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/DateDetails/DocumentationDeadline) is removed.

6.31 CorporateActionDate83__1

Definition: Specifies corporate action dates.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnnouncementDate	<AnncmntDt>		[0..1]	⊘	140
	CertificationDeadline	<CertfctnDdln>		[0..1]	⊘	140
	CourtApprovalDate	<CrtApprvlDt>		[0..1]	⊘	141
	EarlyClosingDate	<EarlyClsgDt>		[0..1]	⊘	141
	EffectiveDate	<FctvDt>		[0..1]	⊘	142
	EqualisationDate	<EqulstnDt>		[0..1]	⊘	142
	FurtherDetailedAnnouncement- Date	<FrthrDtdAnncmntDt>		[0..1]	⊘	143
	FixingDate	<FxdDt>		[0..1]	⊘	143
	LotteryDate	<LtryDt>		[0..1]	⊘	144
	NewMaturityDate	<NewMtrtyDt>		[0..1]	⊘	144
	MeetingDate	<MtgDt>		[0..1]	⊘	145
	MarginFixingDate	<MrgnFxdDt>		[0..1]	⊘	145
	ProrationDate	<PrratnDt>		[0..1]	⊘	146
	RecordDate	<RcrdDt>		[0..1]	⚠	146
	RegistrationDeadline	<RegnDdln>		[0..1]	⊘	147
	ResultsPublicationDate	<RsltsPblctnDt>		[0..1]	⊘	147
	DeadlineToSplit	<DdlnToSpl>		[0..1]	⊘	148
	DeadlineForTaxBreakdownIn- struction	<DdlnForTaxBrkdwnInstr>		[0..1]	⊘	148
	TradingSuspendedDate	<TradgSspdDt>		[0..1]	⊘	148
	UnconditionalDate	<UcondlDt>		[0..1]	⊘	149
	WhollyUnconditionalDate	<WhlyUcondlDt>		[0..1]	⊘	149
	ExDividendDate	<ExDvddDt>		[0..1]	⊘	150
	OfficialAnnouncementPublica- tionDate	<OffclAnncmntPblctnDt>		[0..1]	⊘	150
	SpecialExDate	<SpclExDt>		[0..1]	⊘	151
	GuaranteedParticipationDate	<GrntedPrtcptnDt>		[0..1]	⊘	151
	ElectionToCounterpartyMarket- Deadline	<ElctnToCtrPtyMktDdln>		[0..1]	⊘	152
	ElectionToCounterpartyRe- sponseDeadline	<ElctnToCtrPtyRspnDdln>		[0..1]	⊘	152
	LapsedDate	<LpsdDt>		[0..1]	⊘	153
	PaymentDate	<PmtDt>		[0..1]		153

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ThirdPartyDeadline	<ThrdPtyDdln>		[0..1]	🚫	154
	EarlyThirdPartyDeadline	<EarlyThrdPtyDdln>		[0..1]	🚫	154
	MarketClaimTrackingEndDate	<MktClmTrckgEndDt>		[0..1]	🚫	154
	LeadPlaintiffDeadline	<LeadPlntffDdln>		[0..1]	🚫	155
	FilingDate	<FilgDt>		[0..1]	🚫	155
	HearingDate	<HrgDt>		[0..1]	🚫	156

Rules

R68 EffectiveDateRule

Effective date is to be used in events where there is no concept of entitlement, for example Name Change (CHAN) or Place of Incorporation (PLAC), and in events where there is a sense of eligibility but with a legal obligation, for example Merger (MRGR).

Error handling: Undefined

Used in element(s)

"DateDetails" on page 83

6.31.1 AnnouncementDate

XML Tag: AnncmntDt

Presence: [0..1]

Definition: Date/time at which the issuer announced that a corporate action event will occur.

The **AnncmntDt** block contains the following elements (see datatype "DateFormat43Choice 1" on page 247 for details)

🚫 Removed - 🚫 Partial Removed - ✨ Ignored - ✨ Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		247
	DateCode	<DtCd>	Or}	[1..1]		248

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/DateDetails/AnnouncementDate) is removed.







6.31.2 CertificationDeadline

XML Tag: CertfctnDdln

Presence: [0..1]

Definition: Deadline by which the certification must be sent.

The **CertfctnDdlIn** block contains the following elements (see datatype "[DateFormat43Choice_1](#)" on [page 247](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		247
	DateCode	<DtCd>	Or}	[1..1]		248

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/DateDetails/CertificationDeadline) is removed.







6.31.3 CourtApprovalDate

XML Tag: CrtApprvIDt

Presence: [0..1]

Definition: Date upon which the court provided approval.

The **CrtApprvIDt** block contains the following elements (see datatype "[DateFormat30Choice_1](#)" on [page 246](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		246
	DateCode	<DtCd>	Or}	[1..1]		246

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/DateDetails/CourtApprovalDate) is removed.







6.31.4 EarlyClosingDate

XML Tag: EarlyClsgDt

Presence: [0..1]

Definition: First possible early closing date of an offer if different from the expiry date.

The **EarlyClsgDt** block contains the following elements (see datatype "[DateFormat43Choice_1](#)" on [page 247](#) for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		247
	DateCode	<DtCd>	Or}	[1..1]		248

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/DateDetails/EarlyClosingDate) is removed.







6.31.5 EffectiveDate

XML Tag: FctvDt

Presence: [0..1]

Definition: Date/time at which an event is officially effective from the issuer's perspective.

The **FctvDt** block contains the following elements (see datatype "DateFormat30Choice 1" on page 246 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		246
	DateCode	<DtCd>	Or}	[1..1]		246

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/DateDetails/EffectiveDate) is removed.







6.31.6 EqualisationDate

XML Tag: EqulstnDt

Presence: [0..1]

Definition: Date/time on which all or part of any holding bought in a unit trust is subject to being treated as capital rather than income. This is normally one day after the previous distribution's ex date.

The **EqulstnDt** block contains the following elements (see datatype "DateFormat30Choice 1" on page 246 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		246
	DateCode	<DtCd>	Or}	[1..1]		246

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/DateDetails/EqualisationDate) is removed.







6.31.7 FurtherDetailedAnnouncementDate

XML Tag: FrthrDtldAnncmntDt

Presence: [0..1]

Definition: Date/time at which additional information on the event will be announced, for example, exchange ratio announcement date.

The **FrthrDtldAnncmntDt** block contains the following elements (see datatype "DateFormat43Choice 1" on page 247 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		247
	DateCode	<DtCd>	Or}	[1..1]		248

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/DateDetails/FurtherDetailedAnnouncementDate) is removed.







6.31.8 FixingDate

XML Tag: FxgDt

Presence: [0..1]

Definition: Date/time at which an index / rate / price / value will be determined.

The **FxgDt** block contains the following elements (see datatype "DateFormat43Choice 1" on page 247 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		247

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateCode	<DtCd>	Or}	[1..1]		248

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/DateDetails/FixingDate) is removed.







6.31.9 LotteryDate

XML Tag: LtryDt

Presence: [0..1]

Definition: Date/time on which the lottery is run and applied to the holder's positions. This is also applicable to partial calls.

The **LtryDt** block contains the following elements (see datatype "DateFormat30Choice_1" on page 246 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		246
	DateCode	<DtCd>	Or}	[1..1]		246

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/DateDetails/LotteryDate) is removed.







6.31.10 NewMaturityDate

XML Tag: NewMtrtyDt

Presence: [0..1]

Definition: Date/time to which the maturity date of an interest bearing security is extended.

The **NewMtrtyDt** block contains the following elements (see datatype "DateFormat30Choice_1" on page 246 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		246
	DateCode	<DtCd>	Or}	[1..1]		246

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/DateDetails/NewMaturityDate) is removed.







6.31.11 MeetingDate

XML Tag: MtgDt

Presence: [0..1]

Definition: Date/time on which the bondholder's or shareholder's meeting will take place.

The **MtgDt** block contains the following elements (see datatype "DateFormat43Choice 1" on page 247 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		247
	DateCode	<DtCd>	Or}	[1..1]		248

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/DateDetails/MeetingDate) is removed.







6.31.12 MarginFixingDate

XML Tag: MrgnFxdDt

Presence: [0..1]

Definition: Date/time at which the margin rate will be determined.

The **MrgnFxdDt** block contains the following elements (see datatype "DateFormat30Choice 1" on page 246 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		246
	DateCode	<DtCd>	Or}	[1..1]		246

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/DateDetails/MarginFixingDate) is removed.







6.31.13 ProrationDate

XML Tag: PrratnDt

Presence: [0..1]

Definition: Date/time (and time) at which an issuer will determine the proration amount/quantity of an offer.

The **PrratnDt** block contains the following elements (see datatype "DateFormat30Choice__1" on page 246 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or}	[1..1]		246
	DateCode	<DtCd>	Or}	[1..1]		246

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/DateDetails/ProrationDate) is removed.







6.31.14 RecordDate


XML Tag: RcrdDt

Presence: [0..1]

Definition: Date/time at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.

The **RcrdDt** block contains the following elements (see datatype "DateFormat30Choice__1" on page 246 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or}	[1..1]		246
	DateCode	<DtCd>	Or}	[1..1]		246

Usage Guideline details

- on seev.031.001.16/CorporateActionDetails/DateDetails/RecordDate
 - **Applicability:**
true
 - **REDEML Trace:**
As of Date
 - **Redemption Rule:**
Calculated as the business day prior to Publication Date.

- on [seev.031.001.16/CorporateActionDetails/DateDetails/RecordDate](#)

Synonym (DTCC): Record Date







6.31.15 RegistrationDeadline

XML Tag: RegnDdIn

Presence: [0..1]

Definition: Date/time on which instructions to register or registration details will be accepted.

The **RegnDdIn** block contains the following elements (see datatype "[DateFormat43Choice_1](#)" on page 247 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or}	[1..1]		247
	DateCode	<DtCd>	Or}	[1..1]		248

Usage Guideline details

- This element([seev.031.001.16/CorporateActionDetails/DateDetails/RegistrationDeadline](#)) is removed.







6.31.16 ResultsPublicationDate

XML Tag: RsltsPblctnDt

Presence: [0..1]

Definition: Date/time on which results are published, for example, results of an offer.

The **RsltsPblctnDt** block contains the following elements (see datatype "[DateFormat43Choice_1](#)" on page 247 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or}	[1..1]		247
	DateCode	<DtCd>	Or}	[1..1]		248

Usage Guideline details

- This element([seev.031.001.16/CorporateActionDetails/DateDetails/ResultsPublicationDate](#)) is removed.







6.31.17 DeadlineToSplit

XML Tag: DdlnToSplt

Presence: [0..1]

Definition: Deadline by which instructions must be received to split securities, for example, of physical certificates.

The **DdlnToSplit** block contains the following elements (see datatype "DateFormat43Choice 1" on page 247 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or}	[1..1]		247
	DateCode	<DtCd>	Or}	[1..1]		248

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/DateDetails/DeadlineToSplit) is removed.







6.31.18 DeadlineForTaxBreakdownInstruction

XML Tag: DdlnForTaxBrkdwnInstr

Presence: [0..1]

Definition: Date/time on until which tax breakdown instructions will be accepted.

The **DdlnForTaxBrkdwnInstr** block contains the following elements (see datatype "DateFormat43Choice 1" on page 247 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or}	[1..1]		247
	DateCode	<DtCd>	Or}	[1..1]		248

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/DateDetails/DeadlineForTaxBreakdownInstruction) is removed.

6.31.19 TradingSuspendedDate

XML Tag: TradgSspdDt

Presence: [0..1]

Definition: Date/time at which trading of a security is suspended as the result of an event.

The **TradgSspdDt** block contains the following elements (see datatype "[DateFormat43Choice 1](#)" on [page 247](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		247
	DateCode	<DtCd>	Or}	[1..1]		248

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/DateDetails/TradingSuspendedDate) is removed.

6.31.20 UnconditionalDate

XML Tag: UcondIDt

Presence: [0..1]

Definition: Date/time upon which the terms of the take-over become unconditional as to acceptances.

The **UcondIDt** block contains the following elements (see datatype "[DateFormat30Choice 1](#)" on [page 246](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		246
	DateCode	<DtCd>	Or}	[1..1]		246

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/DateDetails/UnconditionalDate) is removed.







6.31.21 WhollyUnconditionalDate

XML Tag: WhlyUcondIDt

Presence: [0..1]

Definition: Date/time at on which all conditions, including regulatory, legal etc. pertaining to the take-over, have been met.

The **WhlyUcondIDt** block contains the following elements (see datatype "[DateFormat30Choice 1](#)" on [page 246](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		246
	DateCode	<DtCd>	Or}	[1..1]		246

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/DateDetails/WhollyUnconditionalDate) is removed.







6.31.22 ExDividendDate

XML Tag: ExDvddDt

Presence: [0..1]

Definition: Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.

The **ExDvddDt** block contains the following elements (see datatype "DateFormat30Choice 1" on page 246 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		246
	DateCode	<DtCd>	Or}	[1..1]		246

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/DateDetails/ExDividendDate) is removed.







6.31.23 OfficialAnnouncementPublicationDate

XML Tag: OffclAnncmntPblctnDt

Presence: [0..1]

Definition: Date/time at which the corporate action is legally announced by an official body, for example, publication by a governmental administration.

The **OffclAnncmntPblctnDt** block contains the following elements (see datatype "DateFormat43Choice 1" on page 247 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		247
	DateCode	<DtCd>	Or}	[1..1]		248

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/DateDetails/OfficialAnnouncementPublicationDate) is removed.







6.31.24 SpecialExDate

XML Tag: SpclExDt

Presence: [0..1]

Definition: Date/time from which 'special processing' can start to be used by participants for that event. Special processing is a means of marking a transaction, that would normally be traded ex or cum, as being traded cum or ex respectively, for example, a transaction dealt 'special' after the ex date would result in the buyer being eligible for the entitlement. This is typically used in the UK and Irish markets.

The **SpclExDt** block contains the following elements (see datatype "DateFormat30Choice 1" on page 246 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		246
	DateCode	<DtCd>	Or}	[1..1]		246

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/DateDetails/SpecialExDate) is removed.







6.31.25 GuaranteedParticipationDate

XML Tag: GrntedPrtcptnDt

Presence: [0..1]

Definition: Last date/time by which a buying counterparty to a trade can be sure that it will have the right to participate in an event.

The **GrntedPrtcptnDt** block contains the following elements (see datatype "DateFormat30Choice 1" on page 246 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		246
	DateCode	<DtCd>	Or}	[1..1]		246

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/DateDetails/GuaranteedParticipation-Date) is removed.







6.31.26 ElectionToCounterpartyMarketDeadline

XML Tag: ElctnToCtrPtyMktDdln

Presence: [0..1]

Definition: Deadline by which an entitled holder needs to advise their counterparty to a transaction of their election for a corporate action event, also known as Buyer Protection Deadline.

The **ElctnToCtrPtyMktDdln** block contains the following elements (see datatype "DateFormat43Choice 1" on page 247 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		247
	DateCode	<DtCd>	Or}	[1..1]		248

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/DateDetails/ElectionToCounterparty-MarketDeadline) is removed.







6.31.27 ElectionToCounterpartyResponseDeadline

XML Tag: ElctnToCtrPtyRspnDdln

Presence: [0..1]

Definition: Date/time the account servicer has set as the deadline to respond, with instructions, prior to the election to counterparty market deadline.

The **ElctnToCtrPtyRspnDdln** block contains the following elements (see datatype "DateFormat43Choice 1" on page 247 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		247
	DateCode	<DtCd>	Or}	[1..1]		248

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/DateDetails/ElectionToCounterpartyResponseDeadline) is removed.







6.31.28 LapsedDate

XML Tag: LpsdDt

Presence: [0..1]

Definition: Date/time at which an event/offer is terminated or lapsed.

The **LpsdDt** block contains the following elements (see datatype "DateFormat30Choice_1" on page 246 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		246
	DateCode	<DtCd>	Or}	[1..1]		246

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/DateDetails/LapsedDate) is removed.







6.31.29 PaymentDate

XML Tag: PmtDt

Presence: [0..1]

Definition: Date/time at which the movement is due to take place (cash and/or securities).

The **PmtDt** block contains the following elements (see datatype "DateFormat30Choice_1" on page 246 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		246
	DateCode	<DtCd>	Or}	[1..1]		246







6.31.30 ThirdPartyDeadline

XML Tag: ThrdPtyDdln

Presence: [0..1]

Definition: Date/time by which the account owner must instruct directly another party, for example, to provide documentation to an issuer agent.

The **ThrdPtyDdln** block contains the following elements (see datatype "DateFormat43Choice_1" on page 247 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		247
	DateCode	<DtCd>	Or}	[1..1]		248

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/DateDetails/ThirdPartyDeadline) is removed.







6.31.31 EarlyThirdPartyDeadline

XML Tag: EarlyThrdPtyDdln

Presence: [0..1]

Definition: Date/Time set by the issuer agent as a first early deadline by which the account owner must instruct directly another party, possibly giving the holder eligibility to incentives. For example, to provide documentation to an issuer agent.

The **EarlyThrdPtyDdln** block contains the following elements (see datatype "DateFormat43Choice_1" on page 247 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		247
	DateCode	<DtCd>	Or}	[1..1]		248

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/DateDetails/EarlyThirdPartyDeadline) is removed.

6.31.32 MarketClaimTrackingEndDate

XML Tag: MktCImTrckgEndDt

Presence: [0..1]

Definition: Date by which the depository stops monitoring activities of the event, for instance, accounting and tracking activities for due bills end.

The **MktCImTrckgEndDt** block contains the following elements (see datatype "DateFormat30Choice 1" on page 246 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		246
	DateCode	<DtCd>	Or}	[1..1]		246

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/DateDetails/MarketClaimTrackingEndDate) is removed.

6.31.33 LeadPlaintiffDeadline

XML Tag: LeadPlntffDdln

Presence: [0..1]

Definition: Last day an investor can become a lead plaintiff.

The **LeadPlntffDdln** block contains the following elements (see datatype "DateFormat43Choice 1" on page 247 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		247
	DateCode	<DtCd>	Or}	[1..1]		248

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/DateDetails/LeadPlaintiffDeadline) is removed.

6.31.34 FilingDate

XML Tag: FilgDt

Presence: [0..1]

Definition: Date on which the action was filed at the applicable court.

The **FilgDt** block contains the following elements (see datatype "[DateFormat30Choice_1](#)" on page 246 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		246
	DateCode	<DtCd>	Or}	[1..1]		246

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/DateDetails/FilingDate) is removed.

6.31.35 HearingDate

XML Tag: HrgDt

Presence: [0..1]

Definition: Date for the hearing between the plaintiff and defendant, as set by the court.

The **HrgDt** block contains the following elements (see datatype "[DateFormat30Choice_1](#)" on page 246 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		246
	DateCode	<DtCd>	Or}	[1..1]		246

Usage Guideline details


- This element(seev.031.001.16/CorporateActionDetails/DateDetails/HearingDate) is removed.

6.32 CorporateActionDate84__1

Definition: Specifies corporate action dates.

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PaymentDate	<PmtDt>		[1..1]		157
	ValueDate	<ValDt>		[0..1]	🚫	157
	ForeignExchangeRateFixingDate	<FXRateFvgDt>		[0..1]	🚫	157

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EarliestPaymentDate	<EarlstPmtDt>		[0..1]		158

Used in element(s)

"DateDetails" on page 76







6.32.1 PaymentDate

XML Tag: PmtDt

Presence: [1..1]

Definition: Date/time on which the movement is due to take place (cash and/or securities).

The **PmtDt** block contains the following elements (see datatype "DateFormat30Choice_1" on page 246 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		246
	DateCode	<DtCd>	Or}	[1..1]		246







6.32.2 ValueDate

XML Tag: ValDt

Presence: [0..1]

Definition: Date/time when calculating economic benefit for a cash amount.

The **ValDt** block contains the following elements (see datatype "DateFormat57Choice_1" on page 251 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		251
	DateCode	<DtCd>	Or}	[1..1]		252

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/DateDetails/ValueDate) is removed.







6.32.3 ForeignExchangeRateFixingDate

XML Tag: FXRateFxdt

Presence: [0..1]

Definition: Date/time at which a foreign exchange rate will be determined.

The **FXRateFxdt** block contains the following elements (see datatype "[DateFormat43Choice_1](#)" on page 247 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or}	[1..1]		247
	DateCode	<DtCd>	Or}	[1..1]		248

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/DateDetails/ForeignExchangeRateFixingDate) is removed.







6.32.4 EarliestPaymentDate

XML Tag: EarlstPmtDt

Presence: [0..1]

Definition: Date/time on which a payment can be made, for example, if payment date is a non-business day or to indicate the first payment date of an offer.

The **EarlstPmtDt** block contains the following elements (see datatype "[DateFormat30Choice_1](#)" on page 246 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or}	[1..1]		246
	DateCode	<DtCd>	Or}	[1..1]		246

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/DateDetails/EarliestPaymentDate) is removed.

6.33 CorporateActionEventProcessingType2Choice__1

Definition: Choice between a standard code or a proprietary code for specifying the processing type of a corporate action event.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		159
	Proprietary	<Prtry>	Or}	[1..1]		159

Used in element(s)

"EventProcessingType" on page 166

6.33.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the processing type of a corporate action event.

Datatype: "CorporateActionEventProcessingType1Code" on page 523







6.33.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the processing type of a corporate action event.







The **Prtry** block contains the following elements (see datatype "GenericIdentification30_1" on page 326 for details)



 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327
	SchemeName	<SchmeNm>		[0..1]		337

6.34 CorporateActionEventReference3Choice__1

Definition: Choice between a corporate action identification or a corporate action official identification.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LinkedOfficialCorporateAction-EventIdentification	<LkdOffclCorpActnEvtId>	{Or	[1..1]		160
	LinkedCorporateActionIdentification	<LkdCorpActnId>	Or}	[1..1]		160

Used in element(s)

["EventIdentification" on page 161](#)

6.34.1 LinkedOfficialCorporateActionEventIdentification

XML Tag: LkdOffclCorpActnEvtld

Presence: [1..1]

Definition: Official and unique reference assigned by the official central body/ entity within each market at the beginning of a corporate action event.

Datatype: ["RestrictedFINXMax16Text" on page 643](#)

Usage Guideline details

- on [seev.031.001.16/EventsLinkage/EventIdentification/LinkedOfficialCorporateActionEventIdentification](#)

Type changed to: [RestrictedFINXMax16Text on page 643](#)

6.34.2 LinkedCorporateActionIdentification

XML Tag: LkdCorpActnId

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a related corporate action event.

Datatype: ["RestrictedFINXMax16Text" on page 643](#)







Usage Guideline details

- on [seev.031.001.16/EventsLinkage/EventIdentification/LinkedCorporateActionIdentification](#)

Type changed to: [RestrictedFINXMax16Text on page 643](#)

6.35 CorporateActionEventReference3__1

Definition: Identification of a linked corporate action event.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EventIdentification	<Evtld>		[1..1]		161
	LinkageType	<LkgTp>		[0..1]		161

Used in element(s)

["EventsLinkage" on page 27](#)

6.35.1 EventIdentification

XML Tag: EvtId

Presence: [1..1]

Definition: Identification of the linked corporate action event.

The **EvtId** block contains the following elements (see datatype "[CorporateActionEventReference3Choice__1](#)" on page 159 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LinkedOfficialCorporateAction-EventIdentification	<LkdOffclCorpActnEvtId>	{Or	[1..1]	⚠	160
	LinkedCorporateActionIdentification	<LkdCorpActnId>	Or}	[1..1]	⚠	160

6.35.2 LinkageType

XML Tag: LkgTp

Presence: [0..1]

Definition: Specifies when this corporate action event is to be processed relative to a linked corporate action event.

The **LkgTp** block contains the following elements (see datatype "[ProcessingPosition7Choice__1](#)" on page 401 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		402
	Proprietary	<Prtry>	Or}	[1..1]		402

6.36 CorporateActionEventStageFormat13Choice__1

Definition: Choice between a standard code or proprietary code to specify the event stage type.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		162
	Proprietary	<Prtry>	Or}	[1..1]	🚫	162

Used in element(s)

"EventStage" on page 93

6.36.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the stage of the corporate action event.

Datatype: "CorporateActionEventStage3Code" on page 523

6.36.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the stage of the corporate action event.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30_1" on page 326 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]	⚠	327
	SchemeName	<SchmeNm>		[0..1]	⚠	337

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/EventStage/Proprietary) is removed.

6.37 CorporateActionEventStatus1

Definition: The process of notifying of an upcoming corporate action. It provides corporate action details including the different options.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EventCompletenessStatus	<EvtCmpltnsSts>		[1..1]		162
	EventConfirmationStatus	<EvtConfSts>		[1..1]		163

Used in element(s)

"Code" on page 218

6.37.1 EventCompletenessStatus

XML Tag: EvtCmpltnsSts

Presence: [1..1]

Definition: Indicates whether the details provided about an event are complete or incomplete.

Datatype: ["EventCompletenessStatus1Code" on page 588](#)

6.37.2 EventConfirmationStatus

XML Tag: EvtConfSts







Presence: [1..1]


Definition: Indicates the status of the occurrence of an event.

Datatype: ["EventConfirmationStatus1Code" on page 588](#)

6.38 CorporateActionEventType107Choice__1

Definition: Choice between formats to express the corporate event type.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		163
	Proprietary	<Prtry>	Or}	[1..1]		164

Rules

R47 EventTypeRule

If Code is present, the code OTHR (Other) must only be used if no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used if no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used if the redemption is decided by the issuer and not by the securities holders.

Error handling: Undefined

Used in element(s)

["EventType" on page 166](#)

6.38.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Event type expressed as a code.

Datatype: ["CorporateActionEventType35Code" on page 526](#)

6.38.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Event type expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30_1" on page 326 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327
	SchemeName	<SchmeNm>		[0..1]		337

Usage Guideline details

- This element(seev.031.001.16/CorporateActionGeneralInformation/EventType/Proprietary) is removed.

6.39 CorporateActionGeneralInformation176__1

Definition: General information about the corporate action event.

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CorporateActionEventIdentification	<CorpActnEvtId>		[1..1]		165
	OfficialCorporateActionEventIdentification	<OffclCorpActnEvtId>		[0..1]		165
	ClassActionNumber	<ClssActnNb>		[0..1]		166
	EventProcessingType	<EvtPrcgTp>		[0..1]		166
	EventType	<EvtTp>		[1..1]		166
	MandatoryVoluntaryEventType	<MndtryVlntryEvtTp>		[1..1]		167
	UnderlyingSecurity	<UndrlygScty>		[1..1]		168

Rules

R46 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). These recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

Error handling: Undefined

Used in element(s)

["CorporateActionGeneralInformation" on page 27](#)

6.39.1 CorporateActionEventIdentification

XML Tag: CorpActnEvtId

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: ["RestrictedFINXMax16Text" on page 643](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionGeneralInformation/CorporateActionEventIdentification](#)
Type changed to: [RestrictedFINXMax16Text on page 643](#)
- on [seev.031.001.16/CorporateActionGeneralInformation/CorporateActionEventIdentification](#)
Synonym (DTCC): CA ID
- on [seev.031.001.16/CorporateActionGeneralInformation/CorporateActionEventIdentification](#)
Comment:
DTCC assigned unique identifier of the corporate action event.

6.39.2 OfficialCorporateActionEventIdentification

XML Tag: OffclCorpActnEvtId

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: ["RestrictedFINXMax16Text" on page 643](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionGeneralInformation/OfficialCorporateActionEventIdentification](#)
Type changed to: [RestrictedFINXMax16Text on page 643](#)
- on [seev.031.001.16/CorporateActionGeneralInformation/OfficialCorporateActionEventIdentification](#)
Synonym (DTCC): Official Reference CA ID

- on [seev.031.001.16/CorporateActionGeneralInformation/OfficialCorporateActionEventIdentification](#)

Comment:

DTCC assigned official corporate action unique identifier.

6.39.3 ClassActionNumber

XML Tag: CclsActnNb

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: ["RestrictedFINXMax16Text" on page 643](#)

Usage Guideline details

- This element([seev.031.001.16/CorporateActionGeneralInformation/ClassActionNumber](#)) is removed.
- on [seev.031.001.16/CorporateActionGeneralInformation/ClassActionNumber](#)
Type changed to: [RestrictedFINXMax16Text on page 643](#)







6.39.4 EventProcessingType

XML Tag: EvtPrcgTp

Presence: [0..1]

Definition: Type of processing involved by a corporate action.

The **EvtPrcgTp** block contains the following elements (see datatype ["CorporateActionEventProcessing-Type2Choice 1" on page 158](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		159
	Proprietary	<Prtry>	Or}	[1..1]		159

Usage Guideline details

- on [seev.031.001.16/CorporateActionGeneralInformation/EventProcessingType](#)

– **Market Practice Usage Rule:**

Will be used based on DTC categorisation of events. DTC distinguishes Distribution, Reorganisation, General and Redemption (not currently in standard message) event groups. Redemptions value will be extended in supplementary data.

6.39.5 EventType

XML Tag: EvtTp

Presence: [1..1]

Definition: Type of corporate action event.

The **EvtTp** block contains the following elements (see datatype "CorporateActionEventType107Choice 1" on page 163 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or}	[1..1]		163
	Proprietary	<Prtry>	Or}	[1..1]	🚫	164

Usage Guideline details

- on [seev.031.001.16/CorporateActionGeneralInformation/EventType](#)

- **Market Practice Usage Rule:**

Identifies type of the corporate action event.
 ISO EventType Other (OTHR) is used when no other corporate action code is appropriate. DTCC event type set is more granular than ISO, so it is possible for two or more events to use same ISO Event Type code. Other tags within the message will help to uniquely identify the event scenario. For complete rules please refer to DTCC Corporate Action Event Dictionary.

- on [seev.031.001.16/CorporateActionGeneralInformation/EventType](#)

Synonym (DTCC): Event Type

- on [seev.031.001.16/CorporateActionGeneralInformation/EventType](#)

Comment:

Type of corporate action event. (e.g., Exchange Offer, Final Paydown).

6.39.6 MandatoryVoluntaryEventType

XML Tag: MndtryVlntryEvtTp

Presence: [1..1]

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

The **MndtryVlntryEvtTp** block contains the following elements (see datatype "CorporateActionMandatoryVoluntary3Choice 1" on page 169 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or}	[1..1]		169
	Proprietary	<Prtry>	Or}	[1..1]	🚫	170

Usage Guideline details

- on seev.031.001.16/CorporateActionGeneralInformation/MandatoryVoluntaryEventType

- Market Practice Implementation Rule:

The value is determined by the following rule: Issuer (market announced) and DTC processing values for mandatory voluntary event type are compared and highest "chosable" value is determined. For example if MAND and CHOS were evaluated, CHOS would prevail, if CHOS and VOLU were evaluated VOLU would prevail.

- on seev.031.001.16/CorporateActionGeneralInformation/MandatoryVoluntaryEventType

Synonym (DTCC): DTC Mandatory/ Voluntary

- on seev.031.001.16/CorporateActionGeneralInformation/MandatoryVoluntaryEventType

Comment:

Specifies the nature of the event as announced by the agent or issuer and processed by DTC.

6.39.7 UnderlyingSecurity

XML Tag: UndrlygScty

Presence: [1..1]

Definition: Security concerned by the corporate action.

The **UndrlygScty** block contains the following elements (see datatype "FinancialInstrumentAttributes128_1" on page 265 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]	⚠	266
	PlaceOfListing	<PlcOfListg>		[0..1]	⊘	267
	DayCountBasis	<DayCntBsis>		[0..1]	⊘	268
	ClassificationType	<ClssfctnTp>		[0..1]	⊘	268
	OptionStyle	<OptnStyle>		[0..1]	⊘	269
	DenominationCurrency	<DnmtnCcy>		[0..1]	⊘	269
	NextCouponDate	<NxtCpnDt>		[0..1]	⊘	269
	ExpiryDate	<XpryDt>		[0..1]	⊘	270
	FloatingRateFixingDate	<FltgRateFxdt>		[0..1]	⊘	270
	MaturityDate	<MtrtyDt>		[0..1]	⊘	270
	IssueDate	<IsseDt>		[0..1]	⊘	271
	NextCallableDate	<NxtCllbDt>		[0..1]	⊘	271
	PutableDate	<Putbldt>		[0..1]	⊘	271

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DatedDate	<DtdDt>		[0..1]	🚫	271
	ConversionDate	<ConvDt>		[0..1]	🚫	272
	InterestRate	<IntrstRate>		[0..1]	🚫	272
	NextInterestRate	<NxtIntrstRate>		[0..1]	🚫	273
	PercentageOfDebtClaim	<PctgOfDebtCln>		[0..1]	🚫	273
	PreviousFactor	<PrvsFctr>		[0..1]	🚫	273
	NextFactor	<NxtFctr>		[0..1]	🚫	274
	WarrantParity	<WarrtParity>		[0..1]	🚫	274
	MinimumNominalQuantity	<MinNmnlQty>		[0..1]	🚫	275
	ContractSize	<CtrctSz>		[0..1]	🚫	275

Usage Guideline details

- on [seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity](#)
Synonym (DTCC): Event Security ID and Type

6.40 CorporateActionMandatoryVoluntary3Choice__1

Definition: Choice between a standard code or a proprietary code to indicate if a corporate action event is mandatory or not.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		169
	Proprietary	<Prtry>	Or}	[1..1]	🚫	170

Used in element(s)

"MandatoryVoluntaryEventType" on page 167

6.40.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify whether the event is mandatory, mandatory with options or voluntary.

Datatype: "CorporateActionMandatoryVoluntary1Code" on page 570







6.40.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of an event.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 326 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327
	SchemeName	<SchmeNm>		[0..1]		337




Usage Guideline details

- This element(seev.031.001.16/CorporateActionGeneralInformation/MandatoryVoluntaryEvent-Type/Proprietary) is removed.

6.41 CorporateActionNarrative58__1

Definition: Provides additional information such as the taxation conditions.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Offeror	<Offerr>		[0..*]		171
	NewCompanyName	<NewCpnyNm>		[0..1]		171
	URLAddress	<URLAdr>		[0..*]		171
	EventProcessingWebSiteAddress	<EvtPrcgWebSiteAdr>		[0..1]		171

Rules

R73 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition.

Error handling: Undefined

Used in element(s)

"AdditionalInformation" on page 99

6.41.1 Offeror

XML Tag: Offerr

Presence: [0..*]

Definition: Provides the entity making the offer and is different from the issuing company.

Datatype: ["RestrictedFINXMax350Text" on page 645](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionDetails/AdditionalInformation/Offeror](#)
Type changed to: [RestrictedFINXMax350Text on page 645](#)

6.41.2 NewCompanyName

XML Tag: NewCpnyNm

Presence: [0..1]

Definition: Provides the new name of a company following a name change.

Datatype: ["RestrictedFINXMax350Text" on page 645](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionDetails/AdditionalInformation/NewCompanyName](#)
Type changed to: [RestrictedFINXMax350Text on page 645](#)







6.41.3 URLAddress


XML Tag: URLAdr

Presence: [0..*]

Definition: Provides the web address published for the event, that is, the address for the Universal Resource Locator (URL), for example, used over the www (HTTP) service.

The **URLAdr** block contains the following elements (see datatype ["UpdatedURLInformation6_1" on page 504](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		504
	URLAddress	<URLAdr>		[1..1]		505

6.41.4 EventProcessingWebSiteAddress

XML Tag: EvtPrcgWebSiteAdr

Presence: [0..1]

Definition: Provides web address of an account servicer (or of a service provider) that contains information solely intended for the immediate account holder to enable or facilitate event processing between parties.

Datatype: "RestrictedFINZMax2048Text" on page 646







Usage Guideline details








- on seev.031.001.16/CorporateActionDetails/AdditionalInformation/EventProcessingWebSiteAddress

Type changed to: RestrictedFINZMax2048Text on page 646

6.42 CorporateActionNarrative59__1

Definition: Provides additional information such as the taxation conditions.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalText	<AddtlTxt>		[0..*]		172
	NarrativeVersion	<NrrtvVrsn>		[0..*]		173
	InformationConditions	<InfConds>		[0..*]		173
	InformationToComplyWith	<InfToCmplyWith>		[0..*]		174
	SecurityRestriction	<SctyRstrctn>		[0..*]		174
	TaxationConditions	<TaxtnConds>		[0..*]		175
	Disclaimer	<Dsclmr>		[0..*]		175
	CertificationBreakdown	<CertfctnBrkdown>		[0..*]		176

Rules

R111 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

Error handling: Undefined

Used in element(s)

"AdditionalInformation" on page 198

6.42.1 AdditionalText

XML Tag: AddtlTxt

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

The **AddtlTxt** block contains the following elements (see datatype "[UpdatedAdditionalInformation19_1](#)" on page 500 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		500
	AdditionalInformation	<AddtlInf>		[1..1]	⚠	501

6.42.2 NarrativeVersion

XML Tag: NrrtvVrsn

Presence: [0..*]

Definition: Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields, - or narrative information not needed for automatic processing.

The **NrrtvVrsn** block contains the following elements (see datatype "[UpdatedAdditionalInformation19_1](#)" on page 500 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		500
	AdditionalInformation	<AddtlInf>		[1..1]	⚠	501

Usage Guideline details

- This element([see v.031.001.16/CorporateActionOptionDetails/AdditionalInformation/NarrativeVersion](#)) is removed.

6.42.3 InformationConditions


XML Tag: InfConds

Presence: [0..*]

Definition: Provides conditional information related to the event, for example, an offer is subject to 50 percent acceptance, the offeror allows the securities holder to set some conditions.

The **InfConds** block contains the following elements (see datatype "[UpdatedAdditionalInformation21_1](#)" on page 503 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		503
	AdditionalInformation	<AddtlInf>		[1..*]		503

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/AdditionalInformation/InformationConditions) is removed.







6.42.4 InformationToComplyWith


XML Tag: InfToCmplyWith

Presence: [0..*]

Definition: Provides information conditions to the account owner that are to be complied with, for example, not open to US/Canadian residents, Qualified Institutional Buyers (QIB) or SIL (Sophisticated Investor Letter) to be provided.

The **InfToCmplyWith** block contains the following elements (see datatype "UpdatedAdditionalInformation21" on page 503 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		503
	AdditionalInformation	<AddtlInf>		[1..*]		503

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/AdditionalInformation/InformationToComplyWith) is removed.







6.42.5 SecurityRestriction


XML Tag: SctyRstrctn

Presence: [0..*]

Definition: Provides restriction(s) on securities.

The **SctyRstrctn** block contains the following elements (see datatype "UpdatedAdditionalInformation21" on page 503 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		503
	AdditionalInformation	<AddtlInf>		[1..*]		503

Usage Guideline details

- This element(see v.031.001.16/CorporateActionOptionDetails/AdditionalInformation/SecurityRestriction) is removed.







6.42.6 TaxationConditions


XML Tag: TaxtnConds

Presence: [0..*]

Definition: Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).

The **TaxtnConds** block contains the following elements (see datatype "UpdatedAdditionalInformation21" on page 503 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		503
	AdditionalInformation	<AddtlInf>		[1..*]		503

Usage Guideline details

- This element(see v.031.001.16/CorporateActionOptionDetails/AdditionalInformation/TaxationConditions) is removed.







6.42.7 Disclaimer


XML Tag: Dsclmr

Presence: [0..*]

Definition: Provides a disclaimer relative to the information provided in the message. It may be ignored for automated processing.

The **Dsclmr** block contains the following elements (see datatype "UpdatedAdditionalInformation21" on page 503 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		503
	AdditionalInformation	<AddtlInf>		[1..*]		503

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/AdditionalInformation/Disclaimer) is removed.







6.42.8 CertificationBreakdown


XML Tag: CertfctnBrkdown

Presence: [0..*]

Definition: Provides additional information about the type of certification/breakdown required.

The **CertfctnBrkdown** block contains the following elements (see datatype "UpdatedAdditionalInformation21__1" on page 503 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		503
	AdditionalInformation	<AddtlInf>		[1..*]		503





Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/AdditionalInformation/CertificationBreakdown) is removed.

6.43 CorporateActionNarrative60__1

Definition: Provides additional information such as the taxation conditions.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalText	<AddtlTxt>		[0..*]		177
	NarrativeVersion	<NrrtvVrsn>		[0..*]		178
	InformationConditions	<InfConds>		[0..*]		178
	InformationToComplyWith	<InfToCmplyWth>		[0..*]		179

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TaxationConditions	<TaxtnConds>		[0..*]	🚫	179
	Disclaimer	<Dsclmr>		[0..*]	🚫	179
	PartyContactNarrative	<PtyCtctNrrtv>		[0..*]	🚫	180
	RegistrationDetails	<RegnDtls>		[0..*]	🚫	180
	BasketOrIndexInformation	<BsktOrIndxInf>		[0..*]	🚫	181
	CertificationBreakdown	<CertfctnBrkdwn>		[0..*]	🚫	181
	URLAddress	<URLAdr>		[0..*]	🚫	182
	ProcessingTextForNextIntermediary	<PrcgTxtForNxtIntrmy>		[0..*]		182

Rules

R112 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

Error handling: Undefined

Used in element(s)

"AdditionalInformation" on page 32

6.43.1 AdditionalText

XML Tag: AddtlTxt

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

The **AddtlTxt** block contains the following elements (see datatype "[UpdatedAdditionalInformation201](#)" on page 501 for details)

🚫 Removed - 🚫 Partial Removed - ✨ Ignored - ✨ Partial Ignored - ⚠️ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]	⚠️	502
	AdditionalInformation	<AddtlInf>		[1..*]	⚠️	502

Usage Guideline details

- on [seev.031.001.16/AdditionalInformation/AdditionalText](#)
 - DTCC OTHR Event Type Rule:

To support ISO cross element rule when Event Type code is OTHR, Event type clarification will be provided in this tag.

6.43.2 NarrativeVersion

XML Tag: NrrtvVrsn

Presence: [0..*]

Definition: Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields, - or narrative information not needed for automatic processing.

The **NrrtvVrsn** block contains the following elements (see datatype "UpdatedAdditionalInformation20_1" on page 501 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		502
	AdditionalInformation	<AddtlInf>		[1..*]	⚠	502

Usage Guideline details

- This element(seev.031.001.16/AdditionalInformation/NarrativeVersion) is removed.

6.43.3 InformationConditions

XML Tag: InfConds

Presence: [0..*]

Definition: Provides conditional information related to the event, for example, an offer is subject to 50 percent acceptance, the offeror allows the securities holder to set some conditions.

The **InfConds** block contains the following elements (see datatype "UpdatedAdditionalInformation20_1" on page 501 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		502
	AdditionalInformation	<AddtlInf>		[1..*]	⚠	502

Usage Guideline details

- This element(seev.031.001.16/AdditionalInformation/InformationConditions) is removed.

6.43.4 InformationToComplyWith

XML Tag: InfToComplyWith

Presence: [0..*]

Definition: Provides information conditions to the account owner that are to be complied with, for example, not open to US/Canadian residents, Qualified Institutional Buyers (QIB) or SIL (Sophisticated Investor Letter) to be provided.

The **InfToComplyWith** block contains the following elements (see datatype "UpdatedAdditionalInformation20_1" on page 501 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		502
	AdditionalInformation	<AddtlInf>		[1..*]		502

Usage Guideline details

- This element(seev.031.001.16/AdditionalInformation/InformationToComplyWith) is removed.

6.43.5 TaxationConditions

XML Tag: TaxtnConds

Presence: [0..*]

Definition: Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).

The **TaxtnConds** block contains the following elements (see datatype "UpdatedAdditionalInformation20_1" on page 501 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		502
	AdditionalInformation	<AddtlInf>		[1..*]		502

Usage Guideline details

- This element(seev.031.001.16/AdditionalInformation/TaxationConditions) is removed.

6.43.6 Disclaimer

XML Tag: Dsclmr

Presence: [0..*]

Definition: Provides a disclaimer relative to the information provided in the message. It may be ignored for automated processing.

The **Dsclmr** block contains the following elements (see datatype "[UpdatedAdditionalInformation20_1](#)" on page 501 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		502
	AdditionalInformation	<AddtlInf>		[1..*]	⚠	502

Usage Guideline details

- This element(seev.031.001.16/AdditionalInformation/Disclaimer) is removed.

6.43.7 PartyContactNarrative

XML Tag: PtyCtctNrrtv

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

The **PtyCtctNrrtv** block contains the following elements (see datatype "[UpdatedAdditionalInformation20_1](#)" on page 501 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		502
	AdditionalInformation	<AddtlInf>		[1..*]	⚠	502

Usage Guideline details

- This element(seev.031.001.16/AdditionalInformation/PartyContactNarrative) is removed.







6.43.8 RegistrationDetails


XML Tag: RegnDtIs

Presence: [0..*]

Definition: Provides information required for the registration.

The **RegnDtIs** block contains the following elements (see datatype "[UpdatedAdditionalInformation20_1](#)" on page 501 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		502
	AdditionalInformation	<AddtlInf>		[1..*]		502

Usage Guideline details

- This element(seev.031.001.16/AdditionalInformation/RegistrationDetails) is removed.







6.43.9 BasketOrIndexInformation


XML Tag: BsktOrIndxInf

Presence: [0..*]

Definition: Provides additional information on the basket or index underlying a security, for example a warrant.

The **BsktOrIndxInf** block contains the following elements (see datatype "UpdatedAdditionalInformation20_1" on page 501 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		502
	AdditionalInformation	<AddtlInf>		[1..*]		502

Usage Guideline details

- This element(seev.031.001.16/AdditionalInformation/BasketOrIndexInformation) is removed.







6.43.10 CertificationBreakdown

XML Tag: CertfctnBrkdown


Presence: [0..*]

Definition: Provides additional information about the type of certification/breakdown required.

The **CertfctnBrkdown** block contains the following elements (see datatype "UpdatedAdditionalInformation20_1" on page 501 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		502

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalInformation	<AddtlInf>		[1..*]		502

Usage Guideline details

- This element(seev.031.001.16/AdditionalInformation/CertificationBreakdown) is removed.







6.43.11 URLAddress


XML Tag: URLAdr

Presence: [0..*]

Definition: Provides the web address published for the event, that is, the address for the Universal Resource Locator (URL), for example, used over the www (HTTP) service.

The **URLAdr** block contains the following elements (see datatype "UpdatedURLInformation6_1" on page 504 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		504
	URLAddress	<URLAdr>		[1..1]		505

Usage Guideline details

- This element(seev.031.001.16/AdditionalInformation/URLAddress) is removed.







6.43.12 ProcessingTextForNextIntermediary


XML Tag: PrcgTxtForNxtIntrmy

Presence: [0..*]

Definition: Provides additional information from the account servicer or a service provider solely intended for the next immediate account holder to enable or facilitate event processing between parties.







The **PrcgTxtForNxtIntrmy** block contains the following elements (see datatype "UpdatedAdditionalInformation20_1" on page 501 for details)



 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		502
	AdditionalInformation	<AddtlInf>		[1..*]		502

6.44 CorporateActionNotification9__1

Definition: Corporate action event notification status and contents.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NotificationIdentification	<NtfctnId>		[0..1]	 	183
	NotificationType	<NtfctnTp>		[1..1]		183
	ProcessingStatus	<PrcgSts>		[1..1]		184

Rules

R43 NotificationTypeGuideline

NotificationType REPL is used to replace a previously sent CorporateActionNotification message that was reported with EventCompletenessStatus being incomplete or complete.

Error handling: Undefined

Usage Guideline restrictions for this element

- This rule(seev.031.001.16/NotificationGeneralInformation/NotificationType-Guideline) is removed.

Used in element(s)

"NotificationGeneralInformation" on page 25

6.44.1 NotificationIdentification

XML Tag: NtfctnId

Presence: [0..1]

Definition: Unique identification of the group of corporate action notification messages when the notification is split in multiple (paginated) messages.

Datatype: "RestrictedFINXMax16Text" on page 643

Usage Guideline details

- This element(seev.031.001.16/NotificationGeneralInformation/NotificationIdentification) is removed.
- on seev.031.001.16/NotificationGeneralInformation/NotificationIdentification
Type changed to: RestrictedFINXMax16Text on page 643

6.44.2 NotificationType

XML Tag: NtfctnTp

Presence: [1..1]

Definition: Specifies the type of notification.

Datatype: "CorporateActionNotificationType1Code" on page 570

6.44.3 ProcessingStatus

XML Tag: PrcgSts

Presence: [1..1]

Definition: Specifies the status of the details of the corporate action event.

The **PrcgSts** block contains the following elements (see datatype "CorporateActionProcessingStatus5Choice 1" on page 217 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]











Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		218
	Proprietary	<Prtry>	Or}	[1..1]	🚫	218

6.45 CorporateActionOption251__1

Definition: Provides information about the corporate action option.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	OptionNumber	<OptnNb>		[1..1]	⚠	186
	OptionType	<OptnTp>		[1..1]	⚠	186
	FractionDisposition	<FrctnDspstn>		[0..1]	🚫	187
	OptionFeatures	<OptnFeatrs>		[0..*]	🚫	187
	OptionAvailabilityStatus	<OptnAvlbtySts>		[0..1]	🚫	188
	CertificationBreakdownType	<CertfctnBrkdwnTp>		[0..*]	🚫	188
	BidRangeType	<BidRgTp>		[0..1]		189
	ProrationBelowMinimumQuantity	<PrratnBlwMinQty>		[0..1]	🚫	189
	NonDomicileCountry	<NonDmclCtry>		[0..*]	🚫	190
	ValidDomicileCountry	<VldDmclCtry>		[0..*]	🚫	190
	CurrencyOption	<CcyOptn>		[0..1]	🚫	190
	DefaultProcessingOrStandingInstruction	<DfltPrcgOrStgInstr>		[1..1]	⚠	190
	ChargesAppliedIndicator	<ChrgsAppldInd>		[0..1]	🚫	191

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CertificationBreakdownIndicator	<CertfctnBrkdwnInd>		[0..1]		191
	WithdrawalAllowedIndicator	<WdrwlAllwdInd>		[0..1]		191
	ChangeAllowedIndicator	<ChngAllwdInd>		[0..1]		192
	AppliedOptionIndicator	<ApldOptnInd>		[0..1]		192
	FinancialInstrumentIdentification	<FinInstrmId>		[0..1]		192
	DateDetails	<DtDtls>		[0..1]		193
	PeriodDetails	<PrdDtls>		[0..1]		194
	RateAndAmountDetails	<RateAndAmtDtls>		[0..1]		194
	PriceDetails	<PricDtls>		[0..1]		195
	SecuritiesQuantity	<SctiesQty>		[0..1]		196
	SecuritiesMovementDetails	<SctiesMvmntDtls>		[0..*]		196
	CashMovementDetails	<CshMvmntDtls>		[0..*]		197
	AdditionalInformation	<AddtlInf>		[0..1]		198

Rules

R74 AdditionalTextRule ✓

If OptionType/Code is OTHR (Other), then at least one occurrence of AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C5).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00176
- *Error Text:* Invalid message content forAdditionalInformation

R75 RevocabilityPeriodRule

If WithdrawalAllowedIndicator or ChangeAllowedIndicator is present and is 'true' or '1' (Yes), then PeriodDetails/RevocabilityPeriod must be present to indicate the period during which the change or withdrawal of instruction is allowed.

Error handling: Undefined

R76 OptionTypeUnknownProceedsUsageRule

When used, the corporate action option code PRUN (Unknown Proceeds) should be maintained throughout the full lifecycle of the event.

Error handling: Undefined

R77 AppliedOptionRule

If DefaultProcessingOrStandingInstruction/DefaultOptionIndicator is present and its value is '1' or 'true' (Yes), then AppliedOptionIndicator value must not be '1' or 'true' (Yes).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R78 InstructCashAmountRule ✓

If OptionFeatures/Code QCAS (InstructCashAmount) is not present, then any message elements in the list (PriceDetails/MaximumCashToInstruct, PriceDetails/MinimumCashToInstruct, PriceDetails/MinimumMultipleCashToInstruct) must not be present.

(MT564 NVR C20).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00417
- *Error Text:* Invalid message content for OptionFeatures QCAS (Instruct Cash Amount)

Guidelines

1. OptionNumberGuideline

For differentiation between options, it is recommended to use the OptionNumber rather than the OptionType, for example, in the case where two or more offers can be described by the same corporate action option code.

Used in element(s)

"CorporateActionOptionDetails" on page 30

6.45.1 OptionNumber

XML Tag: OptnNb

Presence: [1..1]

Definition: Number identifying the available corporate action options.

Datatype: "Exact3NumericText" on page 589

Usage Guideline details

- **on seev.031.001.16/CorporateActionOptionDetails/OptionNumber**

Comment:

For Reorganization Events only

6.45.2 OptionType

XML Tag: OptnTp

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

The **OptnTp** block contains the following elements (see datatype "[CorporateActionOption37Choice_1](#)" on page 199 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		199
	Proprietary	<Prtry>	Or}	[1..1]	⚠	199

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/OptionType](#)

Comment:

For Reorganization Events only

6.45.3 FractionDisposition

XML Tag: FrctnDspstn

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

The **FrctnDspstn** block contains the following elements (see datatype "[FractionDisposition-Type26Choice_1](#)" on page 324 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		324
	Proprietary	<Prtry>	Or}	[1..1]		325

Usage Guideline details

- This element([seev.031.001.16/CorporateActionOptionDetails/FractionDisposition](#)) is removed.

6.45.4 OptionFeatures

XML Tag: OptnFeatrs

Presence: [0..*]

Definition: Specifies the features that may apply to a corporate action option.

The **OptnFeatrs** block contains the following elements (see datatype "[OptionFeaturesFormat32Choice](#)" on page 375 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or}	[1..1]		375
	Proprietary	<Prtry>	Or}	[1..1]		376

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/OptionFeatures) is removed.

6.45.5 OptionAvailabilityStatus

XML Tag: OptnAvlbtYsts

Presence: [0..1]

Definition: Specifies the status of the option.

The **OptnAvlbtYsts** block contains the following elements (see datatype "[OptionAvailabilityStatus3Choice](#)" on page 374 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or}	[1..1]		375
	Proprietary	<Prtry>	Or}	[1..1]		375

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/OptionAvailabilityStatus) is removed.

6.45.6 CertificationBreakdownType

XML Tag: CertfctnBrkdwnTp

Presence: [0..*]

Definition: Type of certification/breakdown.

The **CertifctnBrkdwnTp** block contains the following elements (see datatype "[BeneficiaryCertificationType13Choice](#)" on page 62 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		62
	Proprietary	<Prtry>	Or}	[1..1]		63

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/CertificationBreakdownType) is removed.







6.45.7 BidRangeType

XML Tag: BidRgTp

Presence: [0..1]

Definition: Specifies the type of acceptable bid range values.

The **BidRgTp** block contains the following elements (see datatype "BidRangeType1Choice_1" on page 63 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		63
	Proprietary	<Prtry>	Or}	[1..1]		63







6.45.8 ProrationBelowMinimumQuantity

XML Tag: PrratnBlwMinQty

Presence: [0..1]

Definition: Specifies how instructions prorated below the minimum quantity to instruct will be processed.

The **PrratnBlwMinQty** block contains the following elements (see datatype "ProrationBelowMinimumQuantity3Choice_1" on page 410 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		410
	Proprietary	<Prtry>	Or}	[1..1]		410

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/ProrationBelowMinimumQuantity) is removed.

6.45.9 NonDomicileCountry

XML Tag: NonDmclCtry

Presence: [0..*]

Definition: Holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.

Datatype: ["CountryCode" on page 577](#)

Usage Guideline details

- This element([seev.031.001.16/CorporateActionOptionDetails/NonDomicileCountry](#)) is removed.

6.45.10 ValidDomicileCountry

XML Tag: VldDmclCtry

Presence: [0..*]

Definition: Country of domicile in which the Corporate Action option is valid. The holder of the security has to certify that it is domiciled in the country indicated.

Datatype: ["CountryCode" on page 577](#)

Usage Guideline details

- This element([seev.031.001.16/CorporateActionOptionDetails/ValidDomicileCountry](#)) is removed.

6.45.11 CurrencyOption

XML Tag: CcyOptn

Presence: [0..1]

Definition: Currency in which cash proceeds are to be credited/debited.

Datatype: ["ActiveCurrencyCode" on page 506](#)

Usage Guideline details

- This element([seev.031.001.16/CorporateActionOptionDetails/CurrencyOption](#)) is removed.







6.45.12 DefaultProcessingOrStandingInstruction


XML Tag: DfltPrcgOrStgInstr

Presence: [1..1]

Definition: Indicates whether the corporate action movement is a default processing or a standing instruction.

The **DfltPrcgOrStgInstr** block contains the following elements (see datatype "[DefaultProcessingOrStandingInstruction2Choice](#)" on page 254 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DefaultOptionIndicator	<DfltOptnInd>	{Or	[1..1]		255
	StandingInstructionIndicator	<StgInstrInd>	Or}	[1..1]		255

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/DefaultProcessingOrStandingInstruction](#)
Comment:
 For Reorganization Events only

6.45.13 ChargesAppliedIndicator

XML Tag: ChrgsAppldInd

Presence: [0..1]

Definition: Indicates whether charges apply to the holder, for instance redemption charges.

Datatype: "[YesNoIndicator](#)" on page 650

Usage Guideline details

- This element([seev.031.001.16/CorporateActionOptionDetails/ChargesAppliedIndicator](#)) is removed.

6.45.14 CertificationBreakdownIndicator

XML Tag: CertfctnBrkdwnInd

Presence: [0..1]

Definition: Indicates whether certification/breakdown is required from the account owner.

Yes: certification required

No: no certification required.

Datatype: "[YesNoIndicator](#)" on page 650

Usage Guideline details

- This element([seev.031.001.16/CorporateActionOptionDetails/CertificationBreakdownIndicator](#)) is removed.

6.45.15 WithdrawalAllowedIndicator

XML Tag: WdrwlAllwdInd

Presence: [0..1]

Definition: Indicates whether withdrawal of instruction is allowed.

Datatype: ["YesNoIndicator" on page 650](#)

Usage Guideline details

- This element([seev.031.001.16/CorporateActionOptionDetails/WithdrawalAllowedIndicator](#)) is removed.

6.45.16 ChangeAllowedIndicator

XML Tag: ChngAllwdInd

Presence: [0..1]

Definition: Indicates whether change of instruction is allowed.

Datatype: ["YesNoIndicator" on page 650](#)

Usage Guideline details

- This element([seev.031.001.16/CorporateActionOptionDetails/ChangeAllowedIndicator](#)) is removed.

6.45.17 AppliedOptionIndicator

XML Tag: ApldOptnInd

Presence: [0..1]

Definition: Indicates whether the option, different from the default one, shall be applied by the account owner.

Datatype: ["YesNoIndicator" on page 650](#)

Usage Guideline details

- This element([seev.031.001.16/CorporateActionOptionDetails/AppliedOptionIndicator](#)) is removed.







6.45.18 FinancialInstrumentIdentification


XML Tag: FinInstrmId

Presence: [0..1]

Definition: Identifies the financial instrument.

The **FinInstrmId** block contains the following elements (see datatype ["SecurityIdentification19 1" on page 490](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]		491
	OtherIdentification	<OthrId>		[0..*]		491
	Description	<Desc>		[0..1]		491

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/FinancialInstrumentIdentification) is removed.







6.45.19 DateDetails













XML Tag: DtDtls

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action option.

The **DtDtls** block contains the following elements (see datatype "CorporateActionDate110_1" on page 132 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EarlyResponseDeadline	<EarlyRspnDdlIn>		[0..1]		133
	CoverExpirationDeadline	<CoverXprtnDdlIn>		[0..1]		133
	ProtectDeadline	<PrtctDdlIn>		[0..1]		134
	MarketDeadline	<MktDdlIn>		[0..1]		134
	ResponseDeadline	<RspnDdlIn>		[0..1]		135
	ExpiryDate	<XpryDt>		[0..1]		135
	SubscriptionCostDebitDate	<SbcptCostDbtDt>		[0..1]		136
	DepositoryCoverExpirationDate	<DpstryCoverXprtnDt>		[0..1]		136
	StockLendingDeadline	<StockLndgDdlIn>		[0..1]		137
	BorrowerStockLendingDeadline	<BrrwrStockLndgDdlIn>		[0..*]		137
	EndOfSecuritiesBlockingPeriod	<EndOfSctiesBlckgPrd>		[0..1]		137
	DocumentationDeadline	<DcmnttnDdlIn>		[0..1]		138

Usage Guideline details

- on seev.031.001.16/CorporateActionOptionDetails/DateDetails
- Comment:

For Reorganization Events only

6.45.20 PeriodDetails

XML Tag: PrdDtls

Presence: [0..1]

Definition: Provides information about the periods related to a corporate action option.

The **PrdDtls** block contains the following elements (see datatype "CorporateActionPeriod18" on page 206 for details)

ⓧ Removed - ⓧ Partial Removed - ♦ Ignored - ♦ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PriceCalculationPeriod	<PricClctnPrd>		[0..1]		206
	ParallelTradingPeriod	<ParllTradgPrd>		[0..1]		207
	ActionPeriod	<ActnPrd>		[0..1]		207
	RevocabilityPeriod	<RvcbltyPrd>		[0..1]		207
	PrivilegeSuspensionPeriod	<PrvlgSspnsnPrd>		[0..1]		208
	AccountServicerRevocabilityPeriod	<AcctSvcrRvcbltyPrd>		[0..1]		208
	DepositorySuspensionPeriodForWithdrawal	<DpstrySspnsnPrdForW-drw>		[0..1]		208

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/PeriodDetails) is removed.

6.45.21 RateAndAmountDetails

XML Tag: RateAndAmtDtls

Presence: [0..1]

Definition: Provides information about rates and amounts related to a corporate action option.

The **RateAndAmtDtls** block contains the following elements (see datatype "CorporateActionRate139 1" on page 233 for details)

ⓧ Removed - ⓧ Partial Removed - ♦ Ignored - ♦ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalTax	<AddtlTax>		[0..1]		235
	GrossDistributionRate	<GrssDstrbtnRate>		[0..*]		236

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NetDistributionRate	<NetDstrbtrnRate>		[0..*]		236
	GrossInterestRateUsedForPayment	<GrssIntrstRateUsd-ForPmt>		[0..*]		237
	MaximumAllowedOversubscriptionRate	<MaxAllwdOvrsbcptRate>		[0..1]		237
	ProrationRate	<PrratnRate>		[0..1]		238
	WithholdingTaxRate	<WhldgTaxRate>		[0..*]		238
	SecondLevelTax	<ScndLvITax>		[0..*]		238
	TaxableIncomePerDividendShare	<TaxblIncmPerDvddShr>		[0..*]		239
	IssuerDeclaredExchangeRate	<IssrDclrdXchgRate>		[0..1]		239
	TaxOnIncome	<TaxOnIncm>		[0..1]		240
	BidInterval	<BidIntrvl>		[0..1]		240

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/RateAndAmountDetails) is removed.







6.45.22 PriceDetails

XML Tag: PricDtls

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

The **PricDtls** block contains the following elements (see datatype "CorporateActionPrice87_1" on page 213 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CashInLieuOfSharePrice	<CshInLieuOfShrPric>		[0..1]		214
	OverSubscriptionDepositPrice	<OverSbcptDpstPric>		[0..1]		214
	MaximumCashToInstruct	<MaxCshToInst>		[0..1]		215
	MinimumCashToInstruct	<MinCshToInst>		[0..1]		215
	MinimumMultipleCashToInstruct	<MinMltplCshToInst>		[0..1]		215
	MaximumPrice	<MaxPric>		[0..1]		216
	MinimumPrice	<MinPric>		[0..1]		216

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FirstBidIncrementPrice	<FrstBidIncrmtPric>		[0..1]		217
	LastBidIncrementPrice	<LastBidIncrmtPric>		[0..1]		217

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/PriceDetails) is removed.

6.45.23 SecuritiesQuantity

XML Tag: SctiesQty

Presence: [0..1]

Definition: Provides information about securities quantity linked to a corporate action option.

The **SctiesQty** block contains the following elements (see datatype "SecuritiesOption81_1" on page 483 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MaximumQuantityToInstruct	<MaxQtyToInst>		[0..1]		483
	MinimumQuantityToInstruct	<MinQtyToInst>		[0..1]		484
	MinimumMultipleQuantityToInstruct	<MinMltplQtyToInst>		[0..1]		484
	NewBoardLotQuantity	<NewBrdLotQty>		[0..1]		485
	NewDenominationQuantity	<NewDnmtnQty>		[0..1]		485
	FrontEndOddLotQuantity	<FrntEndOddLotQty>		[0..1]		486
	BackEndOddLotQuantity	<BckEndOddLotQty>		[0..1]		486

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesQuantity) is removed.







6.45.24 SecuritiesMovementDetails
















XML Tag: SctiesMvmntDtls

Presence: [0..*]

Definition: Provides information about the securities movement linked to the corporate action option.

The **SctiesMvmntDtls** block contains the following elements (see datatype "SecuritiesOption121_1" on page 472 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SecurityDetails	<SctyDtls>		[1..1]		474
	CreditDebitIndicator	<CdtDbtInd>		[1..1]		475
	TemporaryFinancialInstrumen- tIndicator	<TempFinInstrmlInd>		[0..1]		475
	NonEligibleProceedsIndicator	<NonElgblPrclsInd>		[0..1]		475
	IssuerOfferorTaxabilityIndicator	<IssrOfferrTaxbltyInd>		[0..1]		476
	NewSecuritiesIssuanceIndicator	<NewScetiesIssnclInd>		[0..1]		476
	IncomeType	<IncmTp>		[0..1]		477
	OtherIncomeType	<OthrIncmTp>		[0..*]		477
	ExemptionType	<XmptnTp>		[0..*]		478
	EntitledQuantity	<EntitldQty>		[0..1]		478
	SafekeepingPlace	<SfkpgPlc>		[0..1]		479
	CountryOfIncomeSource	<CtryOfIncmSrc>		[0..1]		479
	FractionDisposition	<FrctnDspstn>		[0..1]		479
	CurrencyOption	<CcyOptn>		[0..1]		480
	TradingPeriod	<TradgPrd>		[0..1]		480
	DateDetails	<DtDtls>		[1..1]		481
	RateDetails	<RateDtls>		[0..1]		481
	PriceDetails	<PricDtls>		[0..1]		482
	AmountDetails	<AmtDtls>		[0..1]		482







6.45.25 CashMovementDetails

XML Tag: CshMvmntDtls

Presence: [0..*]

Definition: Provides information about the cash movement linked to the corporate action option.

The **CshMvmntDtls** block contains the following elements (see datatype "[CashOption116_1](#)" on [page 68](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CreditDebitIndicator	<CdtDbtInd>		[1..1]		70

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ContractualPaymentIndicator	<CtrctlPmtlInd>		[0..1]	⊘	70
	NonEligibleProceedsIndicator	<NonElgblPrclsInd>		[0..1]	⊘	70
	IssuerOfferorTaxabilityIndicator	<IssrOfferrTaxbltyInd>		[0..1]	⊘	71
	IncomeType	<IncmTp>		[0..1]	⊘	71
	OtherIncomeType	<OthrIncmTp>		[0..*]	⊘	72
	ExemptionType	<XmptnTp>		[0..*]	⊘	72
	PriceCalculationMethod	<PricClctnMtd>		[0..1]	⊘	73
	CountryOfIncomeSource	<CtryOfIncmSrc>		[0..1]	⊘	73
	CashAccountIdentification	<CshAcctId>		[0..1]		73
	AmountDetails	<AmtDtls>		[0..1]	⊘	74
	DateDetails	<DtDtls>		[1..1]		76
	ForeignExchangeDetails	<FXDtls>		[0..1]	⊘	76
	RateAndAmountDetails	<RateAndAmtDtls>		[0..1]	⊘	77
	PriceDetails	<PricDtls>		[0..1]	⊘	78

6.45.26 AdditionalInformation

XML Tag: AddtlInf

Presence: [0..1]

Definition: Provides additional information.







The **AddtlInf** block contains the following elements (see datatype "CorporateActionNarrative59_1" on page 172 for details)


⊘ Removed - !⊘ Partial Removed - ◇ Ignored - ◇◇ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalText	<AddtlTxt>		[0..*]		172
	NarrativeVersion	<NrrtvVrsn>		[0..*]	⊘	173
	InformationConditions	<InfConds>		[0..*]	⊘	173
	InformationToComplyWith	<InfToCmplyWith>		[0..*]	⊘	174
	SecurityRestriction	<SctyRstrctn>		[0..*]	⊘	174
	TaxationConditions	<TaxtnConds>		[0..*]	⊘	175
	Disclaimer	<Dsclmr>		[0..*]	⊘	175
	CertificationBreakdown	<CertfctnBrkdown>		[0..*]	⊘	176

6.46 CorporateActionOption37Choice__1

Definition: Choice between a standard code or a proprietary code to specify the type of corporate action options.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		199
	Proprietary	<Prtry>	Or}	[1..1]		199

Used in element(s)

"OptionType" on page 186

6.46.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of corporate action options.

Datatype: "CorporateActionOption15Code" on page 571







6.46.2 Proprietary




XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of corporate action options.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 326 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327
	SchemeName	<SchmeNm>		[0..1]		337

Usage Guideline details

- on seev.031.001.16/CorporateActionOptionDetails/OptionType/Proprietary
 - Market Practice Usage Rule:

Use for VOTE events only.

6.47 CorporateActionPeriod17

Definition: Specifies periods of a corporate action.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	InterestPeriod	<IntrstPrd>		[0..1]		200
	CompulsoryPurchasePeriod	<CmplsryPurchsPrd>		[0..1]		201
	ClaimPeriod	<ClmPrd>		[0..1]		201
	DepositorySuspensionPeriodFor- BookEntryTransfer	<DpstrySspnsnPrdFor- BookNtryTrf>		[0..1]		201
	DepositorySuspensionPeriod- ForDepositAtAgent	<DpstrySspnsnPrdForDp- stAtAgt>		[0..1]		202
	DepositorySuspensionPeriod- ForDeposit	<DpstrySspnsnPrd- ForDpst>		[0..1]		202
	DepositorySuspensionPeriodFor- Pledge	<DpstrySspnsnPrdFor- Pldg>		[0..1]		203
	DepositorySuspensionPeriod- ForSegregation	<DpstrySspnsnPrdForS- grtn>		[0..1]		203
	DepositorySuspensionPeriodFor- WithdrawalAtAgent	<DpstrySspnsnPrdForW- drwlAtAgt>		[0..1]		203
	DepositorySuspensionPeriodForWithdrawal- Name	<DpstrySspnsnPrdForW- drwlInNmneeNm>		[0..1]		204
	DepositorySuspensionPeriodForWithdrawal- Name	<DpstrySspnsnPrdForW- drwlInStrtNm>		[0..1]		204
	BookClosurePeriod	<BookClsrPrd>		[0..1]		204
	CoDepositoriesSuspensionPeri- od	<CoDpstriesSspnsnPrd>		[0..1]		205
	SplitPeriod	<SpltPrd>		[0..1]		205
	FiscalYearPeriod	<FscIYrPrd>		[0..1]		205

Rules

R37 InterestPeriodRule

InterestPeriod must be used only if it is different from a normal accrued interest period.

Error handling: Undefined

Used in element(s)

"PeriodDetails" on page 85







6.47.1 InterestPeriod

XML Tag: IntrstPrd

Presence: [0..1]

Definition: Period during which the interest rate has been applied.

The **IntrstPrd** block contains the following elements (see datatype ["Period12Choice"](#) on page 386 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		387
	PeriodCode	<PrdCd>	Or}	[1..1]		387







6.47.2 CompulsoryPurchasePeriod

XML Tag: CmplsryPurchsPrd

Presence: [0..1]

Definition: Period during a take-over where any outstanding equity must be purchased by the take-over company.

The **CmplsryPurchsPrd** block contains the following elements (see datatype ["Period12Choice"](#) on page 386 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		387
	PeriodCode	<PrdCd>	Or}	[1..1]		387







6.47.3 ClaimPeriod

XML Tag: ClmPrd

Presence: [0..1]

Definition: Period assigned by the court in a class action. It determines the client's eligible transactions that will be included in the class action and used to determine the resulting entitlement.

The **ClmPrd** block contains the following elements (see datatype ["Period12Choice"](#) on page 386 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		387
	PeriodCode	<PrdCd>	Or}	[1..1]		387

6.47.4 DepositorySuspensionPeriodForBookEntryTransfer

XML Tag: DpstrySspnsnPrdForBookNtryTrf

Presence: [0..1]

Definition: Period defining the last date for which book entry transfers will be accepted and the date on which the suspension will be released and book entry transfer processing will resume.

The **DpstrySspnsnPrdForBookNtryTrf** block contains the following elements (see datatype "Period12Choice" on page 386 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		387
	PeriodCode	<PrdCd>	Or}	[1..1]		387

6.47.5 DepositorySuspensionPeriodForDepositAtAgent

XML Tag: DpstrySspnsnPrdForDpstAtAgt

Presence: [0..1]

Definition: Period defining the last date for which deposits, into nominee name, at the agent will be accepted and the date on which the suspension will be released and deposits at agent will resume.

The **DpstrySspnsnPrdForDpstAtAgt** block contains the following elements (see datatype "Period12Choice" on page 386 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		387
	PeriodCode	<PrdCd>	Or}	[1..1]		387

6.47.6 DepositorySuspensionPeriodForDeposit

XML Tag: DpstrySspnsnPrdForDpst

Presence: [0..1]

Definition: Period defining the last date for which deposits will be accepted and the date on which the suspension will be released and deposits will resume.

The **DpstrySspnsnPrdForDpst** block contains the following elements (see datatype "Period12Choice" on page 386 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		387
	PeriodCode	<PrdCd>	Or}	[1..1]		387







6.47.7 DepositorySuspensionPeriodForPledge

XML Tag: DpstrySspnsnPrdForPldg

Presence: [0..1]

Definition: Period defining the last date for which pledges will be accepted and the date on which the suspension will be released and pledge processing will resume.

The **DpstrySspnsnPrdForPldg** block contains the following elements (see datatype "[Period12Choice](#)" on page 386 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		387
	PeriodCode	<PrdCd>	Or}	[1..1]		387







6.47.8 DepositorySuspensionPeriodForSegregation

XML Tag: DpstrySspnsnPrdForSgrtn

Presence: [0..1]

Definition: Period defining the last date for which intra-position balances can be segregated and the date on which the suspension will be released and the ability to segregate intra-position balances will resume.

The **DpstrySspnsnPrdForSgrtn** block contains the following elements (see datatype "[Period12Choice](#)" on page 386 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		387
	PeriodCode	<PrdCd>	Or}	[1..1]		387







6.47.9 DepositorySuspensionPeriodForWithdrawalAtAgent

XML Tag: DpstrySspnsnPrdForWdrwlAtAgt

Presence: [0..1]

Definition: Period defining the last date for which withdrawals, from nominee name at the agent will be accepted and the date on which the suspension will be released and withdrawals at agent processing will resume.

The **DpstrySspnsnPrdForWdrwlAtAgt** block contains the following elements (see datatype "[Period12Choice](#)" on page 386 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		387
	PeriodCode	<PrdCd>	Or}	[1..1]		387







6.47.10 DepositorySuspensionPeriodForWithdrawal-InNomineeName

XML Tag: DpstrySspnsnPrdForWdrwlInNmneeNm

Presence: [0..1]

Definition: Period defining the last date for which physical withdrawals in the nominee's name will be accepted and the date on which the suspension will be released and physical withdrawals in the nominee's name will resume.

The **DpstrySspnsnPrdForWdrwlInNmneeNm** block contains the following elements (see datatype "Period12Choice" on page 386 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		387
	PeriodCode	<PrdCd>	Or}	[1..1]		387







6.47.11 DepositorySuspensionPeriodForWithdrawal-InStreetName

XML Tag: DpstrySspnsnPrdForWdrwlInStrtNm

Presence: [0..1]

Definition: Period defining the last date on which withdrawal requests in street name's will be accepted on the event security and the date on which the suspension will be released and withdrawal in street name's processing on the event security will resume.

The **DpstrySspnsnPrdForWdrwlInStrtNm** block contains the following elements (see datatype "Period12Choice" on page 386 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		387
	PeriodCode	<PrdCd>	Or}	[1..1]		387

6.47.12 BookClosurePeriod

XML Tag: BookClsrPrd

Presence: [0..1]

Definition: Period defining the last date on which shareholder registration will be accepted by the issuer and the date on which shareholder registration will resume.

The **BookClsrPrd** block contains the following elements (see datatype "Period12Choice" on page 386 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		387
	PeriodCode	<PrdCd>	Or}	[1..1]		387

6.47.13 CoDepositoriesSuspensionPeriod

XML Tag: CoDpstriesSspnsnPrd

Presence: [0..1]

Definition: Period during which the settlement activities at the co-depositories are suspended in order to stabilise the holdings at the CSD.

The **CoDpstriesSspnsnPrd** block contains the following elements (see datatype "Period12Choice" on page 386 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		387
	PeriodCode	<PrdCd>	Or}	[1..1]		387

6.47.14 SplitPeriod

XML Tag: SpltPrd

Presence: [0..1]

Definition: Period during which a physical certificate can be split.

The **SpltPrd** block contains the following elements (see datatype "Period12Choice" on page 386 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		387
	PeriodCode	<PrdCd>	Or}	[1..1]		387

6.47.15 FiscalYearPeriod

XML Tag: FscIYrPrd

Presence: [0..1]

Definition: Period defining the fiscal or tax year the dividend pertains to in order to correctly deduct taxes for residents.

The **FscIYrPrd** block contains the following elements (see datatype "Period12Choice" on page 386 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		387
	PeriodCode	<PrdCd>	Or}	[1..1]		387

6.48 CorporateActionPeriod18

Definition: Specifies periods related to a corporate action option.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PriceCalculationPeriod	<PricClctnPrd>		[0..1]		206
	ParallelTradingPeriod	<ParllTradgPrd>		[0..1]		207
	ActionPeriod	<ActnPrd>		[0..1]		207
	RevocabilityPeriod	<RvcbltyPrd>		[0..1]		207
	PrivilegeSuspensionPeriod	<PrvlgSspnsnPrd>		[0..1]		208
	AccountServicerRevocabilityPeriod	<AcctSvcrRvcbltyPrd>		[0..1]		208
	DepositorySuspensionPeriodForWithdrawal	<DpstrySspnsnPrdForW-drw/>		[0..1]		208

Used in element(s)

"PeriodDetails" on page 194

6.48.1 PriceCalculationPeriod

XML Tag: PricClctnPrd

Presence: [0..1]

Definition: Period during which the price of a security is determined.

The **PricClctnPrd** block contains the following elements (see datatype "Period12Choice" on page 386 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		387
	PeriodCode	<PrdCd>	Or}	[1..1]		387







6.48.2 ParallelTradingPeriod

XML Tag: ParllTradgPrd

Presence: [0..1]

Definition: Period during which both old and new equity may be traded simultaneously, for example, consolidation of equity or splitting of equity.

The **ParllTradgPrd** block contains the following elements (see datatype "[Period12Choice](#)" on page 386 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		387
	PeriodCode	<PrdCd>	Or}	[1..1]		387







6.48.3 ActionPeriod

XML Tag: ActnPrd

Presence: [0..1]

Definition: Period during which the specified option, or all options of the event, remains valid, for example, offer period.

The **ActnPrd** block contains the following elements (see datatype "[Period6Choice](#)" on page 388 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		389
	PeriodCode	<PrdCd>	Or}	[1..1]		389







6.48.4 RevocabilityPeriod

XML Tag: RvcbltyPrd

Presence: [0..1]

Definition: Period during which the shareholder can revoke, change or withdraw its instruction.

The **RvcbltyPrd** block contains the following elements (see datatype "[Period6Choice](#)" on page 388 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		389
	PeriodCode	<PrdCd>	Or}	[1..1]		389







6.48.5 PrivilegeSuspensionPeriod

XML Tag: PrvlgSspnsnPrd

Presence: [0..1]

Definition: Period during which the privilege is not available, for example, this can happen whenever a meeting takes place or whenever a coupon payment is due.

The **PrvlgSspnsnPrd** block contains the following elements (see datatype "[Period6Choice](#)" on page 388 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		389
	PeriodCode	<PrdCd>	Or}	[1..1]		389







6.48.6 AccountServicerRevocabilityPeriod

XML Tag: AcctSvcrRvcbltyPrd

Presence: [0..1]

Definition: Period during which the participant of the account servicer can revoke change or withdraw its instructions.

The **AcctSvcrRvcbltyPrd** block contains the following elements (see datatype "[Period6Choice](#)" on page 388 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		389
	PeriodCode	<PrdCd>	Or}	[1..1]		389

6.48.7 DepositorySuspensionPeriodForWithdrawal

XML Tag: DpstrySspnsnPrdForWdrwl

Presence: [0..1]

Definition: Period defining the last date on which withdrawal in street name requests on the outturn security will be accepted and the date on which the suspension will be released and withdrawal by transfer processing on the outturn security will resume.

The **DpstrySspnsnPrdForWdrwl** block contains the following elements (see datatype "[Period12Choice](#)" on page 386 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		387
	PeriodCode	<PrdCd>	Or}	[1..1]		387

6.49 CorporateActionPrice82__1

Definition: Specifies prices related to a corporate action option.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IndicativeOrMarketPrice	<IndctvOrMktPric>		[0..1]		209
	CashInLieuOfSharePrice	<CshInLieuOfShrPric>		[0..1]		210
	CashValueForTax	<CshValForTax>		[0..1]		210
	GenericCashPricePaidPerProduct	<GncCshPricPdPerPdct>		[0..1]		210
	GenericCashPriceReceivedPerProduct	<GncCshPricRcvdPerPdct>		[0..1]		211

Guidelines

1. GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

Used in element(s)

"[PriceDetails](#)" on page 482

6.49.1 IndicativeOrMarketPrice

XML Tag: IndctvOrMktPric

Presence: [0..1]

Definition: Indicates whether the price is an indicative price or a market price.

The **IndctvOrMktPric** block contains the following elements (see datatype "[IndicativeOrMarketPrice12Choice__1](#)" on page 359 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IndicativePrice	<IndctvPric>	{Or	[1..1]		359
	MarketPrice	<MktPric>	Or}	[1..1]		360







6.49.2 CashInLieuOfSharePrice

XML Tag: CshInLieuOfShrPric

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

The **CshInLieuOfShrPric** block contains the following elements (see datatype "PriceFormat74Choice 1" on page 400 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		400
	AmountPrice	<AmtPric>	Or	[1..1]		401
	NotSpecifiedPrice	<NotSpcfdPric>	Or}	[1..1]		401







6.49.3 CashValueForTax

XML Tag: CshValForTax

Presence: [0..1]

Definition: Cash value of resulting securities proceeds for tax calculation and/or reporting.

The **CshValForTax** block contains the following elements (see datatype "PriceFormat46Choice 1" on page 394 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPrice	<AmtPric>	{Or	[1..1]		394
	NotSpecifiedPrice	<NotSpcfdPric>	Or}	[1..1]		394

6.49.4 GenericCashPricePaidPerProduct

XML Tag: GncCshPricPdPerPdct

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

The **GncCshPricPdPerPdct** block contains the following elements (see datatype "PriceFormat73Choice__1" on page 398 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - 🟡 Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		398
	AmountPrice	<AmtPric>	Or	[1..1]		399
	NotSpecifiedPrice	<NotSpdfdPric>	Or	[1..1]		399
	IndexPoints	<IndxPts>	Or}	[1..1]	⚠	399

6.49.5 GenericCashPriceReceivedPerProduct

XML Tag: GncCshPricRcvdPerPdct

Presence: [0..1]

Definition: Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

The **GncCshPricRcvdPerPdct** block contains the following elements (see datatype "PriceFormat72Choice__1" on page 395 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - 🟡 Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		396
	AmountPrice	<AmtPric>	Or	[1..1]		396
	NotSpecifiedPrice	<NotSpdfdPric>	Or	[1..1]		397
	AmountPricePerFinancialInstrumentQuantity	<AmtPricPerFinInstrmQty>	Or	[1..1]		397
	AmountPricePerAmount	<AmtPricPerAmt>	Or	[1..1]		397
	IndexPoints	<IndxPts>	Or}	[1..1]	⚠	397

6.50 CorporateActionPrice85__1

Definition: Specifies various prices of a corporate action.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - 🟡 Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MaximumPrice	<MaxPric>		[0..1]		212
	MinimumPrice	<MinPric>		[0..1]		212
	FirstBidIncrementPrice	<FrstBidIncrmtPric>		[0..1]		213
	LastBidIncrementPrice	<LastBidIncrmtPric>		[0..1]		213

Used in element(s)

"PriceDetails" on page 87







6.50.1 MaximumPrice


XML Tag: MaxPric

Presence: [0..1]

Definition: Maximum or cap price at which a holder can bid, for example, on a Dutch auction offer.

The **MaxPric** block contains the following elements (see datatype "PriceFormat73Choice 1" on page 398 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		398
	AmountPrice	<AmtPric>	Or	[1..1]		399
	NotSpecifiedPrice	<NotSpctdPric>	Or	[1..1]		399
	IndexPoints	<IndxPts>	Or}	[1..1]		399







6.50.2 MinimumPrice

XML Tag: MinPric


Presence: [0..1]

Definition: Minimum or floor price at which a holder can bid, for example, on a Dutch auction offer.

The **MinPric** block contains the following elements (see datatype "PriceFormat73Choice 1" on page 398 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		398
	AmountPrice	<AmtPric>	Or	[1..1]		399
	NotSpecifiedPrice	<NotSpctdPric>	Or	[1..1]		399

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IndexPoints	<IndxPts>	Or}	[1..1]		399







6.50.3 FirstBidIncrementPrice


XML Tag: FrstBidIncrmtPric

Presence: [0..1]

Definition: First acceptable price after the Minimum Price at which a holder can bid, for example on a Dutch auction offer.

The **FrstBidIncrmtPric** block contains the following elements (see datatype "[PriceFormat73Choice 1](#)" on [page 398](#) for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		398
	AmountPrice	<AmtPric>	Or	[1..1]		399
	NotSpecifiedPrice	<NotSpctdPric>	Or	[1..1]		399
	IndexPoints	<IndxPts>	Or}	[1..1]		399







6.50.4 LastBidIncrementPrice


XML Tag: LastBidIncrmtPric

Presence: [0..1]

Definition: Last acceptable price before the Maximum Price at which a holder can bid, for example on a Dutch auction offer.







The **LastBidIncrmtPric** block contains the following elements (see datatype "[PriceFormat73Choice 1](#)" on [page 398](#) for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		398
	AmountPrice	<AmtPric>	Or	[1..1]		399
	NotSpecifiedPrice	<NotSpctdPric>	Or	[1..1]		399
	IndexPoints	<IndxPts>	Or}	[1..1]		399

6.51 CorporateActionPrice87__1

Definition: Specifies prices related to a corporate action option.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CashInLieuOfSharePrice	<CshInLieuOfShrPric>		[0..1]		214
	OverSubscriptionDepositPrice	<OverSbcptDpstPric>		[0..1]		214
	MaximumCashToInstruct	<MaxCshToInst>		[0..1]		215
	MinimumCashToInstruct	<MinCshToInst>		[0..1]		215
	MinimumMultipleCashToInstruct	<MinMltplCshToInst>		[0..1]		215
	MaximumPrice	<MaxPric>		[0..1]		216
	MinimumPrice	<MinPric>		[0..1]		216
	FirstBidIncrementPrice	<FrstBidIncrmtPric>		[0..1]		217
	LastBidIncrementPrice	<LastBidIncrmtPric>		[0..1]		217

Used in element(s)

"PriceDetails" on page 195







6.51.1 CashInLieuOfSharePrice

XML Tag: CshInLieuOfShrPric

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

The **CshInLieuOfShrPric** block contains the following elements (see datatype "PriceFormat74Choice 1" on page 400 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		400
	AmountPrice	<AmtPric>	Or	[1..1]		401
	NotSpecifiedPrice	<NotSpctdPric>	Or}	[1..1]		401







6.51.2 OverSubscriptionDepositPrice

XML Tag: OverSbcptDpstPric

Presence: [0..1]

Definition: Amount of money required per over-subscribed equity as defined by the issuer.

The **OverSbcptDpstPric** block contains the following elements (see datatype "PriceFormat74Choice 1" on page 400 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		400
	AmountPrice	<AmtPric>	Or	[1..1]		401
	NotSpecifiedPrice	<NotSpbfdPric>	Or}	[1..1]		401







6.51.3 MaximumCashToInstruct

XML Tag: MaxCshToInst

Presence: [0..1]

Definition: Maximum cash amount that may be instructed.

The **MaxCshToInst** block contains the following elements (see datatype "PriceFormat61Choice_1" on page 395 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPrice	<AmtPric>	{Or	[1..1]		395
	NotSpecifiedPrice	<NotSpbfdPric>	Or}	[1..1]		395







6.51.4 MinimumCashToInstruct

XML Tag: MinCshToInst

Presence: [0..1]

Definition: Minimum cash amount that may be instructed.

The **MinCshToInst** block contains the following elements (see datatype "PriceFormat61Choice_1" on page 395 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPrice	<AmtPric>	{Or	[1..1]		395
	NotSpecifiedPrice	<NotSpbfdPric>	Or}	[1..1]		395

6.51.5 MinimumMultipleCashToInstruct

XML Tag: MinMltplCshToInst

Presence: [0..1]

Definition: Minimum multiple of a cash amount that may be instructed.

The **MinMltplCshToInst** block contains the following elements (see datatype "[PriceFormat61Choice 1](#)" on page 395 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPrice	<AmtPric>	{Or	[1..1]		395
	NotSpecifiedPrice	<NotSpcfdPric>	Or}	[1..1]		395

6.51.6 MaximumPrice

XML Tag: MaxPric

Presence: [0..1]

Definition: Maximum or cap price at which a holder can bid, for example, on a Dutch auction offer.

The **MaxPric** block contains the following elements (see datatype "[PriceFormat73Choice 1](#)" on page 398 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		398
	AmountPrice	<AmtPric>	Or	[1..1]		399
	NotSpecifiedPrice	<NotSpcfdPric>	Or	[1..1]		399
	IndexPoints	<IndxPts>	Or}	[1..1]	⚠	399

6.51.7 MinimumPrice

XML Tag: MinPric

Presence: [0..1]

Definition: Minimum or floor price at which a holder can bid, for example, on a Dutch auction offer.

The **MinPric** block contains the following elements (see datatype "[PriceFormat73Choice 1](#)" on page 398 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		398
	AmountPrice	<AmtPric>	Or	[1..1]		399
	NotSpecifiedPrice	<NotSpcfdPric>	Or	[1..1]		399
	IndexPoints	<IndxPts>	Or}	[1..1]	⚠	399

6.51.8 FirstBidIncrementPrice

XML Tag: FrstBidIncrmtPric

Presence: [0..1]

Definition: First acceptable price after the Minimum Price at which a holder can bid, for example on a Dutch auction offer.

The **FrstBidIncrmtPric** block contains the following elements (see datatype "[PriceFormat73Choice_1](#)" on page 398 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		398
	AmountPrice	<AmtPric>	Or	[1..1]		399
	NotSpecifiedPrice	<NotSpcfdPric>	Or	[1..1]		399
	IndexPoints	<IndxPts>	Or}	[1..1]	⚠	399

6.51.9 LastBidIncrementPrice

XML Tag: LastBidIncrmtPric

Presence: [0..1]

Definition: Last acceptable price before the Maximum Price at which a holder can bid, for example on a Dutch auction offer.

The **LastBidIncrmtPric** block contains the following elements (see datatype "[PriceFormat73Choice_1](#)" on page 398 for details)


🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		398
	AmountPrice	<AmtPric>	Or	[1..1]		399
	NotSpecifiedPrice	<NotSpcfdPric>	Or	[1..1]		399
	IndexPoints	<IndxPts>	Or}	[1..1]	⚠	399

6.52 CorporateActionProcessingStatus5Choice__1

Definition: Specifies the status of the details of the corporate action event.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		218
	Proprietary	<Prtry>	Or}	[1..1]		218

Used in element(s)

"ProcessingStatus" on page 184







6.52.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Specifies the status of the details of the corporate action event.

The **Cd** block contains the following elements (see datatype "CorporateActionEventStatus1" on page 162 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EventCompletenessStatus	<EvtCmpltnsSts>		[1..1]		162
	EventConfirmationStatus	<EvtConfSts>		[1..1]		163







6.52.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Information related to an identification, for example, party identification or account identification.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30_1" on page 326 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327
	SchemeName	<SchmeNm>		[0..1]		337

Usage Guideline details

- This element(seev.031.001.16/NotificationGeneralInformation/ProcessingStatus/Proprietary) is removed.

6.53 CorporateActionQuantity15__1

Definition: Specifies corporate action quantities.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MaximumQuantity	<MaxQty>		[0..1]		219
	MinimumQuantitySought	<MinQtySght>		[0..1]		220
	NewBoardLotQuantity	<NewBrdLotQty>		[0..1]		220
	NewDenominationQuantity	<NewDnmtnQty>		[0..1]		221
	BaseDenomination	<BaseDnmtn>		[0..1]		221
	IncrementalDenomination	<IncrmtlDnmtn>		[0..1]		222
	SecurityCalledQuantity	<SctyClldQty>		[0..1]		222
	NewOutstandingQuantity	<NewOutsdngQty>		[0..1]		222
	TotalOutstandingQuantity	<TtlOutsdngQty>		[0..1]		223

Rules

R70 MaximumAndMinimumQuantitySoughtUsageRule

When MaximumQuantity is present, MinimumQuantitySought must be present too. When MinimumQuantitySought is present, MaximumQuantity must be present too.

When MinimumQuantitySought/Code is AnyAndAll, then MaximumQuantity/Code must not be AnyAndAll.

When MaximumQuantity/Code is AnyAndAll, MinimumQuantitySought/code must not be used with the value AnyAndAll.

Error handling: Undefined

Used in element(s)

"SecuritiesQuantity" on page 87







6.53.1 MaximumQuantity




XML Tag: MaxQty

Presence: [0..1]

Definition: The maximum number of securities the offeror/issuer is ready to purchase or redeem. This can be a number or the term "any and all".

The **MaxQty** block contains the following elements (see datatype "[FinancialInstrumentQuantity34Choice 1](#)" on page 313 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		314
	FaceAmount	<FaceAmt>	Or	[1..1]		314
	AmortisedValue	<AmtsdVal>	Or	[1..1]		315
	Code	<Cd>	Or	[1..1]		316
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		316







6.53.2 MinimumQuantitySought




XML Tag: MinQtySght

Presence: [0..1]

Definition: Minimum quantity of securities the offeror/issuer is ready to purchase or redeem under the terms of the event. This can be a number or the term "any and all".

The **MinQtySght** block contains the following elements (see datatype "[FinancialInstrumentQuantity34Choice_1](#)" on page 313 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		314
	FaceAmount	<FaceAmt>	Or	[1..1]		314
	AmortisedValue	<AmtsdVal>	Or	[1..1]		315
	Code	<Cd>	Or	[1..1]		316
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		316







6.53.3 NewBoardLotQuantity




XML Tag: NewBrdLotQty

Presence: [0..1]

Definition: Quantity of equity that makes up the new board lot.

The **NewBrdLotQty** block contains the following elements (see datatype "[FinancialInstrumentQuantity35Choice_1](#)" on page 317 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		318
	FaceAmount	<FaceAmt>	Or	[1..1]		319
	AmortisedValue	<AmtsdVal>	Or	[1..1]		320

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	Or	[1..1]		321
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		321







6.53.4 NewDenominationQuantity




XML Tag: NewDnmtnQty

Presence: [0..1]

Definition: New denomination of the equity following, for example, an increase or decrease in nominal value.

The **NewDnmtnQty** block contains the following elements (see datatype "[FinancialInstrumentQuantity35Choice 1](#)" on page 317 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		318
	FaceAmount	<FaceAmt>	Or	[1..1]		319
	AmortisedValue	<AmtsdVal>	Or	[1..1]		320
	Code	<Cd>	Or	[1..1]		321
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		321







6.53.5 BaseDenomination




XML Tag: BaseDnmtn

Presence: [0..1]

Definition: Minimum integral amount of securities that each account owner must have remaining after the called amounts are applied.

The **BaseDnmtn** block contains the following elements (see datatype "[FinancialInstrumentQuantity35Choice 1](#)" on page 317 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		318
	FaceAmount	<FaceAmt>	Or	[1..1]		319
	AmortisedValue	<AmtsdVal>	Or	[1..1]		320
	Code	<Cd>	Or	[1..1]		321
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		321

6.53.6 IncrementalDenomination

XML Tag: IncrmtlDnmtn

Presence: [0..1]

Definition: Amount used when the called amount is not met by running the lottery with the base denomination.

The **IncrmtlDnmtn** block contains the following elements (see datatype "[FinancialInstrumentQuantity35Choice_1](#)" on page 317 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]	⚠	318
	FaceAmount	<FaceAmt>	Or	[1..1]	⚠	319
	AmortisedValue	<AmtsdVal>	Or	[1..1]	⚠	320
	Code	<Cd>	Or	[1..1]		321
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		321

6.53.7 SecurityCalledQuantity

XML Tag: SctyCldQty

Presence: [0..1]

Definition: Total quantity of the underlying securities called, either held or not held at the depository.

The **SctyCldQty** block contains the following elements (see datatype "[FinancialInstrumentQuantity35Choice](#)" on page 316 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		316
	FaceAmount	<FaceAmt>	Or	[1..1]		317
	AmortisedValue	<AmtsdVal>	Or	[1..1]		317
	Code	<Cd>	Or	[1..1]		317
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		317

6.53.8 NewOutstandingQuantity

XML Tag: NewOutsdngQty

Presence: [0..1]

Definition: New outstanding quantity of the underlying securities after processing.

The **NewOutsdngQty** block contains the following elements (see datatype "[FinancialInstrumentQuantity35Choice](#)" on page 316 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		316
	FaceAmount	<FaceAmt>	Or	[1..1]		317
	AmortisedValue	<AmtsdVal>	Or	[1..1]		317
	Code	<Cd>	Or	[1..1]		317
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		317

6.53.9 TotalOutstandingQuantity

XML Tag: TtlOutsdngQty

Presence: [0..1]

Definition: Total quantity outstanding of the underlying securities as of the publication date of the notice.

The **TtlOutsdngQty** block contains the following elements (see datatype "[FinancialInstrumentQuantity35Choice](#)" on page 316 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		316
	FaceAmount	<FaceAmt>	Or	[1..1]		317
	AmortisedValue	<AmtsdVal>	Or	[1..1]		317
	Code	<Cd>	Or	[1..1]		317
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		317

6.54 CorporateActionRate122__1

Definition: Specifies rates of a corporate action.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	InterestRate	<IntrstRate>		[0..1]		224
	PercentageSought	<PctgSght>		[0..1]		224
	RelatedIndex	<RltdIdx>		[0..1]		225

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Spread	<Sprd>		[0..1]		225
	BidInterval	<BidIntrvl>		[0..1]		226
	PreviousFactor	<PrvsFctr>		[0..1]		226
	NextFactor	<NxtFctr>		[0..1]		226
	ReinvestmentDiscountRate-ToMarket	<RinvstmtDscntRate-ToMkt>		[0..1]		227
	InterestShortfall	<IntrstShrtfll>		[0..1]		227
	RealisedLoss	<RealsdLoss>		[0..1]		227
	DeclaredRate	<DclrdRate>		[0..1]		228
	IndexFactor	<IndxFctr>		[0..1]		228

Rules

R69 DeclaredRateRule

DeclaredRate may only be used if the dividend or interest declared by the issuer is actually paid in a different currency than the declared one or if the dividend or the interest event makes it possible to elect amongst multiple currency options.

Error handling: Undefined

Used in element(s)

"RateAndAmountDetails" on page 86

6.54.1 InterestRate

XML Tag: IntrstRate

Presence: [0..1]

Definition: Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.

The **IntrstRate** block contains the following elements (see datatype "RateAndAmountFormat57Choice 1" on page 430 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		431
	NotSpecifiedRate	<NotSpctdRate>	Or	[1..1]		431
	Amount	<Amt>	Or}	[1..1]	⚠	431







6.54.2 PercentageSought

XML Tag: PctgSght

Presence: [0..1]

Definition: Percentage of securities the offeror/issuer will purchase or redeem under the terms of the event.

The **PctgSght** block contains the following elements (see datatype "[RateFormat25Choice](#)" on [page 440](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		440
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		440







6.54.3 RelatedIndex

XML Tag: RltdIndx

Presence: [0..1]

Definition: Index rate related to the interest rate of the forthcoming interest payment.

The **RltdIndx** block contains the following elements (see datatype "[RateFormat24Choice](#)" on [page 439](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		439
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		439







6.54.4 Spread

XML Tag: Sprd

Presence: [0..1]

Definition: Margin allowed over or under a given rate.

The **Sprd** block contains the following elements (see datatype "[RateFormat24Choice](#)" on [page 439](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		439
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		439

6.54.5 BidInterval

XML Tag: BidIntrvl

Presence: [0..1]

Definition: Acceptable price increment used for submitting a bid.

The **BidIntrvl** block contains the following elements (see datatype "[RateAndAmountFormat58Choice](#)" on page 433 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		433
	NotSpecifiedRate	<NotSpctdRate>	Or	[1..1]		433
	Amount	<Amt>	Or	[1..1]	⚠	433
	IndexPoints	<IndxPts>	Or}	[1..1]	⚠	434

6.54.6 PreviousFactor

XML Tag: PrvsFctr

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.

The **PrvsFctr** block contains the following elements (see datatype "[RateFormat12Choice](#)" on page 438 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		439
	NotSpecifiedRate	<NotSpctdRate>	Or}	[1..1]		439

6.54.7 NextFactor

XML Tag: NxtFctr

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will be applicable after the redemption (factor) date.

The **NxtFctr** block contains the following elements (see datatype "[RateFormat12Choice](#)" on page 438 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		439
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		439







6.54.8 ReinvestmentDiscountRateToMarket

XML Tag: RinvstmtDscntRateToMkt

Presence: [0..1]

Definition: Rate of discount for securities purchased through a reinvestment scheme as compared to the current market price of security.

The **RinvstmtDscntRateToMkt** block contains the following elements (see datatype "RateFormat24Choice" on page 439 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		439
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		439







6.54.9 InterestShortfall


XML Tag: IntrstShrtfll

Presence: [0..1]

Definition: For structured security issues where there is a set schedule of principal and interest payments for the life of the issue, this is the difference between the actual rate of the interest payment and the expected or scheduled rate of the interest payment.

The **IntrstShrtfll** block contains the following elements (see datatype "RateAndAmountFormat59Choice_1" on page 434 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		434
	Amount	<Amt>	Or}	[1..1]		434

6.54.10 RealisedLoss

XML Tag: RealsdLoss

Presence: [0..1]

Definition: For structured security issues where there is a set schedule of principal and interest payments for the life of the issue, this is the difference between the actual rate of the capital or principal repayment and the scheduled capital repayment.

The **RealsdLoss** block contains the following elements (see datatype "[RateAndAmountFormat59Choice 1](#)" on page 434 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		434
	Amount	<Amt>	Or}	[1..1]	⚠	434

6.54.11 DeclaredRate

XML Tag: DclrdRate

Presence: [0..1]

Definition: Dividend or interest rate declared by the issuer.

The **DclrdRate** block contains the following elements (see datatype "[RateAndAmountFormat59Choice 1](#)" on page 434 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		434
	Amount	<Amt>	Or}	[1..1]	⚠	434

6.54.12 IndexFactor

XML Tag: IndxFctr

Presence: [0..1]

Definition: Public index rate applied to the amount paid to adjust it to inflation.







The **IndxFctr** block contains the following elements (see datatype "[RateAndAmountFormat57Choice](#)" on page 429 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		430
	NotSpecifiedRate	<NotSpctdRate>	Or	[1..1]		430
	Amount	<Amt>	Or}	[1..1]		430

6.55 CorporateActionRate128__1

Definition: Specifies security rate details.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalQuantityForSubscribedResultantSecurities	<AddtlQtyForSbcbdrsltntScies>		[0..1]		229
	AdditionalQuantityForExistingSecurities	<AddtlQtyForExstgScies>		[0..1]		229
	NewToOld	<NewToOd>		[0..1]		230
	TransformationRate	<TrfrmatnRate>		[0..1]		230
	ChargesFees	<ChrgsFees>		[0..1]		230
	FiscalStamp	<FscIStmp>		[0..1]		231
	ApplicableRate	<AplblRate>		[0..1]		231
	TaxCreditRate	<TaxCdtRate>		[0..1]		232
	FinancialTransactionTaxRate	<FinTxTaxRate>		[0..1]		232
	WithholdingTaxRate	<WhldgTaxRate>		[0..*]		232
	SecondLevelTax	<ScndLvITax>		[0..*]		233

Used in element(s)

"RateDetails" on page 481







6.55.1 AdditionalQuantityForSubscribedResultantSecurities

XML Tag: AddtlQtyForSbcbdrsltntScies

Presence: [0..1]

Definition: Quantity of additional intermediate securities/new equities awarded for a given quantity of securities derived from subscription.

The **AddtlQtyForSbcbdrsltntScies** block contains the following elements (see datatype "[RatioFormat17Choice 1](#)" on page 461 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityToQuantity	<QtyToQty>	{Or}	[1..1]		462
	NotSpecifiedRate	<NotSpcdfRate>	Or	[1..1]		462
	AmountToAmount	<AmtToAmt>	Or}	[1..1]		462

6.55.2 AdditionalQuantityForExistingSecurities

XML Tag: AddtlQtyForExstgScies

Presence: [0..1]

Definition: Quantity of additional securities for a given quantity of underlying securities where underlying securities are not exchanged or debited, for example, 1 for 1: 1 new equity credited for every 1 underlying equity = 2 resulting equities.

The **AddtlQtyForExstgScties** block contains the following elements (see datatype "[RatioFormat17Choice 1](#)" on page 461 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityToQuantity	<QtyToQty>	{Or	[1..1]		462
	NotSpecifiedRate	<NotSpctdRate>	Or	[1..1]		462
	AmountToAmount	<AmtToAmt>	Or}	[1..1]		462

6.55.3 NewToOld

XML Tag: NewToOd

Presence: [0..1]

Definition: Quantity of new securities for a given quantity of underlying securities, where the underlying securities will be exchanged or debited, for example, 2 for 1: 2 new equities credited for every 1 underlying equity debited = 2 resulting equities.

The **NewToOd** block contains the following elements (see datatype "[RatioFormat18Choice 1](#)" on page 463 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityToQuantity	<QtyToQty>	{Or	[1..1]		463
	NotSpecifiedRate	<NotSpctdRate>	Or	[1..1]		463
	AmountToAmount	<AmtToAmt>	Or	[1..1]		464
	AmountToQuantity	<AmtToQty>	Or	[1..1]		464
	QuantityToAmount	<QtyToAmt>	Or}	[1..1]		464

6.55.4 TransformationRate

XML Tag: TrfrmatnRate

Presence: [0..1]

Definition: Rate used to determine the cash consideration split across outturn settlement transactions that are the result of a transformation of the parent transaction.

Datatype: "[Percentage14Rate](#)" on page 628

6.55.5 ChargesFees

XML Tag: ChrgsFees

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

The **ChrgsFees** block contains the following elements (see datatype "[RateAndAmountFormat57Choice 1](#)" on page 430 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		431
	NotSpecifiedRate	<NotSpecfdRate>	Or	[1..1]		431
	Amount	<Amt>	Or}	[1..1]	⚠	431

6.55.6 FiscalStamp

XML Tag: FscIStmp

Presence: [0..1]

Definition: Percentage of fiscal tax to apply.

The **FscIStmp** block contains the following elements (see datatype "[RateFormat24Choice](#)" on page 439 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		439
	NotSpecifiedRate	<NotSpecfdRate>	Or}	[1..1]		439

6.55.7 ApplicableRate

XML Tag: AplblRate

Presence: [0..1]

Definition: Rate applicable to the event announced, for example, redemption rate for a redemption event.

The **AplblRate** block contains the following elements (see datatype "[RateFormat24Choice](#)" on page 439 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		439
	NotSpecifiedRate	<NotSpecfdRate>	Or}	[1..1]		439

6.55.8 TaxCreditRate

XML Tag: TaxCdtRate

Presence: [0..1]

Definition: Amount of money per equity allocated as the result of a tax credit.

The **TaxCdtRate** block contains the following elements (see datatype "[RateFormat26Choice_1](#)" on page 440 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		441
	Amount	<Amt>	Or	[1..1]	⚠	441
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		441

6.55.9 FinancialTransactionTaxRate

XML Tag: FinTxTaxRate

Presence: [0..1]

Definition: Rate of financial transaction tax.

The **FinTxTaxRate** block contains the following elements (see datatype "[RateFormat24Choice](#)" on page 439 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		439
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		439

6.55.10 WithholdingTaxRate

XML Tag: WhldgTaxRate


Presence: [0..*]

Definition: Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

The **WhldgTaxRate** block contains the following elements (see datatype "[RateAndAmountFormat60Choice_1](#)" on page 435 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		435

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NotSpecifiedRate	<NotSpcfdRate>	Or	[1..1]		435
	Amount	<Amt>	Or	[1..1]		436
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		436







6.55.11 SecondLevelTax


XML Tag: ScndLvITax

Presence: [0..*]

Definition: Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.







The **ScndLvITax** block contains the following elements (see datatype "RateAndAmountFormat60Choice 1" on page 435 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		435
	NotSpecifiedRate	<NotSpcfdRate>	Or	[1..1]		435
	Amount	<Amt>	Or	[1..1]		436
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		436

6.56 CorporateActionRate139__1

Definition: Specifies rates related to a corporate action option.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalTax	<AddtlTax>		[0..1]		235
	GrossDistributionRate	<GrssDstrbtnRate>		[0..*]		236
	NetDistributionRate	<NetDstrbtnRate>		[0..*]		236
	GrossInterestRateUsedForPayment	<GrssIntrstRateUsd-ForPmt>		[0..*]		237
	MaximumAllowedOversubscriptionRate	<MaxAllwdOvrscptRate>		[0..1]		237
	ProrationRate	<PrratnRate>		[0..1]		238
	WithholdingTaxRate	<WhldgTaxRate>		[0..*]		238

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SecondLevelTax	<ScndLvITax>		[0..*]		238
	TaxableIncomePerDividendShare	<TaxblIncmPerDvddShr>		[0..*]		239
	IssuerDeclaredExchangeRate	<IssrDclrdXchgRate>		[0..1]		239
	TaxOnIncome	<TaxOnIncm>		[0..1]		240
	BidInterval	<BidIntrvl>		[0..1]		240

Rules

R79 GrossDistributionRate2Rule

If GrossDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDistributionRate. (MT 564 NVR C8).

Error handling: Undefined

R80 GrossDistributionRate3Rule

Only a single occurrence of either GrossDistributionRate/Amount or GrossDistributionRate/AmountAndRateStatus or GrossDistributionRate/NotSpecifiedRate may be present, only one of these elements may be present. (MT 564 NVR C7).

Error handling: Undefined

R81 NetDistributionRate1Rule

If NetDistributionRate is repeated, then RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 564 NVR C8).

Error handling: Undefined

R82 NetDistributionRate2Rule

Only a single occurrence of either NetDistributionRate/Amount or NetDistributionRate/AmountAndRateStatus or NetDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present. (MT 564 NVR C7).

Error handling: Undefined

R83 SecondLevelTax3Rule ✓

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 564 NVR C19).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00385
- *Error Text:* Invalid message contents for WithholdingTaxRate. It should be present.

R84 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R85 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of those elements may be present.

(MT 564 NVR C7).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R86 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R87 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

Used in element(s)

"RateAndAmountDetails" on page 194

6.56.1 AdditionalTax

XML Tag: AddtlTax

Presence: [0..1]

Definition: Rate used for additional tax that cannot be categorised.

The **AddtlTax** block contains the following elements (see datatype "RateAndAmountFormat57Choice 1" on page 430 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		431
	NotSpecifiedRate	<NotSpecfdRate>	Or	[1..1]		431
	Amount	<Amt>	Or}	[1..1]	⚠	431

6.56.2 GrossDistributionRate

XML Tag: GrssDstrbtnRate

Presence: [0..*]

Definition: Distribution rate before tax has been deducted.

The **GrssDstrbtnRate** block contains the following elements (see datatype "GrossDividendRateFormat36Choice 1" on page 354 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]	⚠	354
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]		354
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		355
	NotSpecifiedRate	<NotSpecfdRate>	Or}	[1..1]		355

6.56.3 NetDistributionRate


XML Tag: NetDstrbtnRate

Presence: [0..*]

Definition: Distribution rate after tax has been deducted.

The **NetDstrbtnRate** block contains the following elements (see datatype "NetDividendRateFormat38Choice 1" on page 369 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]		370
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]		370
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		370
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		371

6.56.4 GrossInterestRateUsedForPayment







XML Tag: GrsslIntrstRateUsdForPmt


Presence: [0..*]

Definition: The actual interest rate before tax used for the payment of the interest for the specified interest period.

Usage guideline: It is used to provide the applicable rate for the current payment, after all calculations have been performed, that is, application of period and method of interest computation.

The **GrsslIntrstRateUsdForPmt** block contains the following elements (see datatype "InterestRateUsedForPaymentFormat11Choice 1" on page 362 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		362
	Amount	<Amt>	Or	[1..1]		362
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		363
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		363







6.56.5 MaximumAllowedOversubscriptionRate

XML Tag: MaxAllwdOvrbcptRate

Presence: [0..1]

Definition: Maximum percentage of shares available through the over subscription privilege, usually a percentage of the basic subscription shares, for example, an account owner subscribing to 100 shares may over subscribe to a maximum of 50 additional shares when the over subscription maximum is 50 percent.

The **MaxAllwdOvrbcptRate** block contains the following elements (see datatype "RateFormat24Choice" on page 439 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		439
	NotSpecifiedRate	<NotSpcdfRate>	Or}	[1..1]		439







6.56.6 ProrationRate

XML Tag: PrratnRate

Presence: [0..1]

Definition: Percentage of securities accepted by the offeror/issuer.

The **PrratnRate** block contains the following elements (see datatype "[RateFormat32Choice](#)" on page 442 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		443
	NotSpecifiedRate	<NotSpcdfRate>	Or}	[1..1]		443







6.56.7 WithholdingTaxRate


XML Tag: WhldgTaxRate

Presence: [0..*]

Definition: Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

The **WhldgTaxRate** block contains the following elements (see datatype "[RateAndAmountFormat56Choice](#)" on page 428 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		428
	NotSpecifiedRate	<NotSpcdfRate>	Or	[1..1]		429
	Amount	<Amt>	Or	[1..1]		429
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		429

6.56.8 SecondLevelTax

XML Tag: ScndLvITax

Presence: [0..*]

Definition: Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is

levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

The **ScndLvlTax** block contains the following elements (see datatype "[RateAndAmountFormat60Choice 1](#)" on page 435 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		435
	NotSpecifiedRate	<NotSpctdRate>	Or	[1..1]		435
	Amount	<Amt>	Or	[1..1]	⚠	436
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		436

6.56.9 TaxableIncomePerDividendShare

XML Tag: TaxblIncmPerDvddShr

Presence: [0..*]

Definition: Amount included in the dividend/NAV that is identified as gains directly or indirectly derived from interest payments, for example, in the context of the EU Savings directive.

The **TaxblIncmPerDvddShr** block contains the following elements (see datatype "[RateTypeAndAmountAndStatus26 1](#)" on page 451 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		451
	Amount	<Amt>		[1..1]	⚠	452
	RateStatus	<RateSts>		[0..1]		452

6.56.10 IssuerDeclaredExchangeRate

XML Tag: IssrDclrdXchgRate

Presence: [0..1]

Definition: Exchange rate (provided by the issuer) between the dividend or interest rate in the paid currency and the declared dividend or interest rate.

The **IssrDclrdXchgRate** block contains the following elements (see datatype "[ForeignExchangeTerms38](#)" on page 321 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	UnitCurrency	<UnitCcy>		[1..1]		321
	QuotedCurrency	<QtdCcy>		[1..1]		322
	ExchangeRate	<XchgRate>		[1..1]		322







6.56.11 TaxOnIncm


XML Tag: TaxOnIncm

Presence: [0..1]

Definition: Overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

The **TaxOnIncm** block contains the following elements (see datatype "[RateAndAmountFormat57Choice](#)" on page 430 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		431
	NotSpecifiedRate	<NotSpcfdRate>	Or	[1..1]		431
	Amount	<Amt>	Or}	[1..1]		431







6.56.12 BidInterval

XML Tag: BidIntrvl

Presence: [0..1]

Definition: Acceptable price increment used for submitting a bid.

The **BidIntrvl** block contains the following elements (see datatype "[RateAndAmountFormat58Choice](#)" on page 432 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		432
	NotSpecifiedRate	<NotSpcfdRate>	Or	[1..1]		432
	Amount	<Amt>	Or	[1..1]		432
	IndexPoints	<IndxPts>	Or}	[1..1]		433

6.57 DateAndDateTime2Choice

Definition: Choice between a date or a date and time format.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		241
	DateTime	<DtTm>	Or}	[1..1]		241

Used in element(s)

["Date" on page 250](#),
 ["Date" on page 252](#),
 ["Date" on page 247](#),
 ["Date" on page 248](#),
 ["Date" on page 250](#)

6.57.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Specified date.

Datatype: ["ISODate" on page 597](#)

6.57.2 DateTime

XML Tag: DtTm







Presence: [1..1]


Definition: Specified date and time.

Datatype: ["ISODateTime" on page 597](#)

6.58 DateCode19Choice__1

Definition: Choice between a code or a proprietary code for a date code.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		241
	Proprietary	<Prtry>	Or}	[1..1]		242

Used in element(s)

["DateCode" on page 246](#),
 ["DateCode" on page 248](#),
 ["DateCode" on page 249](#)

6.58.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of date.

Datatype: "DateType8Code" on page 579

6.58.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of date.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30_1" on page 326 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327
	SchemeName	<SchmeNm>		[0..1]		337

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/DateDetails/ExpiryDate/DateCode/Proprietary) is removed.
- This element(seev.031.001.16/CorporateActionOptionDetails/DateDetails/ResponseDeadline/DateCode/Proprietary) is removed.
- This element(seev.031.001.16/CorporateActionOptionDetails/DateDetails/EarlyResponseDeadline/DateCode/Proprietary) is removed.
- This element(seev.031.001.16/CorporateActionOptionDetails/DateDetails/MarketDeadline/DateCode/Proprietary) is removed.
- This element(seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/DateDetails/PaymentDate/DateCode/Proprietary) is removed.

6.59 DateCode20Choice__1

Definition: Choice between a code or a proprietary code for a date code.

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		243
	Proprietary	<Prtry>	Or}	[1..1]		243

Used in element(s)

["DateCode" on page 251](#), ["DateCode" on page 252](#)

6.59.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to indicate the date is unknown.

Datatype: ["DateType1Code" on page 578](#)







6.59.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of date.







The **Prtry** block contains the following elements (see datatype ["GenericIdentification30_1" on page 326](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327
	SchemeName	<SchmeNm>		[0..1]		337

6.60 DateCode21Choice__1

Definition: Choice between a code or a proprietary code for a date code.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		243
	Proprietary	<Prtry>	Or}	[1..1]		244

Used in element(s)

["DateCode" on page 245](#)

6.60.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of date.

Datatype: ["DateType7Code" on page 578](#)

6.60.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of date.

The **Prtry** block contains the following elements (see datatype ["GenericIdentification30 1" on page 326](#) for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327
	SchemeName	<SchmeNm>		[0..1]		337

6.61 DateCode33Choice

Definition: Choice between a code or a proprietary code for a date code.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		244
	Proprietary	<Prtry>	Or}	[1..1]		244

Used in element(s)

["DateCode" on page 253](#)

6.61.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of date.

Datatype: ["DateType9Code" on page 579](#)







6.61.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of date.







The **Prtry** block contains the following elements (see datatype "[GenericIdentification30](#)" on page 325 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		325
	Issuer	<Issr>		[1..1]		325
	SchemeName	<SchmeNm>		[0..1]		326

6.62 DateCodeAndTimeFormat3__1

Definition: Specifies a date code and a time.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateCode	<DtCd>		[1..1]		245
	Time	<Tm>		[1..1]		245

Used in element(s)

["DateCodeAndTime" on page 249](#)







6.62.1 DateCode

XML Tag: DtCd

Presence: [1..1]

Definition: Specifies the type of date.

The **DtCd** block contains the following elements (see datatype "[DateCode21Choice__1](#)" on page 243 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or}	[1..1]		243
	Proprietary	<Prtry>	Or}	[1..1]		244

6.62.2 Time

XML Tag: Tm







Presence: [1..1]


Definition: Specifies the time.

Datatype: ["ISOTime" on page 597](#)

6.63 DateFormat30Choice__1

Definition: Choice between an ISODate format or a date code.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		246
	DateCode	<DtCd>	Or}	[1..1]		246

Used in element(s)

["AvailableDate" on page 487](#), ["CourtApprovalDate" on page 141](#), ["DividendRankingDate" on page 488](#), ["EarliestPaymentDate" on page 488](#), ["EarliestPaymentDate" on page 158](#), ["EffectiveDate" on page 142](#), ["EqualisationDate" on page 142](#), ["ExDividendDate" on page 150](#), ["ExpiryDate" on page 289](#), ["FilingDate" on page 155](#), ["GuaranteedParticipationDate" on page 151](#), ["HearingDate" on page 156](#), ["LapsedDate" on page 153](#), ["LastTradingDate" on page 489](#), ["LotteryDate" on page 144](#), ["MarginFixingDate" on page 145](#), ["MarketClaimTrackingEndDate" on page 154](#), ["NewMaturityDate" on page 144](#), ["PariPassuDate" on page 489](#), ["PaymentDate" on page 153](#), ["PaymentDate" on page 487](#), ["PaymentDate" on page 157](#), ["PostingDate" on page 289](#), ["ProrationDate" on page 146](#), ["RecordDate" on page 146](#), ["SpecialExDate" on page 151](#), ["UnconditionalDate" on page 149](#), ["WhollyUnconditionalDate" on page 149](#)

6.63.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Date expressed as a calendar date.

Datatype: ["ISODate" on page 597](#)







6.63.2 DateCode

XML Tag: DtCd


Presence: [1..1]

Definition: Specifies the type of date.

The **DtCd** block contains the following elements (see datatype ["DateCode19Choice__1" on page 241](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		241







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Proprietary	<Prtry>	Or}	[1..1]		242

Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/DateDetails/RecordDate/DateCode) is removed.

6.64 DateFormat43Choice__1

Definition: Choice between an ISODate or ISODateTime format or a date code.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		247
	DateCode	<DtCd>	Or}	[1..1]		248

Used in element(s)

"AnnouncementDate" on page 140, "CertificationDeadline" on page 140, "CoverExpirationDeadline" on page 133, "DeadlineForTaxBreakdownInstruction" on page 148, "DeadlineToSplit" on page 148, "DepositoryCoverExpirationDate" on page 136, "EarlyClosingDate" on page 141, "EarlyResponseDeadline" on page 133, "EarlyThirdPartyDeadline" on page 154, "Election-ToCounterpartyMarketDeadline" on page 152, "ElectionToCounterpartyResponseDeadline" on page 152, "ExpiryDate" on page 135, "FixingDate" on page 143, "ForeignExchangeRateFixing-Date" on page 157, "FurtherDetailedAnnouncementDate" on page 143, "LeadPlaintiffDeadline" on page 155, "MarketDeadline" on page 134, "MeetingDate" on page 145, "OfficialAnnouncementPublicationDate" on page 150, "ProtectDeadline" on page 134, "RegistrationDeadline" on page 147, "ResultsPublicationDate" on page 147, "StockLendingDeadline" on page 137, "Stock-LendingDeadline" on page 65, "SubscriptionCostDebitDate" on page 136, "ThirdPartyDeadline" on page 154, "TradingSuspendedDate" on page 148







6.64.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Date expressed as a calendar date.

The **Dt** block contains the following elements (see datatype "DateAndDateTime2Choice" on page 240 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		241

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateTime	<DtTm>	Or}	[1..1]		241







6.64.2 DateCode


XML Tag: DtCd

Presence: [1..1]

Definition: Specifies the type of date.







The **DtCd** block contains the following elements (see datatype "[DateCode19Choice__1](#)" on page 241 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		241
	Proprietary	<Prtry>	Or}	[1..1]		242

6.65 DateFormat44Choice__1

Definition: Choice between an ISODate or ISODateTime format or a date code or a date code and a time.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		248
	DateCodeAndTime	<DtCdAndTm>	Or	[1..1]		249
	DateCode	<DtCd>	Or}	[1..1]		249

Used in element(s)

["ResponseDeadline"](#) on page 135







6.65.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Date expressed as a calendar date.

The **Dt** block contains the following elements (see datatype "[DateAndDateTime2Choice](#)" on page 240 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		241
	DateTime	<DtTm>	Or}	[1..1]		241







6.65.2 DateCodeAndTime

XML Tag: DtCdAndTm

Presence: [1..1]

Definition: Specifies a date code and a time.

The **DtCdAndTm** block contains the following elements (see datatype "DateCodeAndTimeFormat3_1" on page 245 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateCode	<DtCd>		[1..1]		245
	Time	<Tm>		[1..1]		245







6.65.3 DateCode


XML Tag: DtCd

Presence: [1..1]

Definition: Specifies the type of date.







The **DtCd** block contains the following elements (see datatype "DateCode19Choice_1" on page 241 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		241
	Proprietary	<Prtry>	Or}	[1..1]		242

6.66 DateFormat45Choice

Definition: Specifies the value of a date.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		250

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NotSpecifiedDate	<NotSpcfdDt>	Or}	[1..1]		250

Used in element(s)

"EndDate" on page 386, "StartDate" on page 386







6.66.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Date expressed as an ISO Date.

The **Dt** block contains the following elements (see datatype "DateAndDateTime2Choice" on page 240 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		241
	DateTime	<DtTm>	Or}	[1..1]		241

6.66.2 NotSpecifiedDate

XML Tag: NotSpcfdDt







Presence: [1..1]

Definition: Date not specified, for example, the date is unknown.

Datatype: "DateType8Code" on page 579

6.67 DateFormat46Choice__1

Definition: Choice between an ISODate or ISODateTime format or a date code.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		250
	DateCode	<DtCd>	Or}	[1..1]		251

Used in element(s)

"DocumentationDeadline" on page 138







6.67.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Date expressed as a calendar date.

The **Dt** block contains the following elements (see datatype "[DateAndDateTime2Choice](#)" on page 240 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		241
	DateTime	<DtTm>	Or}	[1..1]		241







6.67.2 DateCode

XML Tag: DtCd

Presence: [1..1]

Definition: Specifies the type of date.







The **DtCd** block contains the following elements (see datatype "[DateCode20Choice__1](#)" on page 242 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		243
	Proprietary	<Prtry>	Or}	[1..1]		243

6.68 DateFormat57Choice__1

Definition: Provides the date.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		251
	DateCode	<DtCd>	Or}	[1..1]		252

Used in element(s)

["ValueDate"](#) on page 157

6.68.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Date expressed as a calendar date.

Datatype: ["ISODate" on page 597](#)







6.68.2 DateCode

XML Tag: DtCd

Presence: [1..1]

Definition: Date is expressed using a code.







The **DtCd** block contains the following elements (see datatype ["DateCode20Choice 1" on page 242](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		243
	Proprietary	<Prtry>	Or}	[1..1]		243

6.69 DateFormat59Choice

Definition: Choice between an ISODate or ISODateTime format or a date code.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		252
	DateCode	<DtCd>	Or}	[1..1]		253

Used in element(s)

["EndOfSecuritiesBlockingPeriod" on page 137](#)







6.69.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Date expressed as a calendar date.

The **Dt** block contains the following elements (see datatype ["DateAndDateTime2Choice" on page 240](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		241
	DateTime	<DtTm>	Or}	[1..1]		241







6.69.2 DateCode

XML Tag: DtCd

Presence: [1..1]

Definition: Specifies the type of date.







The **DtCd** block contains the following elements (see datatype "DateCode33Choice" on page 244 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		244
	Proprietary	<Prtry>	Or}	[1..1]		244

6.70 DateFormat73Choice

Definition: Specifies the value of a date.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		253
	NotSpecifiedDate	<NotSpcfdDt>	Or}	[1..1]		253

Used in element(s)

"EndDate" on page 388, "StartDate" on page 388

6.70.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Date expressed as an ISO Date.

Datatype: "ISODate" on page 597

6.70.2 NotSpecifiedDate

XML Tag: NotSpcfdDt

Presence: [1..1]

Definition: Date not specified, for example, the date is unknown.

Datatype: "DateType8Code" on page 579

6.71 DeemedRateType1Choice__1

Definition: Choice between a standard code or proprietary code to specify a deemed rate type.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		254
	Proprietary	<Prtry>	Or}	[1..1]		254

Used in element(s)

"RateType" on page 453, "RateType" on page 461

6.71.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of deemed rate.

Datatype: "DeemedRateType1Code" on page 581

6.71.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of deemed rate.







The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 326 for details)


🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]	⚠	327
	SchemeName	<SchmeNm>		[0..1]	⚠	337

6.72 DefaultProcessingOrStandingInstruction2Choice

Definition: Choice between default processing or standing instruction.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DefaultOptionIndicator	<DfltOptnInd>	{Or	[1..1]		255
	StandingInstructionIndicator	<StgInstrInd>	Or}	[1..1]		255

Used in element(s)

"DefaultProcessingOrStandingInstruction" on page 190

6.72.1 DefaultOptionIndicator

XML Tag: DfltOptnInd

Presence: [1..1]

Definition: Indicates whether the option, for example, currency option, will be selected by default if no instruction is provided by the account owner.

Datatype: "YesNoIndicator" on page 650

6.72.2 StandingInstructionIndicator

XML Tag: StgInstrInd

Presence: [1..1]

Definition: Indicates whether an account owner has placed a standing order to select this corporate action option.

The standing instruction may or may not be overridden, depending on account or event terms.

Datatype: "YesNoIndicator" on page 650







Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/DefaultProcessingOrStandingInstruction/StandingInstructionIndicator](#)

Single value: False

6.73 DistributionTypeFormat7Choice__1

Definition: Choice between a standard code or proprietary code to specify the type of distribution.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		256
	Proprietary	<Prtry>	Or}	[1..1]		256

Used in element(s)

"OccurrenceType" on page 92

6.73.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify whether the proceeds of the event will be distributed on a rolling basis rather than on a specific date.

Datatype: "DistributionType3Code" on page 582







6.73.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of distribution.







The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 326 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327
	SchemeName	<SchmeNm>		[0..1]		337

6.74 DividendTypeFormat9Choice__1

Definition: Choice between a standard code or proprietary code to specify the type of dividend.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		256
	Proprietary	<Prtry>	Or}	[1..1]		257

Used in element(s)

"DividendType" on page 91

6.74.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the frequency of the corporate action event.

Datatype: ["CorporateActionFrequencyType5Code" on page 568](#)







6.74.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the frequency of the corporate action event.







The **Prtry** block contains the following elements (see datatype ["GenericIdentification30_1" on page 326](#) for details)



 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327
	SchemeName	<SchmeNm>		[0..1]		337

6.75 DocumentIdentification31__1

Definition: Identification of a document and type of link.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		257
	LinkageType	<LkgTp>		[0..1]		258

Used in element(s)

["PreviousNotificationIdentification" on page 26](#)

6.75.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identifies the document.

Datatype: ["RestrictedFINXMax16Text" on page 643](#)

Usage Guideline details

- on [seev.031.001.16/PreviousNotificationIdentification/Identification](#)
 Type changed to: [RestrictedFINXMax16Text on page 643](#)







6.75.2 LinkageType

XML Tag: LkgTp

Presence: [0..1]

Definition: Specifies when this document is to be processed relative to another referred document.

The **LkgTp** block contains the following elements (see datatype "[ProcessingPosition7Choice 1](#)" on page 401 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or}	[1..1]		402
	Proprietary	<Prtry>	Or}	[1..1]		402



Usage Guideline details

- This element(seev.031.001.16/PreviousNotificationIdentification/LinkageType) is removed.

6.76 DocumentIdentification32__1

Definition: Identification of a document as well as the document number and type of link.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		258
	DocumentNumber	<DocNb>		[0..1]		259
	LinkageType	<LkgTp>		[0..1]		259

Used in element(s)

"[OtherDocumentIdentification](#)" on page 26







6.76.1 Identification




XML Tag: Id

Presence: [1..1]

Definition: Unique identifier of the document (message) assigned either by the account servicer or the account owner.

The **Id** block contains the following elements (see datatype "[DocumentIdentification3Choice 1](#)" on page 260 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountServicerDocumentIdentification	<AcctSvcrDocId>	{Or	[1..1]		260
	AccountOwnerDocumentIdentification	<AcctOwnrDocId>	Or}	[1..1]	 	260







6.76.2 DocumentNumber

XML Tag: DocNb

Presence: [0..1]

Definition: Identification of the type of document.

The **DocNb** block contains the following elements (see datatype "DocumentNumber5Choice_1" on page 261 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortNumber	<ShrtNb>	{Or	[1..1]		262
	LongNumber	<LngNb>	Or	[1..1]		262
	ProprietaryNumber	<PrtryNb>	Or}	[1..1]		262

Usage Guideline details

- This element(seev.031.001.16/OtherDocumentIdentification/DocumentNumber) is removed.







6.76.3 LinkageType

XML Tag: LkgTp

Presence: [0..1]

Definition: Specifies when this document is to be processed relative to another referred document.

The **LkgTp** block contains the following elements (see datatype "ProcessingPosition7Choice_1" on page 401 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		402
	Proprietary	<Prtry>	Or}	[1..1]		402




Usage Guideline details

- This element([seev.031.001.16/OtherDocumentIdentification/LinkageType](#)) is removed.

6.77 DocumentIdentification3Choice__1

Definition: Choice between a document identification provided either by the account owner or by the account servicer.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountServicerDocumentIdentification	<AcctSvcrDocId>	{Or	[1..1]		260
	AccountOwnerDocumentIdentification	<AcctOwnrDocId>	Or}	[1..1]	 	260

Used in element(s)

"Identification" on page 258

6.77.1 AccountServicerDocumentIdentification

XML Tag: AcctSvcrDocId

Presence: [1..1]

Definition: Identification of the document assigned by the account servicer.

Datatype: ["RestrictedFINXMax16Text" on page 643](#)

Usage Guideline details

- on [seev.031.001.16/OtherDocumentIdentification/Identification/AccountServicerDocumentIdentification](#)
 Type changed to: [RestrictedFINXMax16Text on page 643](#)
- on [seev.031.001.16/OtherDocumentIdentification/Identification/AccountServicerDocumentIdentification](#)
 Single value: CANO-E

6.77.2 AccountOwnerDocumentIdentification

XML Tag: AcctOwnrDocId

Presence: [1..1]

Definition: Identification of the document assigned by the account owner.







Datatype: ["RestrictedFINXMax16Text" on page 643](#)


Usage Guideline details

- This element(seev.031.001.16/OtherDocumentIdentification/Identification/AccountOwnerDocumentIdentification) is removed.
- on seev.031.001.16/OtherDocumentIdentification/Identification/AccountOwnerDocumentIdentification
Type changed to: [RestrictedFINXMax16Text on page 643](#)

6.78 DocumentIdentification9__1

Definition: Identifies a document by a unique identification.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		261

Used in element(s)

"InstructionIdentification" on page 26

6.78.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identifies the document.







Datatype: ["RestrictedFINXMax16Text" on page 643](#)

Usage Guideline details

- on seev.031.001.16/InstructionIdentification/Identification
Type changed to: [RestrictedFINXMax16Text on page 643](#)

6.79 DocumentNumber5Choice__1

Definition: Choice between a short document number, a long document number or a proprietary document number.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortNumber	<ShrtNb>	{Or	[1..1]		262
	LongNumber	<LngNb>	Or	[1..1]		262

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ProprietaryNumber	<PrtryNb>	Or}	[1..1]		262

Rules**R45 ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

Error handling: Undefined

Used in element(s)

"DocumentNumber" on page 259

6.79.1 ShortNumber

XML Tag: ShrtNb

Presence: [1..1]

Definition: Message type number of the document referenced.

Datatype: "Exact3NumericText" on page 589

6.79.2 LongNumber

XML Tag: LngNb

Presence: [1..1]

Definition: MX Message identifier of the referenced document.

Datatype: "ISO20022MessageIdentificationText" on page 596







6.79.3 ProprietaryNumber




XML Tag: PrtryNb

Presence: [1..1]

Definition: Proprietary document identification.

The **PrtryNb** block contains the following elements (see datatype "GenericIdentification36_1" on page 347 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		348
	Issuer	<Issr>		[1..1]		348
	SchemeName	<SchmeNm>		[0..1]		349

6.80 DutchAuctionTypeFormat1Choice__1

Definition: Choice between a standard code or proprietary code to specify the Dutch auction type format required.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		263
	Proprietary	<Prtry>	Or}	[1..1]		263

Used in element(s)

"DutchAuctionType" on page 98

6.80.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the Dutch auction type required.

Datatype: "DutchAuctionType1Code" on page 585

6.80.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the Dutch auction type.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 326 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]	⚠	327
	SchemeName	<SchmeNm>		[0..1]	⚠	337

6.81 ElectionTypeFormat3Choice__1

Definition: Choice between a standard code or proprietary code to specify the type of election movement.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		264
	Proprietary	<Prtry>	Or}	[1..1]		264

Used in element(s)

"ElectionType" on page 96

6.81.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the effect on the holdings of electing a corporate action option.

Datatype: "ElectionMovementType2Code" on page 587







6.81.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of election of a corporate action option.







The **Prtry** block contains the following elements (see datatype "GenericIdentification30_1" on page 326 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327
	SchemeName	<SchmeNm>		[0..1]		337

6.82 EventSequenceTypeFormat1Choice__1

Definition: Choice between a standard code and proprietary code to specify the type of event in a series of predefined or planned events.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		265
	Proprietary	<Prtry>	Or}	[1..1]		265

Used in element(s)

"EventSequenceType" on page 91

6.82.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Type of an event sequence, in a coded format.

Datatype: "EventSequenceType1Code" on page 589







6.82.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Type of an event sequence, in a proprietary format.







The **Prtry** block contains the following elements (see datatype "GenericIdentification30_1" on page 326 for details)









 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327
	SchemeName	<SchmeNm>		[0..1]		337

6.83 FinancialInstrumentAttributes128__1

Definition: Description of the financial instrument.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]		266
	PlaceOfListing	<PlcOfListg>		[0..1]		267
	DayCountBasis	<DayCntBsis>		[0..1]		268
	ClassificationType	<ClssfctnTp>		[0..1]		268
	OptionStyle	<OptnStyle>		[0..1]		269
	DenominationCurrency	<DnmtnCcy>		[0..1]		269
	NextCouponDate	<NxtCpnDt>		[0..1]		269
	ExpiryDate	<XpryDt>		[0..1]		270

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FloatingRateFixingDate	<FltgRateFxdDt>		[0..1]	⊘	270
	MaturityDate	<MtrtyDt>		[0..1]	⊘	270
	IssueDate	<IssDt>		[0..1]	⊘	271
	NextCallableDate	<NxtCllblDt>		[0..1]	⊘	271
	PutableDate	<PutblDt>		[0..1]	⊘	271
	DatedDate	<DtdDt>		[0..1]	⊘	271
	ConversionDate	<ConvsDt>		[0..1]	⊘	272
	InterestRate	<IntrstRate>		[0..1]	⊘	272
	NextInterestRate	<NxtIntrstRate>		[0..1]	⊘	273
	PercentageOfDebtClaim	<PctgOfDebtClm>		[0..1]	⊘	273
	PreviousFactor	<PrvsFctr>		[0..1]	⊘	273
	NextFactor	<NxtFctr>		[0..1]	⊘	274
	WarrantParity	<WarrtParity>		[0..1]	⊘	274
	MinimumNominalQuantity	<MinNmnlQty>		[0..1]	⊘	275
	ContractSize	<CtrctSz>		[0..1]	⊘	275

Rules

R48 PreviousNextFactorRule

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

Error handling: Undefined

R49 MaturityDateRule

Maturity date may only provide generic information about the final maturity of the underlying instrument.

Error handling: Undefined

Used in element(s)

"UnderlyingSecurity" on page 168

6.83.1 FinancialInstrumentIdentification

XML Tag: FinInstrmId

Presence: [1..1]

Definition: Identifies the financial instrument.

The **FinInstrmId** block contains the following elements (see datatype "SecurityIdentification19 1" on page 490 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]		491
	OtherIdentification	<OthrId>		[0..*]		491
	Description	<Desc>		[0..1]		491

Usage Guideline details

- on seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification**
 - **Applicability:**
true
 - **REDEML Trace:**
Redemption Contra-CUSIP
 - **Redemption Rule:**
Redemption Contra-CUSIP can also be mapped here if the event is announced by DTC 'on' the Contra CUSIP.
 - **REDEMG Trace:**
Redemption CUSIP Number
 - **REDEMA Trace:**
CUSIP
 - **REDEMX Trace:**
CUSIP
 - **REDEMC Trace:**
CUSIP
- on seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification**
Synonym (DTCC): Event Security ID and Type
- on seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification**
Comment:
 Security Identifier and type of the event issue.

6.83.2 PlaceOfListing


XML Tag: PlcOfListg

Presence: [0..1]

Definition: Place where the referenced financial instrument is listed.

The **PlcOfListg** block contains the following elements (see datatype "[MarketIdentification3Choice](#) 1" on page 366 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MarketIdentifierCode	<MktldrCd>	{Or	[1..1]		366
	Description	<Desc>	Or}	[1..1]		367

Usage Guideline details

- This element(seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/Place-OfListing) is removed.







6.83.3 DayCountBasis

XML Tag: DayCntBsis

Presence: [0..1]

Definition: Specifies the computation method of (accrued) interest of the financial instrument.

The **DayCntBsis** block contains the following elements (see datatype "InterestComputationMethodFormat4Choice 1" on page 361 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		361
	Proprietary	<Prtry>	Or}	[1..1]		362

Usage Guideline details

- This element(seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/Day-CountBasis) is removed.







6.83.4 ClassificationType

XML Tag: ClssfctnTp

Presence: [0..1]

Definition: Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI).

The **ClssfctnTp** block contains the following elements (see datatype "ClassificationType32Choice 1" on page 79 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ClassificationFinancialInstrument	<ClssfctnFinInstrm>	{Or	[1..1]		80
	AlternateClassification	<AltrnClssfctn>	Or}	[1..1]		80

Usage Guideline details

- This element(seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/ClassificationType) is removed.







6.83.5 OptionStyle

XML Tag: OptnStyle

Presence: [0..1]

Definition: Specifies how an option can be exercised.

The **OptnStyle** block contains the following elements (see datatype "OptionStyle8Choice __ 1" on page 376 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		376
	Proprietary	<Prtry>	Or}	[1..1]		376

Usage Guideline details

- This element(seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/OptionStyle) is removed.

6.83.6 DenominationCurrency

XML Tag: DnmtnCcy

Presence: [0..1]

Definition: Currency in which a financial instrument is currently denominated.

Datatype: "ActiveOrHistoricCurrencyCode" on page 507

Usage Guideline details

- This element(seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/DenominationCurrency) is removed.

6.83.7 NextCouponDate

XML Tag: NxtCpnDt

Presence: [0..1]

Definition: Next payment date of an interest bearing financial instrument.

Datatype: "ISODate" on page 597

Usage Guideline details

- This element(seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/NextCouponDate) is removed.

6.83.8 ExpiryDate

XML Tag: XpryDt

Presence: [0..1]

Definition: Date on which an order expires or at which a privilege or offer terminates.

Datatype: "ISODate" on page 597

Usage Guideline details

- This element(seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/ExpiryDate) is removed.

6.83.9 FloatingRateFixingDate

XML Tag: FltgRateFxdDt

Presence: [0..1]

Definition: Date on which the interest rate or redemption price will be/was calculated according to the terms of the issue.

Datatype: "ISODate" on page 597

Usage Guideline details

- This element(seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/FloatingRateFixingDate) is removed.

6.83.10 MaturityDate

XML Tag: MtrtyDt

Presence: [0..1]

Definition: Date on which a financial instrument becomes due and assets are to be repaid.

Datatype: "ISODate" on page 597

Usage Guideline details

- This element([see v.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/MaturityDate](#)) is removed.

6.83.11 IssueDate

XML Tag: IsseDt

Presence: [0..1]

Definition: Date on which the financial instrument is issued.

Datatype: ["ISODate" on page 597](#)

Usage Guideline details

- This element([see v.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/IssueDate](#)) is removed.

6.83.12 NextCallableDate

XML Tag: NxtCllbDt

Presence: [0..1]

Definition: Date on which a financial instrument is called away/redeemed before its scheduled maturity.

Datatype: ["ISODate" on page 597](#)

Usage Guideline details

- This element([see v.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/NextCallableDate](#)) is removed.

6.83.13 PutableDate

XML Tag: PutbDt

Presence: [0..1]

Definition: Date on which a holder of a financial instrument has the right to request redemption of the principal amount prior to its scheduled maturity date.

Datatype: ["ISODate" on page 597](#)

Usage Guideline details

- This element([see v.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/PutableDate](#)) is removed.

6.83.14 DatedDate

XML Tag: DtdDt

Presence: [0..1]

Definition: Date on which an interest bearing financial instrument begins to accrue interest.

Datatype: ["ISODate" on page 597](#)

Usage Guideline details

- This element([see v.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/Dated-Date](#)) is removed.

6.83.15 ConversionDate

XML Tag: ConvsDt

Presence: [0..1]

Definition: Deadline by which a convertible security must be converted, according to the terms of the issue.

Datatype: ["ISODate" on page 597](#)

Usage Guideline details

- This element([see v.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/ConversionDate](#)) is removed.

6.83.16 InterestRate

XML Tag: IntrstRate

Presence: [0..1]

Definition: Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.

The **IntrstRate** block contains the following elements (see datatype ["RateFormat24Choice" on page 439](#) for details)

❌ Removed -
 ❌ Partial Removed -
 ❖ Ignored -
 ❖ Partial Ignored -
 ⚠ Details below -
 M Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		439
	NotSpecifiedRate	<NotSpecfdRate>	Or}	[1..1]		439

Usage Guideline details

- This element([see v.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/InterestRate](#)) is removed.







6.83.17 NextInterestRate

XML Tag: NxtIntrstRate

Presence: [0..1]

Definition: Interest rate applicable to the next interest payment period in relation to variable rate instruments.

The **NxtIntrstRate** block contains the following elements (see datatype "[RateFormat24Choice](#)" on page 439 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		439
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		439

Usage Guideline details

- This element(seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/NextInterestRate) is removed.







6.83.18 PercentageOfDebtClaim

XML Tag: PctgOfDebtClm

Presence: [0..1]

Definition: Percentage of the underlying assets of a fund that represents a debt, for example, in the context of the EU Savings directive.

The **PctgOfDebtClm** block contains the following elements (see datatype "[RateFormat24Choice](#)" on page 439 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		439
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		439

Usage Guideline details

- This element(seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/PercentageOfDebtClaim) is removed.

6.83.19 PreviousFactor

XML Tag: PrvsFctr

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.

The **PrvsFctr** block contains the following elements (see datatype "[RateFormat12Choice](#)" on page 438 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		439
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		439

Usage Guideline details

- This element(seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/PreviousFactor) is removed.

6.83.20 NextFactor

XML Tag: NxtFctr

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will applicable after the redemption (factor) date.

The **NxtFctr** block contains the following elements (see datatype "[RateFormat12Choice](#)" on page 438 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		439
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		439

Usage Guideline details

- This element(seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/NextFactor) is removed.

6.83.21 WarrantParity

XML Tag: WarrtParity

Presence: [0..1]

Definition: Provides the ratio between the quantity of warrants and the quantity of underlying securities.

The **WarrtParity** block contains the following elements (see datatype "[QuantityToQuantityRatio1](#)" on page 415 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity1	<Qty1>		[1..1]	⚠	416
	Quantity2	<Qty2>		[1..1]	⚠	416

Usage Guideline details

- This element(seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/WarrantParity) is removed.

6.83.22 MinimumNominalQuantity

XML Tag: MinNmnlQty

Presence: [0..1]

Definition: Minimum nominal quantity of financial instrument.

The **MinNmnlQty** block contains the following elements (see datatype "[FinancialInstrumentQuantity33Choice](#)" on page 291 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]	⚠	291
	FaceAmount	<FaceAmt>	Or	[1..1]	⚠	299
	AmortisedValue	<AmtsdVal>	Or	[1..1]	⚠	306
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		313

Usage Guideline details

- This element(seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/MinimumNominalQuantity) is removed.

6.83.23 ContractSize

XML Tag: CtrctSz

Presence: [0..1]

Definition: Ratio or multiplying factor used to convert one contract into a financial instrument quantity.

The **CtrctSz** block contains the following elements (see datatype "[FinancialInstrumentQuantity33Choice](#)" on page 291 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		291
	FaceAmount	<FaceAmt>	Or	[1..1]		299
	AmortisedValue	<AmtsdVal>	Or	[1..1]		306
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		313

Usage Guideline details

- This element(seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/ContractSize) is removed.

6.84 FinancialInstrumentAttributes129__1

Definition: Description of the financial instrument.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]		277
	PlaceOfListing	<PlcOfListg>		[0..1]		277
	DayCountBasis	<DayCntBsis>		[0..1]		278
	ClassificationType	<ClssfctnTp>		[0..1]		278
	OptionStyle	<OptnStyle>		[0..1]		279
	DenominationCurrency	<DnmtnCcy>		[0..1]		279
	NextCouponDate	<NxtCpnDt>		[0..1]		280
	FloatingRateFixingDate	<FltgRateFxdDt>		[0..1]		280
	MaturityDate	<MtrtyDt>		[0..1]		280
	IssueDate	<IsseDt>		[0..1]		280
	NextCallableDate	<NxtClblDt>		[0..1]		281
	PutableDate	<PutblDt>		[0..1]		281
	DatedDate	<DtdDt>		[0..1]		281
	ConversionDate	<ConvsDt>		[0..1]		282
	PreviousFactor	<PrvsFctr>		[0..1]		282
	NextFactor	<NxtFctr>		[0..1]		282

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	InterestRate	<IntrstRate>		[0..1]	🚫	283
	NextInterestRate	<NxtIntrstRate>		[0..1]	🚫	283
	MinimumNominalQuantity	<MinNmnlQty>		[0..1]	🚫	284
	MinimumQuantityToInstruct	<MinQtyToInst>		[0..1]	🚫	284
	MinimumMultipleQuantityToInstruct	<MinMltplQtyToInst>		[0..1]	🚫	285
	ContractSize	<CtrctSz>		[0..1]	🚫	285
	IssuePrice	<IssePric>		[0..1]	🚫	286

Rules

R92 PreviousNextFactorRule

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

Error handling: Undefined

R93 MaturityDateRule

Maturity date may only provide generic information about the final maturity of the underlying instrument.

Error handling: Undefined

Used in element(s)

"SecurityDetails" on page 474

6.84.1 FinancialInstrumentIdentification

XML Tag: FinInstrmId

Presence: [1..1]

Definition: Identification of the financial instrument.

The **FinInstrmId** block contains the following elements (see datatype "SecurityIdentification19_1" on page 490 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]		491
	OtherIdentification	<OthrId>		[0..*]		491
	Description	<Desc>		[0..1]	⚠	491

6.84.2 PlaceOfListing

XML Tag: PlcOfListg

Presence: [0..1]

Definition: Place where the referenced financial instrument is listed.

The **PlcOfListg** block contains the following elements (see datatype "[MarketIdentification3Choice_1](#)" on page 366 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MarketIdentifierCode	<MktldrCd>	{Or	[1..1]		366
	Description	<Desc>	Or}	[1..1]	⚠	367

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/PlaceOfListing) is removed.

6.84.3 DayCountBasis

XML Tag: DayCntBsis

Presence: [0..1]

Definition: Specifies the computation method of (accrued) interest of the financial instrument.

The **DayCntBsis** block contains the following elements (see datatype "[InterestComputationMethodFormat4Choice_1](#)" on page 361 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		361
	Proprietary	<Prtry>	Or}	[1..1]		362

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/DayCountBasis) is removed.

6.84.4 ClassificationType

XML Tag: ClssfctnTp

Presence: [0..1]

Definition: Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI).

The **ClssfctnTp** block contains the following elements (see datatype "[ClassificationType32Choice](#)" on page 79 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ClassificationFinancialInstrument	<ClssfctnFinInstrm>	{Or	[1..1]		80
	AlternateClassification	<AltrnClssfctn>	Or}	[1..1]		80

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/ClassificationType) is removed.

6.84.5 OptionStyle

XML Tag: OptnStyle

Presence: [0..1]

Definition: Specifies how an option can be exercised.

The **OptnStyle** block contains the following elements (see datatype "[OptionStyle8Choice](#)" on page 376 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		376
	Proprietary	<Prtry>	Or}	[1..1]		376

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/OptionStyle) is removed.

6.84.6 DenominationCurrency

XML Tag: DnmtnCcy

Presence: [0..1]

Definition: Currency in which a financial instrument is currently denominated.

Datatype: "[ActiveOrHistoricCurrencyCode](#)" on page 507

Usage Guideline details

- This element([seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/DenominationCurrency](#)) is removed.

6.84.7 NextCouponDate

XML Tag: NxtCpnDt

Presence: [0..1]

Definition: Next payment date of an interest bearing financial instrument.

Datatype: ["ISODate" on page 597](#)

Usage Guideline details

- This element([seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/NextCouponDate](#)) is removed.

6.84.8 FloatingRateFixingDate

XML Tag: FltgRateFxdDt

Presence: [0..1]

Definition: Date on which the interest rate or redemption price will be/was calculated according to the terms of the issue.

Datatype: ["ISODate" on page 597](#)

Usage Guideline details

- This element([seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/FloatingRateFixingDate](#)) is removed.

6.84.9 MaturityDate

XML Tag: MtrtyDt

Presence: [0..1]

Definition: Date on which a financial instrument becomes due and assets are to be repaid.

Datatype: ["ISODate" on page 597](#)

Usage Guideline details

- This element([seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/MaturityDate](#)) is removed.

6.84.10 IssueDate

XML Tag: IsseDt

Presence: [0..1]

Definition: Date at which the security was made available.

Datatype: ["ISODate" on page 597](#)

Usage Guideline details

- This element([see v.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/IssueDate](#)) is removed.

6.84.11 NextCallableDate

XML Tag: NxtClblDt

Presence: [0..1]

Definition: Date on which a financial instrument is called away/redeemed before its scheduled maturity.

Datatype: ["ISODate" on page 597](#)

Usage Guideline details

- This element([see v.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/NextCallableDate](#)) is removed.

6.84.12 PutableDate

XML Tag: PutblDt

Presence: [0..1]

Definition: Date on which a holder of a financial instrument has the right to request redemption of the principal amount prior to its scheduled maturity date.

Datatype: ["ISODate" on page 597](#)

Usage Guideline details

- This element([see v.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/PutableDate](#)) is removed.

6.84.13 DatedDate

XML Tag: DtdDt

Presence: [0..1]

Definition: Date on which an interest bearing financial instrument begins to accrue interest.

Datatype: ["ISODate" on page 597](#)

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/DatedDate) is removed.

6.84.14 ConversionDate

XML Tag: ConvsDt

Presence: [0..1]

Definition: Deadline by which a convertible security must be converted, according to the terms of the issue.

Datatype: "ISODate" on page 597

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/ConversionDate) is removed.







6.84.15 PreviousFactor

XML Tag: PrvsFctr

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.

The **PrvsFctr** block contains the following elements (see datatype "RateFormat12Choice" on page 438 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		439
	NotSpecifiedRate	<NotSpctdRate>	Or}	[1..1]		439

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/PreviousFactor) is removed.

6.84.16 NextFactor

XML Tag: NxtFctr

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will applicable after the redemption (factor) date.

The **NxtFctr** block contains the following elements (see datatype "[RateFormat12Choice](#)" on page 438 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		439
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		439

Usage Guideline details

- This element(see v.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/NextFactor) is removed.

6.84.17 InterestRate

XML Tag: IntrstRate

Presence: [0..1]

Definition: Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.

The **IntrstRate** block contains the following elements (see datatype "[RateFormat24Choice](#)" on page 439 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		439
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		439

Usage Guideline details

- This element(see v.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/InterestRate) is removed.







6.84.18 NextInterestRate

XML Tag: NxtIntrstRate

Presence: [0..1]

Definition: Interest rate applicable to the next interest payment period in relation to variable rate instruments.

The **NxtIntrstRate** block contains the following elements (see datatype "[RateFormat24Choice](#)" on page 439 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		439
	NotSpecifiedRate	<NotSpctdRate>	Or}	[1..1]		439

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/NextInterestRate) is removed.







6.84.19 MinimumNominalQuantity




XML Tag: MinNmnlQty

Presence: [0..1]

Definition: Minimum nominal quantity of financial instrument.

The **MinNmnlQty** block contains the following elements (see datatype "[FinancialInstrumentQuantity33Choice 1](#)" on page 291 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		291
	FaceAmount	<FaceAmt>	Or	[1..1]		299
	AmortisedValue	<AmtsdVal>	Or	[1..1]		306
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		313

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/MinimumNominalQuantity) is removed.







6.84.20 MinimumQuantityToInstruct




XML Tag: MinQtyToInst

Presence: [0..1]

Definition: Minimum quantity of financial instrument that may be instructed.

The **MinQtyToInst** block contains the following elements (see datatype "[FinancialInstrumentQuantity33Choice 1](#)" on page 291 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		291
	FaceAmount	<FaceAmt>	Or	[1..1]		299
	AmortisedValue	<AmtsdVal>	Or	[1..1]		306
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		313

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/MinimumQuantityToInstruct) is removed.







6.84.21 MinimumMultipleQuantityToInstruct




XML Tag: MinMltplQtyToInst

Presence: [0..1]

Definition: Minimum multiple quantity of financial instrument that may be instructed.

The **MinMltplQtyToInst** block contains the following elements (see datatype "[FinancialInstrumentQuantity33Choice 1](#)" on page 291 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		291
	FaceAmount	<FaceAmt>	Or	[1..1]		299
	AmortisedValue	<AmtsdVal>	Or	[1..1]		306
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		313

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/MinimumMultipleQuantityToInstruct) is removed.

6.84.22 ContractSize

XML Tag: CtrctSz

Presence: [0..1]

Definition: Ratio or multiplying factor used to convert one contract into a financial instrument quantity.

The **CtrctSz** block contains the following elements (see datatype "[FinancialInstrumentQuantity33Choice 1](#)" on page 291 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		291
	FaceAmount	<FaceAmt>	Or	[1..1]		299
	AmortisedValue	<AmtsdVal>	Or	[1..1]		306
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		313

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/ContractSize) is removed.

6.84.23 IssuePrice

XML Tag: IssePric

Presence: [0..1]

Definition: Initial issue/valuation price of a resulting security under a corporate action.

The **IssePric** block contains the following elements (see datatype "PriceFormat74Choice 1" on page 400 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		400
	AmountPrice	<AmtPric>	Or	[1..1]		401
	NotSpecifiedPrice	<NotSpctdPric>	Or}	[1..1]		401


Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/IssuePrice) is removed.

6.85 FinancialInstrumentAttributes139__1

Definition: Description of the financial instrument.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SecurityIdentification	<Sctyld>		[1..1]		287
	Quantity	<Qty>		[0..1]		287
	RenounceableEntitlementStatusType	<RnnclEntitlmntStsTp>		[0..1]		288
	FractionDisposition	<FrctnDspstn>		[0..1]		288
	IntermediateSecuritiesToUnderlyingRatio	<IntrmdtScitiesToUndrlygRatio>		[0..1]		288
	MarketPrice	<MktPric>		[0..1]		289
	ExpiryDate	<XpryDt>		[1..1]		289
	PostingDate	<PstngDt>		[1..1]		289
	TradingPeriod	<TradgPrd>		[0..1]		290
	UninstructedBalance	<UinstdBal>		[0..1]		290
	InstructedBalance	<InstdBal>		[0..1]		290

Used in element(s)

"IntermediateSecurity" on page 28







6.85.1 SecurityIdentification


XML Tag: Sctyld

Presence: [1..1]

Definition: Identifies the financial instrument.

The **Sctyld** block contains the following elements (see datatype "SecurityIdentification19_1" on page 490 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]		491
	OtherIdentification	<Othrld>		[0..*]		491
	Description	<Desc>		[0..1]		491

6.85.2 Quantity

XML Tag: Qty

Presence: [0..1]

Definition: Quantity of entitled intermediate securities based on the balance of underlying securities.

Datatype: "RestrictedFINDecimalNumber" on page 642

Usage Guideline details

- on [seev.031.001.16/IntermediateSecurity/Quantity](#)
Type changed to: [RestrictedFINDecimalNumber](#) on page 642







6.85.3 RenounceableEntitlementStatusType

XML Tag: `RnnbEntitlmntStsTp`

Presence: [0..1]

Definition: Specifies whether terms of the event allow resale of the rights.

The **RnnbEntitlmntStsTp** block contains the following elements (see datatype "[RenounceableEntitlementStatusTypeFormat3Choice](#) 1" on page 465 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		465
	Proprietary	<Prtry>	Or}	[1..1]		465







6.85.4 FractionDisposition

XML Tag: `FrctnDspstn`

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

The **FrctnDspstn** block contains the following elements (see datatype "[FractionDispositionType25Choice](#) 1" on page 323 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		324
	Proprietary	<Prtry>	Or}	[1..1]		324

6.85.5 IntermediateSecuritiesToUnderlyingRatio



XML Tag: `IntrmdtSciesToUndrlygRatio`

Presence: [0..1]

Definition: Quantity of intermediate securities awarded for a given quantity of underlying security.

The **IntrmdtSciesToUndrlygRatio** block contains the following elements (see datatype "[QuantityToQuantityRatio1](#) 1" on page 415 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity1	<Qty1>		[1..1]		416
	Quantity2	<Qty2>		[1..1]		416







6.85.6 MarketPrice


XML Tag: MktPric

Presence: [0..1]

Definition: Last reported/known price of a financial instrument in a market.

The **MktPric** block contains the following elements (see datatype "AmountPrice2_1" on page 49 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		49
	PriceValue	<PricVal>		[1..1]		49







6.85.7 ExpiryDate

XML Tag: XpryDt

Presence: [1..1]

Definition: Date on which an order expires or at which a privilege or offer terminates.

The **XpryDt** block contains the following elements (see datatype "DateFormat30Choice_1" on page 246 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		246
	DateCode	<DtCd>	Or}	[1..1]		246







6.85.8 PostingDate

XML Tag: PstngDt

Presence: [1..1]

Definition: Date of the posting (credit or debit) to the account.

The **PstngDt** block contains the following elements (see datatype "DateFormat30Choice_1" on page 246 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		246
	DateCode	<DtCd>	Or}	[1..1]		246







6.85.9 TradingPeriod

XML Tag: TradgPrd

Presence: [0..1]

Definition: Period during which intermediate or outturn securities are tradable in a secondary market.

The **TradgPrd** block contains the following elements (see datatype "[Period18](#)" on page 387 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	StartDate	<StartDt>		[1..1]		388
	EndDate	<EndDt>		[1..1]		388







6.85.10 UninstructedBalance

XML Tag: UinstdBal

Presence: [0..1]

Definition: Balance of uninstructed position.

The **UinstdBal** block contains the following elements (see datatype "[BalanceFormat11Choice_1](#)" on page 57 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		57
	EligibleBalance	<ElgblBal>	Or	[1..1]		58
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		58

6.85.11 InstructedBalance

XML Tag: InstdBal

Presence: [0..1]

Definition: Balance of instructed position.

The **InstdBal** block contains the following elements (see datatype "[BalanceFormat11Choice__1](#)" on page 57 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		57
	EligibleBalance	<ElgblBal>	Or	[1..1]		58
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		58

6.86 FinancialInstrumentQuantity33Choice__1

Definition: Choice between formats for the quantity of security.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]	⚠	291
	FaceAmount	<FaceAmt>	Or	[1..1]	⚠	299
	AmortisedValue	<AmtsdVal>	Or	[1..1]	🚫 ⚠	306
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		313

Rules

R56 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

Error handling: Undefined

Used in element(s)

"ContractSize" on page 275, "ContractSize" on page 285, "FinancialInstrumentQuantity" on page 55, "MinimumMultipleQuantityToInstruct" on page 285, "MinimumNominalQuantity" on page 275, "MinimumNominalQuantity" on page 284, "MinimumQuantityToInstruct" on page 284, "Quantity" on page 493, "Quantity" on page 411, "Quantity" on page 415

6.86.1 Unit

XML Tag: Unit

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "[RestrictedFINDecimalNumber](#)" on page 642

Usage Guideline details

- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceipt-Balance/FullPeriodUnits/Quantity/Unit**
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/NotEligibleBalance/Quantity/Unit**
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on **seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/EntitledQuantity/Quantity/Unit**
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOut-Balance/EligibleBalance/Quantity/Unit**
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on **seev.031.001.16/IntermediateSecurity/UninstructedBalance/NotEligibleBalance/Quantity/Unit**
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance/Balance/QuantityChoice/Quantity/Unit**
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/InTransshipmentBalance/EligibleBalance/Quantity/Unit**
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/NotEligibleBalance/Quantity/Unit**
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/BlockedBalance/EligibleBalance/Quantity/Unit**
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on **seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/ContractSize/Unit**
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/StreetPosition-Balance/NotEligibleBalance/Quantity/Unit**
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on **seev.031.001.16/IntermediateSecurity/UninstructedBalance/Balance/QuantityChoice/Quantity/Unit**
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance/Balance/QuantityChoice/Quantity/Unit**

Type changed to: [RestrictedFINDecimalNumber on page 642](#)

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance/NotEligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 642](#)

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/TradeDatePositionBalance/NotEligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 642](#)

- on [seev.031.001.16/IntermediateSecurity/UninstructedBalance/EligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 642](#)

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/Balance/QuantityChoice/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 642](#)

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/NotEligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 642](#)

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/OutForRegistrationBalance/EligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 642](#)

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/TradeDatePositionBalance/Balance/QuantityChoice/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 642](#)

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOutBalance/Balance/QuantityChoice/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 642](#)

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance/Balance/QuantityChoice/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 642](#)

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/NotEligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 642](#)

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/EligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 642](#)

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance/NotEligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 642](#)

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/EligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 642](#)

- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/Balance/QuantityChoice/Quantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 642
- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/RegisteredBalance/Balance/QuantityChoice/Quantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 642
- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/Balance/QuantityChoice/Quantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 642
- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/Balance/QuantityChoice/SignedQuantity/Quantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 642
- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/NotEligibleBalance/Quantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 642
- on **seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 642
- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance/NotEligibleBalance/Quantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 642
- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance/EligibleBalance/Quantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 642
- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance/EligibleBalance/Quantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 642
- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/RegisteredBalance/NotEligibleBalance/Quantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 642
- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/Balance/QuantityChoice/Quantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 642
- on **seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/ContractSize/Unit**
Type changed to: RestrictedFINDecimalNumber on page 642
- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceipt-Balance/Balance/QuantityChoice/Quantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 642

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/RegisteredBalance/EligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceiptBalance/PartWayPeriodUnits/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/EligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/PartWayPeriodUnits/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOutBalance/NotEligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/FullPeriodUnits/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/OutForRegistrationBalance/NotEligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/MinimumNominalQuantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/MinimumQuantityToInstruct/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceiptBalance/EligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on seev.031.001.16/IntermediateSecurity/InstructedBalance/NotEligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/BlockedBalance/Balance/QuantityChoice/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/BlockedBalance/NotEligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
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Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/FullPeriodUnits/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/EligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceiptBalance/NotEligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/InTransshipmentBalance/NotEligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/OutForRegistrationBalance/Balance/QuantityChoice/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/TradeDatePositionBalance/EligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance/Balance/QuantityChoice/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance/NotEligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on seev.031.001.16/IntermediateSecurity/InstructedBalance/Balance/QuantityChoice/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/EligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance/EligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/InTransshipmentBalance/Balance/QuantityChoice/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/MinimumNominalQuantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/StreetPosition-Balance/Balance/QuantityChoice/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/PartWayPeriodUnits/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/NotEligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/FullPeriodUnits/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/StreetPosition-Balance/EligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance/EligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/MinimumMultipleQuantityToInstruct/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on seev.031.001.16/IntermediateSecurity/InstructedBalance/EligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/PartWayPeriodUnits/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/Balance/QuantityChoice/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 642](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOut-Balance/Balance/QuantityChoice/Quantity/Unit
 - **Market Practice Implementation Rule:**

Used for non debt securities.
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/Balance/QuantityChoice/Quantity/Unit
 - **Market Practice Implementation Rule:**

Used for non debt securities.
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/Balance/QuantityChoice/Quantity/Unit
 - **Market Practice Implementation Rule:**

Used for non debt securities.
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/Balance/QuantityChoice/SignedQuantity/Quantity/Unit
 - **DTCC Unit Rule:**

Map when the Asset Class is NOT Debt.
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/Quantity/Unit
 - **Market Practice Implementation Rule:**

Used for non debt securities.
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/Balance/QuantityChoice/Quantity/Unit
 - **Market Practice Implementation Rule:**

Used for non debt securities.
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/NotEligibleBalance/Quantity/Unit

Comment:
Used for Equities
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOut-Balance/EligibleBalance/Quantity/Unit

Comment:
Used for Equities
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance/NotEligibleBalance/Quantity/Unit

Comment:
Used for Equities
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOut-Balance/NotEligibleBalance/Quantity/Unit

Comment:

Used for Equities

- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/OutForRegistrationBalance/NotEligibleBalance/Quantity/Unit**

Comment:

Used for Equities

- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance/EligibleBalance/Quantity/Unit**

Comment:

Used for Equities

6.86.2 FaceAmount

XML Tag: FaceAmt

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: ["RestrictedFINImpliedCurrencyAndAmount" on page 642](#)

Usage Guideline details

- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceipt-Balance/Balance/QuantityChoice/Quantity/FaceAmount**

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)

- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/NotEligibleBalance/Quantity/FaceAmount**

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)

- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/PartWayPeriodUnits/Quantity/FaceAmount**

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)

- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/Balance/QuantityChoice/Quantity/FaceAmount**

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)

- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/RegisteredBalance/Balance/QuantityChoice/Quantity/FaceAmount**

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)

- on **seev.031.001.16/IntermediateSecurity/InstructedBalance/Balance/QuantityChoice/Quantity/FaceAmount**

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)

- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/NotEligibleBalance/Quantity/FaceAmount**

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)

- on **seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/ContractSize/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance/EligibleBalance/Quantity/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/PartWayPeriodUnits/Quantity/FaceAmount**
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- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/TradeDatePositionBalance/EligibleBalance/Quantity/FaceAmount**
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- on **seev.031.001.16/IntermediateSecurity/UninstructedBalance/Balance/QuantityChoice/Quantity/FaceAmount**
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- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/Balance/QuantityChoice/Quantity/FaceAmount
 - Market Practice Implementation Rule:

Used for debt securities.
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/Quantity/FaceAmount
 - Market Practice Implementation Rule:

Used for debt securities.
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/Balance/QuantityChoice/SignedQuantity/Quantity/FaceAmount
 - DTCC Face Amount Rule:

Map when the Asset Class is Debt.
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/Balance/QuantityChoice/Quantity/FaceAmount
 - Market Practice Implementation Rule:

Used for debt securities.
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/Balance/QuantityChoice/Quantity/FaceAmount
 - Market Practice Implementation Rule:

Used for debt securities.

- on `seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOut-Balance/Balance/QuantityChoice/Quantity/FaceAmount`

– Market Practice Implementation Rule:

Used for debt securities.

- on `seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/OutForRegistrationBalance/NotEligibleBalance/Quantity/FaceAmount`

Comment:

Used for Debt securities

- on `seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/NotEligibleBalance/Quantity/FaceAmount`

Comment:

Used for debt

- on `seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance/EligibleBalance/Quantity/FaceAmount`

Comment:

Used for Debt Securities

- on `seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOut-Balance/EligibleBalance/Quantity/FaceAmount`

Comment:

Used for Debt.

- on `seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOut-Balance/NotEligibleBalance/Quantity/FaceAmount`

Comment:

Used for Debt

- on `seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance/NotEligibleBalance/Quantity/FaceAmount`

Comment:

Used for Debt Instruments

6.86.3 AmortisedValue

XML Tag: AmtsdVal

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: ["RestrictedFINImpliedCurrencyAndAmount"](#) on page 642

Usage Guideline details

- This element(`seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance/NotEligibleBalance/Quantity/AmortisedValue`) is removed.

- This element([seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/Balance/QuantityChoice/Quantity/AmortisedValue](#)) is removed.
- This element([seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/Balance/QuantityChoice/Quantity/AmortisedValue](#)) is removed.
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Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)

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Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)

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Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)

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Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)

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Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)

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- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/StreetPositionBalance/EligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)

- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/Balance/QuantityChoice/SignedQuantity/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/NotEligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on **seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/MinimumQuantityToInstruct/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/TradeDatePositionBalance/NotEligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on **seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/ContractSize/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/StreetPositionBalance/Balance/QuantityChoice/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on **seev.031.001.16/IntermediateSecurity/UninstructedBalance/EligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOutBalance/NotEligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance/Balance/QuantityChoice/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/Balance/QuantityChoice/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/NotEligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on **seev.031.001.16/IntermediateSecurity/InstructedBalance/EligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance/EligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/InTransshipmentBalance/Balance/QuantityChoice/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/BlockedBalance/EligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance/EligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/StreetPositionBalance/NotEligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/EligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/BlockedBalance/NotEligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/MinimumNominalQuantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/RegisteredBalance/Balance/QuantityChoice/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/InTransshipmentBalance/NotEligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceiptBalance/PartWayPeriodUnits/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/Balance/QuantityChoice/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/EligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance/EligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/NotEligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/MinimumMultipleQuantityToInstruct/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/EligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceiptBalance/Balance/QuantityChoice/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance/NotEligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/RegisteredBalance/NotEligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance/NotEligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/FullPeriodUnits/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance/NotEligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance/Balance/QuantityChoice/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/NotEligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)

- on `seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/InTransshipmentBalance/EligibleBalance/Quantity/AmortisedValue`
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on `seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/EntitledQuantity/Quantity/AmortisedValue`
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on `seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/Balance/QuantityChoice/Quantity/AmortisedValue`
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on `seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/OutForRegistrationBalance/NotEligibleBalance/Quantity/AmortisedValue`
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on `seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/ContractSize/AmortisedValue`
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on `seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/TradeDatePositionBalance/Balance/QuantityChoice/Quantity/AmortisedValue`
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on `seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/MinimumNominalQuantity/AmortisedValue`
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on `seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/FullPeriodUnits/Quantity/AmortisedValue`
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on `seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceiptBalance/NotEligibleBalance/Quantity/AmortisedValue`
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)

6.86.4 DigitalTokenUnit

XML Tag: `DgtlTknUnit`







Presence: [1..1]




Definition: Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

Datatype: ["Max30DecimalNumber" on page 615](#)

6.87 FinancialInstrumentQuantity34Choice__1

Definition: Choice between formats for the quantity of security.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		314
	FaceAmount	<FaceAmt>	Or	[1..1]		314
	AmortisedValue	<AmtsdVal>	Or	[1..1]		315
	Code	<Cd>	Or	[1..1]		316
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		316

Rules

R71 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

Error handling: Undefined

Used in element(s)

"MaximumQuantity" on page 219, "MaximumQuantityToInstruct" on page 483, "MinimumQuantitySought" on page 220, "MinimumQuantityToInstruct" on page 484

6.87.1 Unit

XML Tag: Unit

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: ["RestrictedFINDecimalNumber" on page 642](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesQuantity/MinimumQuantityToInstruct/Unit](#)
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on [seev.031.001.16/CorporateActionDetails/SecuritiesQuantity/MaximumQuantity/Unit](#)
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on [seev.031.001.16/CorporateActionDetails/SecuritiesQuantity/MinimumQuantitySought/Unit](#)
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesQuantity/MaximumQuantityToInstruct/Unit](#)
Type changed to: [RestrictedFINDecimalNumber on page 642](#)

6.87.2 FaceAmount

XML Tag: FaceAmt

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: ["RestrictedFINImpliedCurrencyAndAmount" on page 642](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionDetails/SecuritiesQuantity/MinimumQuantitySought/FaceAmount](#)
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on [seev.031.001.16/CorporateActionDetails/SecuritiesQuantity/MaximumQuantity/FaceAmount](#)
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesQuantity/MaximumQuantityToInstruct/FaceAmount](#)
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesQuantity/MinimumQuantityToInstruct/FaceAmount](#)
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)

6.87.3 AmortisedValue

XML Tag: AmtsdVal

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: ["RestrictedFINImpliedCurrencyAndAmount" on page 642](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionDetails/SecuritiesQuantity/MaximumQuantity/AmortisedValue](#)
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on [seev.031.001.16/CorporateActionDetails/SecuritiesQuantity/MinimumQuantitySought/AmortisedValue](#)
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesQuantity/MaximumQuantityToInstruct/AmortisedValue](#)
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesQuantity/MinimumQuantityToInstruct/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)

6.87.4 Code

XML Tag: Cd

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: ["Quantity4Code" on page 634](#)

6.87.5 DigitalTokenUnit

XML Tag: DgtlTknUnit

Presence: [1..1]

Definition: Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

Datatype: ["Max30DecimalNumber" on page 615](#)

6.88 FinancialInstrumentQuantity35Choice

Definition: Choice between formats for the quantity of security.

❌ Removed - ❌ Partial Removed - ◆ Ignored - ◆ Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		316
	FaceAmount	<FaceAmt>	Or	[1..1]		317
	AmortisedValue	<AmtsdVal>	Or	[1..1]		317
	Code	<Cd>	Or	[1..1]		317
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		317

Rules

R38 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

Error handling: Undefined

Used in element(s)

["NewOutstandingQuantity" on page 222](#), ["SecurityCalledQuantity" on page 222](#), ["TotalOutstandingQuantity" on page 223](#)

6.88.1 Unit

XML Tag: Unit

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "DecimalNumber" on page 581

6.88.2 FaceAmount

XML Tag: FaceAmt

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "ImpliedCurrencyAndAmount" on page 598

6.88.3 AmortisedValue

XML Tag: AmtsdVal

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "ImpliedCurrencyAndAmount" on page 598

6.88.4 Code

XML Tag: Cd

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: "Quantity5Code" on page 634

6.88.5 DigitalTokenUnit

XML Tag: DgtlTknUnit







Presence: [1..1]


Definition: Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.



Datatype: "Max30DecimalNumber" on page 615

6.89 FinancialInstrumentQuantity35Choice__1

Definition: Choice between formats for the quantity of security.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		318

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FaceAmount	<FaceAmt>	Or	[1..1]		319
	AmortisedValue	<AmtdsdVal>	Or	[1..1]		320
	Code	<Cd>	Or	[1..1]		321
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		321

Rules

R72 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

Error handling: Undefined

Used in element(s)

"BackEndOddLotQuantity" on page 486, "BaseDenomination" on page 221, "FrontEndOddLotQuantity" on page 486, "IncrementalDenomination" on page 222, "MinimumMultipleQuantityToInstruct" on page 484, "NewBoardLotQuantity" on page 220, "NewBoardLotQuantity" on page 485, "NewDenominationQuantity" on page 221, "NewDenominationQuantity" on page 485

6.89.1 Unit

XML Tag: Unit

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "RestrictedFINDecimalNumber" on page 642

Usage Guideline details

- on **seev.031.001.16/CorporateActionDetails/SecuritiesQuantity/IncrementalDenomination/Unit**
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on **seev.031.001.16/CorporateActionDetails/SecuritiesQuantity/NewBoardLotQuantity/Unit**
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on **seev.031.001.16/CorporateActionOptionDetails/SecuritiesQuantity/FrontEndOddLotQuantity/Unit**
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on **seev.031.001.16/CorporateActionDetails/SecuritiesQuantity/BaseDenomination/Unit**
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on **seev.031.001.16/CorporateActionDetails/SecuritiesQuantity/NewDenominationQuantity/Unit**
Type changed to: [RestrictedFINDecimalNumber on page 642](#)

- on **seev.031.001.16/CorporateActionOptionDetails/SecuritiesQuantity/NewDenominationQuantity/Unit**
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on **seev.031.001.16/CorporateActionOptionDetails/SecuritiesQuantity/BackEndOddLotQuantity/Unit**
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on **seev.031.001.16/CorporateActionOptionDetails/SecuritiesQuantity/MinimumMultipleQuantityToInstruct/Unit**
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on **seev.031.001.16/CorporateActionOptionDetails/SecuritiesQuantity/NewBoardLotQuantity/Unit**
Type changed to: [RestrictedFINDecimalNumber on page 642](#)

6.89.2 FaceAmount

XML Tag: FaceAmt

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: ["RestrictedFINImpliedCurrencyAndAmount" on page 642](#)

Usage Guideline details

- on **seev.031.001.16/CorporateActionDetails/SecuritiesQuantity/IncrementalDenomination/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on **seev.031.001.16/CorporateActionOptionDetails/SecuritiesQuantity/NewBoardLotQuantity/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on **seev.031.001.16/CorporateActionOptionDetails/SecuritiesQuantity/FrontEndOddLotQuantity/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on **seev.031.001.16/CorporateActionDetails/SecuritiesQuantity/NewDenominationQuantity/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on **seev.031.001.16/CorporateActionOptionDetails/SecuritiesQuantity/MinimumMultipleQuantityToInstruct/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)
- on **seev.031.001.16/CorporateActionDetails/SecuritiesQuantity/BaseDenomination/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)

- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesQuantity/NewDenominationQuantity/FaceAmount](#)
Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 642
- on [seev.031.001.16/CorporateActionDetails/SecuritiesQuantity/NewBoardLotQuantity/FaceAmount](#)
Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 642
- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesQuantity/BackEndOddLotQuantity/FaceAmount](#)
Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 642

6.89.3 AmortisedValue

XML Tag: AmtsdVal

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: ["RestrictedFINImpliedCurrencyAndAmount"](#) on page 642

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesQuantity/FrontEndOddLotQuantity/AmortisedValue](#)
Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 642
- on [seev.031.001.16/CorporateActionDetails/SecuritiesQuantity/NewDenominationQuantity/AmortisedValue](#)
Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 642
- on [seev.031.001.16/CorporateActionDetails/SecuritiesQuantity/BaseDenomination/AmortisedValue](#)
Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 642
- on [seev.031.001.16/CorporateActionDetails/SecuritiesQuantity/IncrementalDenomination/AmortisedValue](#)
Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 642
- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesQuantity/MinimumMultipleQuantityToInstruct/AmortisedValue](#)
Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 642
- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesQuantity/NewDenominationQuantity/AmortisedValue](#)
Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 642
- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesQuantity/BackEndOddLotQuantity/AmortisedValue](#)
Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 642

- on [seev.031.001.16/CorporateActionDetails/SecuritiesQuantity/NewBoardLotQuantity/AmortisedValue](#)
Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 642
- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesQuantity/NewBoardLotQuantity/AmortisedValue](#)
Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 642

6.89.4 Code

XML Tag: Cd

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: ["Quantity5Code"](#) on page 634

6.89.5 DigitalTokenUnit

XML Tag: DgtlTknUnit







Presence: [1..1]

Definition: Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

Datatype: ["Max30DecimalNumber"](#) on page 615

6.90 ForeignExchangeTerms38

Definition: Provides information about the terms of the foreign exchange transaction.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	UnitCurrency	<UnitCcy>		[1..1]		321
	QuotedCurrency	<QtdCcy>		[1..1]		322
	ExchangeRate	<XchgRate>		[1..1]		322

Used in element(s)

["IssuerDeclaredExchangeRate"](#) on page 239

6.90.1 UnitCurrency

XML Tag: UnitCcy

Presence: [1..1]

Definition: Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

Datatype: ["ActiveCurrencyCode" on page 506](#)

6.90.2 QuotedCurrency

XML Tag: QtdCcy

Presence: [1..1]

Definition: Currency into which the base currency is converted, in a currency exchange.

Datatype: ["ActiveCurrencyCode" on page 506](#)

6.90.3 ExchangeRate

XML Tag: XchgRate

Presence: [1..1]







Definition: Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.


Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

Datatype: ["BaseOne14Rate" on page 516](#)

6.91 ForeignExchangeTerms39__1

Definition: Provides information about the terms of the foreign exchange transaction.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	UnitCurrency	<UnitCcy>		[1..1]		322
	QuotedCurrency	<QtdCcy>		[1..1]		322
	ExchangeRate	<XchgRate>		[1..1]		323
	ResultingAmount	<RsltAmt>		[0..1]		323

Used in element(s)

["ForeignExchangeDetails" on page 76](#)

6.91.1 UnitCurrency

XML Tag: UnitCcy

Presence: [1..1]

Definition: Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

Datatype: ["ActiveCurrencyCode" on page 506](#)

6.91.2 QuotedCurrency

XML Tag: QtdCcy

Presence: [1..1]

Definition: Currency into which the base currency is converted, in a currency exchange.

Datatype: ["ActiveCurrencyCode" on page 506](#)

6.91.3 ExchangeRate

XML Tag: XchgRate

Presence: [1..1]

Definition: Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

Datatype: ["BaseOne14Rate" on page 516](#)

6.91.4 ResultingAmount

XML Tag: RsltgAmt

Presence: [0..1]

Definition: Counter value of a foreign exchange conversion.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 641](#)







Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/ForeignExchangeDetails/ResultingAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 641](#)

6.92 FractionDispositionType25Choice__1

Definition: Choice between a standard code or a proprietary code to specify the type of fraction disposition.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		324
	Proprietary	<Prtry>	Or}	[1..1]		324

Used in element(s)

["FractionDisposition" on page 288](#)

6.92.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

Datatype: "FractionDispositionType9Code" on page 592

6.92.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of fraction disposition.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30_1" on page 326 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]	⚠	327
	SchemeName	<SchmeNm>		[0..1]	⚠	337

6.93 FractionDispositionType26Choice__1

Definition: Choice between a standard code or a proprietary code to specify the type of fraction disposition.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		324
	Proprietary	<Prtry>	Or}	[1..1]		325

Used in element(s)

"FractionDisposition" on page 187, "FractionDisposition" on page 479

6.93.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

Datatype: "FractionDispositionType8Code" on page 591

6.93.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of fraction disposition.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30 1" on page 326 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]	⚠	327
	SchemeName	<SchmeNm>		[0..1]	⚠	337

6.94 GenericIdentification30

Definition: Information related to an identification, for example, party identification or account identification.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		325
	Issuer	<Issr>		[1..1]		325
	SchemeName	<SchmeNm>		[0..1]		326

Used in element(s)

"Proprietary" on page 244, "Proprietary" on page 364, "Proprietary" on page 365, "Type" on page 64

6.94.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Exact4AlphaNumericText" on page 590

6.94.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 616

6.94.3 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max35Text" on page 616

6.95 GenericIdentification30__1

Definition: Information related to an identification, for example, party identification or account identification.

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -

M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	⚠	326
	Issuer	<Issr>		[1..1]	⚠	327
	SchemeName	<SchmeNm>		[0..1]	🚫 ⚠	337

Used in element(s)

"ExemptionType" on page 478, "ExemptionType" on page 72, "IncomeType" on page 477, "IncomeType" on page 71, "OtherIncomeType" on page 477, "OtherIncomeType" on page 72, "Proprietary" on page 218, "Proprietary" on page 402, "Proprietary" on page 159, "Proprietary" on page 164, "Proprietary" on page 170, "Proprietary" on page 362, "Proprietary" on page 376, "Proprietary" on page 465, "Proprietary" on page 324, "Proprietary" on page 242, "Proprietary" on page 257, "Proprietary" on page 265, "Proprietary" on page 256, "Proprietary" on page 374, "Proprietary" on page 162, "Proprietary" on page 46, "Proprietary" on page 132, "Proprietary" on page 66, "Proprietary" on page 497, "Proprietary" on page 264, "Proprietary" on page 366, "Proprietary" on page 79, "Proprietary" on page 81, "Proprietary" on page 361, "Proprietary" on page 263, "Proprietary" on page 199, "Proprietary" on page 325, "Proprietary" on page 376, "Proprietary" on page 375, "Proprietary" on page 63, "Proprietary" on page 63, "Proprietary" on page 410, "Proprietary" on page 244, "Proprietary" on page 243, "Proprietary" on page 447, "Proprietary" on page 443, "Proprietary" on page 448, "Proprietary" on page 444, "Proprietary" on page 446, "Proprietary" on page 445, "Proprietary" on page 498, "Proprietary" on page 373, "Proprietary" on page 392, "Proprietary" on page 449, "Proprietary" on page 449, "Proprietary" on page 254, "TaxOnNonDistributedProceedsIndicator" on page 98, "Type" on page 353

6.95.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: ["Exact4AlphaNumericText" on page 590](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/OptionType/Proprietary/Identification](#)
 - **Market Practice Usage Rule:**

Use for VOTE events only. See Data Dictionary for list of code values.
- on [seev.031.001.16/CorporateActionDetails/TaxOnNonDistributedProceedsIndicator/Identification](#)

Synonym (IRS Code 871m): M871
- on [seev.031.001.16/CorporateActionDetails/TaxOnNonDistributedProceedsIndicator/Identification](#)

Synonym (IRS Code 305c): C305

6.95.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: ["Max4AlphaNumericText" on page 616](#)

Usage Guideline details

- on [seev.031.001.16/IntermediateSecurity/ExpiryDate/DateCode/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)
- on [seev.031.001.16/CorporateActionOptionDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)
- on [seev.031.001.16/CorporateActionOptionDetails/DateDetails/ProtectDeadline/DateCode/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)
- on [seev.031.001.16/CorporateActionDetails/DateDetails/MeetingDate/DateCode/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)
- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/DateDetails/PaymentDate/DateCode/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)
- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/WithholdingTaxRate/RateTypeAndRate/RateType/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on `seev.031.001.16/CorporateActionDetails/DateDetails/WhollyUnconditionalDate/DateCode/Proprietary/Issuer`
Type changed to: [Max4AlphaNumericText on page 616](#)
- on `seev.031.001.16/CorporateActionDetails/DateDetails/FurtherDetailedAnnouncementDate/DateCode/Proprietary/Issuer`
Type changed to: [Max4AlphaNumericText on page 616](#)
- on `seev.031.001.16/CorporateActionDetails/DateDetails/CourtApprovalDate/DateCode/Proprietary/Issuer`
Type changed to: [Max4AlphaNumericText on page 616](#)
- on `seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/TemporaryFinancialInstrumentIndicator/Proprietary/Issuer`
Type changed to: [Max4AlphaNumericText on page 616](#)
- on `seev.031.001.16/CorporateActionDetails/DateDetails/MarginFixingDate/DateCode/Proprietary/Issuer`
Type changed to: [Max4AlphaNumericText on page 616](#)
- on `seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/DateDetails/EarliestPaymentDate/DateCode/Proprietary/Issuer`
Type changed to: [Max4AlphaNumericText on page 616](#)
- on `seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/SecondLevelTax/RateTypeAndRate/RateType/Proprietary/Issuer`
Type changed to: [Max4AlphaNumericText on page 616](#)
- on `seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/Issuer`
Type changed to: [Max4AlphaNumericText on page 616](#)
- on `seev.031.001.16/CorporateActionGeneralInformation/EventProcessingType/Proprietary/Issuer`
Type changed to: [Max4AlphaNumericText on page 616](#)
- on `seev.031.001.16/CorporateActionDetails/DateDetails/LeadPlaintiffDeadline/DateCode/Proprietary/Issuer`
Type changed to: [Max4AlphaNumericText on page 616](#)
- on `seev.031.001.16/CorporateActionOptionDetails/DateDetails/DocumentationDeadline/DateCode/Proprietary/Issuer`
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- on `seev.031.001.16/CorporateActionDetails/DividendType/Proprietary/Issuer`
Type changed to: [Max4AlphaNumericText on page 616](#)
- on `seev.031.001.16/CorporateActionGeneralInformation/MandatoryVoluntaryEventType/Proprietary/Issuer`
Type changed to: [Max4AlphaNumericText on page 616](#)
- on `seev.031.001.16/CorporateActionDetails/ElectionType/Proprietary/Issuer`

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionDetails/LotteryType/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionDetails/DateDetails/ElectionToCounterpartyMarketDeadline/DateCode/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionDetails/DateDetails/UnconditionalDate/DateCode/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionDetails/EventStage/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionDetails/DateDetails/LotteryDate/DateCode/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionDetails/DateDetails/ProrationDate/DateCode/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/OtherIncomeType/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/IntermediateSecurity/RenounceableEntitlementStatusType/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/PariPassuDate/DateCode/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionDetails/DateDetails/PaymentDate/DateCode/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionDetails/InformationType/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionOptionDetails/RateAndAmountDetails/WithholdingTaxRate/RateTypeAndRate/RateType/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/CorporateActionDetails/DateDetails/DeadlineToSplit/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/CapitalGainInOutIndicator/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/RateAndAmountDetails/TaxableIncomePer-DividendShare/RateType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/Safekeeping-Place/Proprietary/Type/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/Exemption-Type/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/OccurrenceType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/RateAndAmountDetails/GrossDistribution-Rate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/DateDetails/AnnouncementDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/IncomeType/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/AvailableDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/IntermediateSecurity/FractionDisposition/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/OptionFeatures/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/DateDetails/EarlyResponseDeadline/Date-Code/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/FractionDisposition/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionDetails/TaxOnNonDistributedProceedsIndicator/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/DateDetails/ForeignExchangeRateFixingDate/DateCode/Proprietary/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionDetails/DateDetails/GuaranteedParticipationDate/DateCode/Proprietary/Issuer](#)
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- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/LastTradingDate/DateCode/Proprietary/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/PreviousNotificationIdentification/LinkageType/Proprietary/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/FractionDisposition/Proprietary/Issuer](#)
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- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/WithholdingTaxRate/RateTypeAndRate/RateType/Proprietary/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionOptionDetails/DateDetails/DepositoryCoverExpirationDate/DateCode/Proprietary/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionDetails/ChangeType/Proprietary/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionDetails/DateDetails/MarketClaimTrackingEndDate/DateCode/Proprietary/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionDetails/DateDetails/EarlyThirdPartyDeadline/DateCode/Proprietary/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/DayCountBasis/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndRate/RateType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/OptionStyle/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/RateAndAmountDetails/TaxableIncomePerDividendShare/RateStatus/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/DateDetails/CoverExpirationDeadline/DateCode/Proprietary/Issuer
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- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/SafekeepingPlace/Proprietary/Type/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/OptionStyle/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/DateDetails/MarketDeadline/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/EarliestPaymentDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/OfferType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/CorporateActionDetails/DateDetails/ExDividendDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/DateDetails/ValueDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/DateDetails/ThirdPartyDeadline/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/OptionType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/DateDetails/EffectiveDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/ProrationBelowMinimumQuantity/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/DateDetails/DeadlineForTaxBreakdownInstruction/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/ConsentType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/DateDetails/EarlyClosingDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/DateDetails/EqualisationDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/OptionAvailabilityStatus/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/DayCountBasis/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/CorporateActionDetails/DateDetails/RegistrationDeadline/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/RateAndAmountDetails/SecondLevelTax/RateTypeAndRate/RateType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionGeneralInformation/EventType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/DateDetails/ResponseDeadline/DateCode-AndTime/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/DateDetails/ElectionToCounterpartyResponseDeadline/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/PaymentDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/DateDetails/BorrowerStockLendingDeadline/StockLendingDeadline/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/EventsLinkage/LinkageType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/CertificationType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/DateDetails/NewMaturityDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/IntermediateSecurity/PostingDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/DateDetails/LapsedDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/DateDetails/CertificationDeadline/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/DateDetails/TradingSuspendedDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/OtherDocumentIdentification/LinkageType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/CertificationBreakdownType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/NonEligibleProceedsIndicator/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/DateDetails/ResultsPublicationDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/NotificationGeneralInformation/ProcessingStatus/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/DateDetails/ExpiryDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/DateDetails/OfficialAnnouncementPublicationDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/IncomeType/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/TaxableIncomePerShareCalculated/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/DateDetails/SubscriptionCostDebitDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/DateDetails/SpecialExDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/DividendRankingDate/DateCode/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionDetails/DateDetails/FilingDate/DateCode/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionDetails/DateDetails/RecordDate/DateCode/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/ExemptionType/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionDetails/DateDetails/HearingDate/DateCode/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionOptionDetails/DateDetails/ResponseDeadline/DateCode/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/OtherIncomeType/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionOptionDetails/DateDetails/StockLendingDeadline/DateCode/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/SecondLevelTax/RateTypeAndRate/RateType/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionDetails/EventSequenceType/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionOptionDetails/BidRangeType/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionDetails/DutchAuctionType/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/PriceCalculationMethod/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/NonEligibleProceedsIndicator/Proprietary/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on `seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/Issuer`
Type changed to: [Max4AlphaNumericText on page 616](#)
- on `seev.031.001.16/CorporateActionDetails/DateDetails/FixingDate/DateCode/Proprietary/Issuer`
Type changed to: [Max4AlphaNumericText on page 616](#)
- on `seev.031.001.16/CorporateActionDetails/RenounceableEntitlementStatusType/Proprietary/Issuer`
Type changed to: [Max4AlphaNumericText on page 616](#)
- on `seev.031.001.16/CorporateActionOptionDetails/OptionType/Proprietary/Issuer`
 - Market Practice Usage Rule:
Use for VOTE events only. Populated with "DTCC".
- on `seev.031.001.16/CorporateActionDetails/TaxOnNonDistributedProceedsIndicator/Issuer`
Synonym (Data Source Scheme value): SMPG

6.95.3 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: ["Max4AlphaNumericText" on page 616](#)

Usage Guideline details

- This element(`seev.031.001.16/CorporateActionDetails/TaxOnNonDistributedProceedsIndicator/SchemeName`) is removed.
- on `seev.031.001.16/CorporateActionDetails/DateDetails/SpecialExDate/DateCode/Proprietary/SchemeName`
Type changed to: [Max4AlphaNumericText on page 616](#)
- on `seev.031.001.16/CorporateActionDetails/DateDetails/HearingDate/DateCode/Proprietary/SchemeName`
Type changed to: [Max4AlphaNumericText on page 616](#)
- on `seev.031.001.16/CorporateActionDetails/DateDetails/FilingDate/DateCode/Proprietary/SchemeName`
Type changed to: [Max4AlphaNumericText on page 616](#)
- on `seev.031.001.16/IntermediateSecurity/ExpiryDate/DateCode/Proprietary/SchemeName`
Type changed to: [Max4AlphaNumericText on page 616](#)
- on `seev.031.001.16/CorporateActionDetails/DateDetails/LapsedDate/DateCode/Proprietary/SchemeName`

Type changed to: Max4AlphaNumericText on page 616

- on seev.031.001.16/CorporateActionDetails/DateDetails/GuaranteedParticipationDate/Date-Code/Proprietary/SchemeName

Type changed to: Max4AlphaNumericText on page 616

- on seev.031.001.16/CorporateActionDetails/DateDetails/LeadPlaintiffDeadline/DateCode/Proprietary/SchemeName

Type changed to: Max4AlphaNumericText on page 616

- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/Safekeeping-Place/Proprietary/Type/SchemeName

Type changed to: Max4AlphaNumericText on page 616

- on seev.031.001.16/CorporateActionDetails/ConsentType/Proprietary/SchemeName

Type changed to: Max4AlphaNumericText on page 616

- on seev.031.001.16/IntermediateSecurity/PostingDate/DateCode/Proprietary/SchemeName

Type changed to: Max4AlphaNumericText on page 616

- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/Exemption-Type/SchemeName

Type changed to: Max4AlphaNumericText on page 616

- on seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/SchemeName

Type changed to: Max4AlphaNumericText on page 616

- on seev.031.001.16/NotificationGeneralInformation/ProcessingStatus/Proprietary/Scheme-Name

Type changed to: Max4AlphaNumericText on page 616

- on seev.031.001.16/CorporateActionOptionDetails/DateDetails/EarlyResponseDeadline/Date-Code/Proprietary/SchemeName

Type changed to: Max4AlphaNumericText on page 616

- on seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/NonEligibleProceedsIndicator/Proprietary/SchemeName

Type changed to: Max4AlphaNumericText on page 616

- on seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/SchemeName

Type changed to: Max4AlphaNumericText on page 616

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/SafekeepingPlace/Proprietary/Type/SchemeName

Type changed to: Max4AlphaNumericText on page 616

- on seev.031.001.16/CorporateActionDetails/DateDetails/AnnouncementDate/DateCode/Proprietary/SchemeName

Type changed to: Max4AlphaNumericText on page 616

- on seev.031.001.16/CorporateActionOptionDetails/DateDetails/ExpiryDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/CertificationBreakdownType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/BidRangeType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/TaxableIncomePerShareCalculated/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/EventsLinkage/LinkageType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/DateDetails/CertificationDeadline/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/OfferType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/SecondLevelTax/RateTypeAndRate/RateType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/DateDetails/MarginFixingDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/DateDetails/FurtherDetailedAnnouncementDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/DateDetails/PaymentDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/IntermediateSecurity/RenounceableEntitlementStatusType/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/AvailableDate/DateCode/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/CorporateActionOptionDetails/DateDetails/BorrowerStockLendingDeadline/StockLendingDeadline/DateCode/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/CorporateActionOptionDetails/DateDetails/MarketDeadline/DateCode/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/DateDetails/ForeignExchangeRateFixingDate/DateCode/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/CorporateActionDetails/EventStage/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/CorporateActionDetails/DateDetails/ExDividendDate/DateCode/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/DateDetails/Earliest-PaymentDate/DateCode/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/CorporateActionDetails/DateDetails/UnconditionalDate/DateCode/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/CorporateActionDetails/DateDetails/ElectionToCounterpartyResponseDeadline/DateCode/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/CorporateActionDetails/DateDetails/PaymentDate/DateCode/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/CorporateActionDetails/DateDetails/RegistrationDeadline/DateCode/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/CorporateActionDetails/DateDetails/OfficialAnnouncementPublication-Date/DateCode/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/CorporateActionDetails/DateDetails/ElectionToCounterpartyMarketDeadline/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/OptionFeatures/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/DateDetails/EarlyThirdPartyDeadline/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/DateDetails/EffectiveDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/PaymentDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/DateDetails/CourtApprovalDate/DateCode/Proprietary/SchemeName
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- on seev.031.001.16/CorporateActionDetails/DateDetails/RecordDate/DateCode/Proprietary/SchemeName
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- on seev.031.001.16/CorporateActionOptionDetails/ProrationBelowMinimumQuantity/Proprietary/SchemeName
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- on seev.031.001.16/CorporateActionDetails/LotteryType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/SchemeName
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- on seev.031.001.16/CorporateActionGeneralInformation/MandatoryVoluntaryEventType/Proprietary/SchemeName
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- on seev.031.001.16/CorporateActionOptionDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/SchemeName
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- on seev.031.001.16/CorporateActionDetails/RenounceableEntitlementStatusType/Proprietary/SchemeName
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- on seev.031.001.16/CorporateActionOptionDetails/DateDetails/SubscriptionCostDebit-Date/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/DateDetails/ProtectDeadline/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/DateDetails/WhollyUnconditionalDate/Date-Code/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/SecondLevelTax/RateTypeAndRate/RateType/Proprietary/SchemeName
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- on seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/OtherIncome-Type/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/DateDetails/DeadlineToSplit/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/Exemption-Type/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/TemporaryFinancialInstrumentIndicator/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/RateAndAmountDetails/TaxableIncomePer-DividendShare/RateStatus/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/DateDetails/Value-Date/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateType/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/CorporateActionOptionDetails/DateDetails/ResponseDeadline/DateCode-AndTime/DateCode/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/CorporateActionDetails/DateDetails/TradingSuspendedDate/Date-Code/Proprietary/SchemeName

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- on seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDe-tails/WithholdingTaxRate/RateTypeAndRate/RateType/Proprietary/SchemeName

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- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/NonEligi-bleProceedsIndicator/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/CorporateActionDetails/DateDetails/MeetingDate/DateCode/Propri-etary/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDe-tails/LastTradingDate/DateCode/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/CorporateActionOptionDetails/RateAndAmountDetails/GrossInterestRa-teUsedForPayment/RateTypeAndAmountAndRateStatus/RateType/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/CorporateActionDetails/DateDetails/LotteryDate/DateCode/Propri-etary/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/CorporateActionGeneralInformation/EventProcessingType/Propri-etary/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/CorporateActionOptionDetails/RateAndAmountDetails/GrossDistribution-Rate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/CorporateActionDetails/DateDetails/ResultsPublicationDate/Date-Code/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/IntermediateSecurity/FractionDisposition/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/CorporateActionDetails/DateDetails/EarlyClosingDate/DateCode/Propri-etary/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/DateDetails/StockLendingDeadline/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/RateAndAmountDetails/SecondLevelTax/RateTypeAndRate/RateType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/CertificationType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/OptionAvailabilityStatus/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/DateDetails/ProrationDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/PriceCalculationMethod/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/IncomeType/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/DateDetails/DocumentationDeadline/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/DayCountBasis/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/DateDetails/ThirdPartyDeadline/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/IncomeType/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/FractionDisposition/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/WithholdingTaxRate/RateTypeAndRate/RateType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/OptionStyle/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/DividendType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/TaxOnNonDistributedProceedsIndicator/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/ElectionType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/DayCountBasis/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/InformationType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/OptionType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/DateDetails/DepositoryCoverExpirationDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/DateDetails/ResponseDeadline/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/DateDetails/NewMaturityDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionGeneralInformation/EventType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionDetails/DutchAuctionType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 616](#)
- on seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/OptionStyle/Proprietary/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionDetails/DateDetails/DeadlineForTaxBreakdownInstruction/DateCode/Proprietary/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/DividendRankingDate/DateCode/Proprietary/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/PariPassuDate/DateCode/Proprietary/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionDetails/ChangeType/Proprietary/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/OtherIncomeType/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/EarliestPaymentDate/DateCode/Proprietary/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/PreviousNotificationIdentification/LinkageType/Proprietary/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionOptionDetails/FractionDisposition/Proprietary/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionDetails/CapitalGainInOutIndicator/Proprietary/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionDetails/EventSequenceType/Proprietary/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionDetails/DateDetails/MarketClaimTrackingEndDate/DateCode/Proprietary/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndRate/RateType/Proprietary/SchemeName](#)







Type changed to: [Max4AlphaNumericText on page 616](#)




- on seev.031.001.16/CorporateActionDetails/DateDetails/FixingDate/DateCode/Proprietary/SchemeName
Type changed to: Max4AlphaNumericText on page 616
- on seev.031.001.16/OtherDocumentIdentification/LinkageType/Proprietary/SchemeName
Type changed to: Max4AlphaNumericText on page 616
- on seev.031.001.16/CorporateActionDetails/OccurrenceType/Proprietary/SchemeName
Type changed to: Max4AlphaNumericText on page 616
- on seev.031.001.16/CorporateActionDetails/DateDetails/EqualisationDate/DateCode/Proprietary/SchemeName
Type changed to: Max4AlphaNumericText on page 616
- on seev.031.001.16/CorporateActionOptionDetails/RateAndAmountDetails/Withholding-TaxRate/RateTypeAndRate/RateType/Proprietary/SchemeName
Type changed to: Max4AlphaNumericText on page 616
- on seev.031.001.16/CorporateActionOptionDetails/DateDetails/CoverExpirationDeadline/Date-Code/Proprietary/SchemeName
Type changed to: Max4AlphaNumericText on page 616
- on seev.031.001.16/CorporateActionOptionDetails/RateAndAmountDetails/TaxableIncomePer-DividendShare/RateType/Proprietary/SchemeName
Type changed to: Max4AlphaNumericText on page 616
- on seev.031.001.16/CorporateActionOptionDetails/OptionType/Proprietary/SchemeName
 - Market Practice Usage Rule:

Use for VOTE events only. Populated with "VOTE".

6.96 GenericIdentification36__1

Definition: Identification using a proprietary scheme.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		348
	Issuer	<Issr>		[1..1]		348
	SchemeName	<SchmeNm>		[0..1]		349

Used in element(s)

"AlternateClassification" on page 80,
 "ProprietaryIdentification" on page 358,
 "ProprietaryNumber" on page 262

6.96.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: ["RestrictedFINXMax30Text" on page 644](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/ClassificationType/AlternateClassification/Identification](#)
Type changed to: [RestrictedFINXMax30Text on page 644](#)
- on [seev.031.001.16/OtherDocumentIdentification/DocumentNumber/ProprietaryNumber/Identification](#)
Type changed to: [RestrictedFINXMax30Text on page 644](#)
- on [seev.031.001.16/CorporateActionDetails/CouponNumber/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax30Text on page 644](#)
- on [seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/Classification-Type/AlternateClassification/Identification](#)
Type changed to: [RestrictedFINXMax30Text on page 644](#)

6.96.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: ["Max4AlphaNumericText" on page 616](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/ClassificationType/AlternateClassification/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 616](#)
- on [seev.031.001.16/OtherDocumentIdentification/DocumentNumber/ProprietaryNumber/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 616](#)
- on [seev.031.001.16/CorporateActionDetails/CouponNumber/ProprietaryIdentification/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 616](#)
- on [seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/Classification-Type/AlternateClassification/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 616](#)

6.96.3 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Short textual description of the scheme.







Datatype: ["Max4AlphaNumericText" on page 616](#)




Usage Guideline details

- on [seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/Classification-Type/AlternateClassification/SchemeName](#)
Type changed to: [Max4AlphaNumericText on page 616](#)
- on [seev.031.001.16/OtherDocumentIdentification/DocumentNumber/ProprietaryNumber/SchemeName](#)
Type changed to: [Max4AlphaNumericText on page 616](#)
- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/ClassificationType/AlternateClassification/SchemeName](#)
Type changed to: [Max4AlphaNumericText on page 616](#)
- on [seev.031.001.16/CorporateActionDetails/CouponNumber/ProprietaryIdentification/SchemeName](#)
Type changed to: [Max4AlphaNumericText on page 616](#)

6.97 GenericIdentification36__2

Definition: Identification using a proprietary scheme.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		349
	Issuer	<Issr>		[1..1]		350
	SchemeName	<SchmeNm>		[0..1]		352

Used in element(s)

["ProprietaryIdentification" on page 383](#),
 ["ProprietaryIdentification" on page 384](#),
 ["ProprietaryIdentification" on page 382](#)

6.97.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "RestrictedFINXMax34Text" on page 645

Usage Guideline details

- on **seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/AccountOwner/ProprietaryIdentification/Identification**
Type changed to: RestrictedFINXMax34Text on page 645
- on **seev.031.001.16/PhysicalSecuritiesAgent/ProprietaryIdentification/Identification**
Type changed to: RestrictedFINXMax34Text on page 645
- on **seev.031.001.16/Issuer/ProprietaryIdentification/Identification**
Type changed to: RestrictedFINXMax34Text on page 645
- on **seev.031.001.16/CorporateActionOptionDetails/DateDetails/BorrowerStockLendingDeadline/Borrower/ProprietaryIdentification/Identification**
Type changed to: RestrictedFINXMax34Text on page 645
- on **seev.031.001.16/PayingAgent/ProprietaryIdentification/Identification**
Type changed to: RestrictedFINXMax34Text on page 645
- on **seev.031.001.16/InformationAgent/ProprietaryIdentification/Identification**
Type changed to: RestrictedFINXMax34Text on page 645
- on **seev.031.001.16/DropAgent/ProprietaryIdentification/Identification**
Type changed to: RestrictedFINXMax34Text on page 645
- on **seev.031.001.16/IssuerAgent/ProprietaryIdentification/Identification**
Type changed to: RestrictedFINXMax34Text on page 645
- on **seev.031.001.16/SolicitationAgent/ProprietaryIdentification/Identification**
Type changed to: RestrictedFINXMax34Text on page 645
- on **seev.031.001.16/TransferAgent/ProprietaryIdentification/Identification**
Type changed to: RestrictedFINXMax34Text on page 645
- on **seev.031.001.16/Registrar/ProprietaryIdentification/Identification**
Type changed to: RestrictedFINXMax34Text on page 645
- on **seev.031.001.16/ResellingAgent/ProprietaryIdentification/Identification**
Type changed to: RestrictedFINXMax34Text on page 645
- on **seev.031.001.16/SubPayingAgent/ProprietaryIdentification/Identification**
Type changed to: RestrictedFINXMax34Text on page 645
- on **seev.031.001.16/Offeror/ProprietaryIdentification/Identification**
Type changed to: RestrictedFINXMax34Text on page 645

6.97.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: ["Max4AlphaNumericText" on page 616](#)

Usage Guideline details

- on [seev.031.001.16/Issuer/ProprietaryIdentification/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 616](#)
- on [seev.031.001.16/ResellingAgent/ProprietaryIdentification/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 616](#)
- on [seev.031.001.16/PhysicalSecuritiesAgent/ProprietaryIdentification/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 616](#)
- on [seev.031.001.16/SubPayingAgent/ProprietaryIdentification/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 616](#)
- on [seev.031.001.16/CorporateActionOptionDetails/DateDetails/BorrowerStockLendingDeadline/Borrower/ProprietaryIdentification/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 616](#)
- on [seev.031.001.16/IssuerAgent/ProprietaryIdentification/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 616](#)
- on [seev.031.001.16/Registrar/ProprietaryIdentification/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 616](#)
- on [seev.031.001.16/PayingAgent/ProprietaryIdentification/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 616](#)
- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/AccountOwner/ProprietaryIdentification/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 616](#)
- on [seev.031.001.16/InformationAgent/ProprietaryIdentification/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 616](#)
- on [seev.031.001.16/DropAgent/ProprietaryIdentification/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 616](#)
- on [seev.031.001.16/Offeror/ProprietaryIdentification/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 616](#)
- on [seev.031.001.16/TransferAgent/ProprietaryIdentification/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 616](#)
- on [seev.031.001.16/SolicitationAgent/ProprietaryIdentification/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

6.97.3 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: ["Max4AlphaNumericText" on page 616](#)







Usage Guideline details


- **on seev.031.001.16/IssuerAgent/ProprietaryIdentification/SchemeName**
Type changed to: [Max4AlphaNumericText on page 616](#)
- **on seev.031.001.16/InformationAgent/ProprietaryIdentification/SchemeName**
Type changed to: [Max4AlphaNumericText on page 616](#)
- **on seev.031.001.16/SubPayingAgent/ProprietaryIdentification/SchemeName**
Type changed to: [Max4AlphaNumericText on page 616](#)
- **on seev.031.001.16/PhysicalSecuritiesAgent/ProprietaryIdentification/SchemeName**
Type changed to: [Max4AlphaNumericText on page 616](#)
- **on seev.031.001.16/Offeror/ProprietaryIdentification/SchemeName**
Type changed to: [Max4AlphaNumericText on page 616](#)
- **on seev.031.001.16/TransferAgent/ProprietaryIdentification/SchemeName**
Type changed to: [Max4AlphaNumericText on page 616](#)
- **on seev.031.001.16/DropAgent/ProprietaryIdentification/SchemeName**
Type changed to: [Max4AlphaNumericText on page 616](#)
- **on seev.031.001.16/PayingAgent/ProprietaryIdentification/SchemeName**
Type changed to: [Max4AlphaNumericText on page 616](#)
- **on seev.031.001.16/ResellingAgent/ProprietaryIdentification/SchemeName**
Type changed to: [Max4AlphaNumericText on page 616](#)
- **on seev.031.001.16/Registrar/ProprietaryIdentification/SchemeName**
Type changed to: [Max4AlphaNumericText on page 616](#)
- **on seev.031.001.16/SolicitationAgent/ProprietaryIdentification/SchemeName**
Type changed to: [Max4AlphaNumericText on page 616](#)
- **on seev.031.001.16/CorporateActionOptionDetails/DateDetails/BorrowerStockLendingDeadline/Borrower/ProprietaryIdentification/SchemeName**
Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/AccountOwner/ProprietaryIdentification/SchemeName](#)
Type changed to: [Max4AlphaNumericText](#) on page 616
- on [seev.031.001.16/Issuer/ProprietaryIdentification/SchemeName](#)
Type changed to: [Max4AlphaNumericText](#) on page 616

6.98 GenericIdentification78__1

Definition: Identification expressed as a proprietary type and narrative description.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>		[1..1]		353
	Identification	<Id>		[0..1]		353

Used in element(s)

"Proprietary" on page 470, "Proprietary" on page 467







6.98.1 Type



XML Tag: Tp

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

The **Tp** block contains the following elements (see datatype "[GenericIdentification30__1](#)" on page 326 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327
	SchemeName	<SchmeNm>		[0..1]		337

6.98.2 Identification

XML Tag: Id

Presence: [0..1]

Definition: Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.

Datatype: "[RestrictedFINXMax30Text](#)" on page 644

Usage Guideline details

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/SafekeepingPlace/Proprietary/Identification](#)
Type changed to: [RestrictedFINXMax30Text on page 644](#)
- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/Safekeeping-Place/Proprietary/Identification](#)
Type changed to: [RestrictedFINXMax30Text on page 644](#)

6.99 GrossDividendRateFormat36Choice__1

Definition: Choice between an amount or an unspecified rate.

Removed - Partial Removed - Ignored - Partial Ignored - Details below - Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]		354
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]		354
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		355
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		355

Used in element(s)

["GrossDistributionRate" on page 236](#)

6.99.1 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 640](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/RateAndAmountDetails/GrossDistribution-Rate/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)

6.99.2 AmountAndRateStatus

XML Tag: AmtAndRateSts

Presence: [1..1]

Definition: Specifies an amount and a rate status.

The **AmtAndRateSts** block contains the following elements (see datatype "AmountAndRateStatus1" on page 48 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]	⚠	48
	RateStatus	<RateSts>		[1..1]		49

6.99.3 RateTypeAndAmountAndRateStatus

XML Tag: RateTpAndAmtAndRateSts

Presence: [1..1]

Definition: Specifies different formats for the gross dividend rate.

The **RateTpAndAmtAndRateSts** block contains the following elements (see datatype "RateTypeAndAmountAndStatus55" on page 454 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		454
	Amount	<Amt>		[1..1]	⚠	454
	RateStatus	<RateSts>		[0..1]		455

6.99.4 NotSpecifiedRate

XML Tag: NotSpcfdRate

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateType13Code" on page 636

6.100 GrossDividendRateFormat38Choice__1

Definition: Choice between an amount or an unspecified rate.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]	⚠	356
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]		356

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		356
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		357

Used in element(s)

"GrossDistributionRate" on page 420

6.100.1 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 640

Usage Guideline details

- on seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/Amount

Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640







6.100.2 AmountAndRateStatus


XML Tag: AmtAndRateSts

Presence: [1..1]

Definition: Specifies an amount and a rate status.

The **AmtAndRateSts** block contains the following elements (see datatype "AmountAndRateStatus1_1" on page 48 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		48
	RateStatus	<RateSts>		[1..1]		49







6.100.3 RateTypeAndAmountAndRateStatus


XML Tag: RateTpAndAmtAndRateSts

Presence: [1..1]

Definition: Specifies different formats for the gross dividend rate.

The **RateTpAndAmtAndRateSts** block contains the following elements (see datatype "RateTypeAndAmountAndStatus57_1" on page 456 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		457
	Amount	<Amt>		[1..1]		457
	RateStatus	<RateSts>		[0..1]		457

6.100.4 NotSpecifiedRate

XML Tag: NotSpcdfRate







Presence: [1..1]


Definition: Value of the rate not specified.

Datatype: "RateType13Code" on page 636

6.101 IdentificationFormat3Choice__1

Definition: Choice between either a short, long or a proprietary identification format.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortIdentification	<ShrtId>	{Or	[1..1]		357
	LongIdentification	<LngId>	Or	[1..1]		357
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		358

Used in element(s)

"CouponNumber" on page 88

6.101.1 ShortIdentification

XML Tag: ShrtId

Presence: [1..1]

Definition: Format expressed as a short identification.

Datatype: "Exact3UpperCaseAlphaNumericText" on page 590

6.101.2 LongIdentification

XML Tag: LngId

Presence: [1..1]

Definition: Format expressed as a long identification.

Datatype: "RestrictedFINXMax30Text" on page 644

Usage Guideline details

- on [seev.031.001.16/CorporateActionDetails/CouponNumber/LongIdentification](#)
Type changed to: [RestrictedFINXMax30Text](#) on page 644







6.101.3 ProprietaryIdentification




XML Tag: PrtryId

Presence: [1..1]

Definition: Format expressed as a proprietary identification.







The **PrtryId** block contains the following elements (see datatype "[GenericIdentification36__1](#)" on page 347 for details)


 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		348
	Issuer	<Issr>		[1..1]		348
	SchemeName	<SchmeNm>		[0..1]		349

6.102 IdentificationSource3Choice__1

Definition: Choice between source of identification of a financial instrument.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		358
	Proprietary	<Prtry>	Or}	[1..1]		358

Used in element(s)

["Type"](#) on page 380

6.102.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Unique and unambiguous identification source, as assigned via a pre-determined code list.

Datatype: ["ExternalFinancialInstrumentIdentificationType1Code"](#) on page 590

6.102.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Unique and unambiguous identification source using a proprietary identification scheme.

Datatype: ["RestrictedFINExact2Text" on page 642](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/FinancialInstrumentIdentification/OtherIdentification/Type/Proprietary](#)
Type changed to: [RestrictedFINExact2Text on page 642](#)
- on [seev.031.001.16/IntermediateSecurity/SecurityIdentification/OtherIdentification/Type/Proprietary](#)
Type changed to: [RestrictedFINExact2Text on page 642](#)
- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification/OtherIdentification/Type/Proprietary](#)
Type changed to: [RestrictedFINExact2Text on page 642](#)
- on [seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/OtherIdentification/Type/Proprietary](#)
Type changed to: [RestrictedFINExact2Text on page 642](#)
- on [seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/OtherIdentification/Type/Proprietary](#)
Type changed to: [RestrictedFINExact2Text on page 642](#)
- **Market Practice Implementation Rule:**

Used when the security identifier type is a Contra CUSIP. Populated with "XX"

6.103 IndicativeOrMarketPrice12Choice__1

Definition: Choice between an indicative price or a market price.

❌ Removed - ❌ Partial Removed - ◆ Ignored - ◆ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IndicativePrice	<IndctvPric>	{Or	[1..1]		359
	MarketPrice	<MktPric>	Or}	[1..1]		360

Used in element(s)

["IndicativeOrMarketPrice" on page 209](#)

6.103.1 IndicativePrice

XML Tag: IndctvPric

Presence: [1..1]

Definition: Estimated price, for example, for valuation purposes.

The **IndctvPric** block contains the following elements (see datatype "PriceFormat74Choice_1" on page 400 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		400
	AmountPrice	<AmtPric>	Or	[1..1]		401
	NotSpecifiedPrice	<NotSpctdPric>	Or}	[1..1]		401

6.103.2 MarketPrice

XML Tag: MktPric

Presence: [1..1]

Definition: Last reported/known price of a financial instrument in a market.

The **MktPric** block contains the following elements (see datatype "PriceFormat74Choice_1" on page 400 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		400
	AmountPrice	<AmtPric>	Or	[1..1]		401
	NotSpecifiedPrice	<NotSpctdPric>	Or}	[1..1]		401

6.104 InformationTypeFormat4Choice__1

Definition: Choice between a standard code or proprietary code to specify the information type format required.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		360
	Proprietary	<Prtry>	Or}	[1..1]		361

Used in element(s)

"InformationType" on page 98

6.104.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the information type required.

Datatype: ["CorporateActionInformationType1Code" on page 569](#)







6.104.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the information type.







The **Prtry** block contains the following elements (see datatype ["GenericIdentification30_1" on page 326](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327
	SchemeName	<SchmeNm>		[0..1]		337

6.105 InterestComputationMethodFormat4Choice__1

Definition: Choice between a standard code or proprietary code to specify the type of interest computation method.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		361
	Proprietary	<Prtry>	Or}	[1..1]		362

Used in element(s)

["DayCountBasis" on page 268](#), ["DayCountBasis" on page 278](#)

6.105.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the method used to compute accruing interest of a financial instrument.

Datatype: ["InterestComputationMethod2Code" on page 598](#)







6.105.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the format of interest computation method.







The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 326 for details)


 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327
	SchemeName	<SchmeNm>		[0..1]		337

6.106 InterestRateUsedForPaymentFormat11Choice__1

Definition: Choice between an amount or a rate or an unspecified rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		362
	Amount	<Amt>	Or	[1..1]		362
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		363
	NotSpecifiedRate	<NotSpctdRate>	Or}	[1..1]		363

Used in element(s)

"GrossInterestRateUsedForPayment" on page 237, "GrossInterestRateUsedForPayment" on page 421

6.106.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 628

6.106.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 640](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)
- on [seev.031.001.16/CorporateActionOptionDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)







6.106.3 RateTypeAndAmountAndRateStatus


XML Tag: RateTpAndAmtAndRateSts

Presence: [1..1]

Definition: Specifies different formats for interest rate used for payment.

The **RateTpAndAmtAndRateSts** block contains the following elements (see datatype ["RateTypeAndAmountAndStatus24 1" on page 450](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		450
	Amount	<Amt>		[1..1]		450
	RateStatus	<RateSts>		[0..1]		451

6.106.4 NotSpecifiedRate

XML Tag: NotSpctdRate







Presence: [1..1]


Definition: Value of the rate not specified.

Datatype: ["RateType13Code" on page 636](#)

6.107 IntermediateSecuritiesDistributionTypeFormat19Choice

Definition: Choice between a standard code or proprietary code to specify the type of intermediate securities distribution.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		364
	Proprietary	<Prtry>	Or}	[1..1]		364

Used in element(s)

["FollowingEventTypeIndicator" on page 94](#)

6.107.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of intermediate security distribution.

Datatype: ["IntermediateSecurityDistributionType6Code" on page 606](#)







6.107.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of intermediate security distribution.

The **Prtry** block contains the following elements (see datatype ["GenericIdentification30" on page 325](#) for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		325
	Issuer	<Issr>		[1..1]		325
	SchemeName	<SchmeNm>		[0..1]		326

Usage Guideline details

- This element(see [ev.031.001.16/CorporateActionDetails/FollowingEventTypeIndicator/Proprietary](#)) is removed.

6.108 IssuerOfferorTaxabilityIndicator2Choice

Definition: Choice between a standard code or proprietary code to specify the issuer / offeror taxability status.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		365
	Proprietary	<Prtry>	Or}	[1..1]		365

Used in element(s)

["IssuerOfferorTaxabilityIndicator" on page 476](#), ["IssuerOfferorTaxabilityIndicator" on page 71](#)

6.108.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify information regarding the issuer / offeror taxability status.

Datatype: ["IssuerTaxability2Code" on page 613](#)







6.108.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification to specify information regarding the issuer / offeror taxability status.







The **Prtry** block contains the following elements (see datatype ["GenericIdentification30" on page 325](#) for details)


 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		325
	Issuer	<Issr>		[1..1]		325
	SchemeName	<SchmeNm>		[0..1]		326

6.109 LotteryTypeFormat4Choice__1

Definition: Choice between a standard code or proprietary code to specify the type of lottery.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		366
	Proprietary	<Prtry>	Or}	[1..1]		366

Used in element(s)

["LotteryType" on page 96](#)

6.109.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of lottery announced.

Datatype: "LotteryType1Code" on page 614







6.109.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of lottery announced.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30_1" on page 326 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327
	SchemeName	<SchmeNm>		[0..1]		337


Usage Guideline details

- This element(seev.031.001.16/CorporateActionDetails/LotteryType/Proprietary) is removed.

6.110 MarketIdentification3Choice__1

Definition: Choice of market identification.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MarketIdentifierCode	<MktldrCd>	{Or	[1..1]		366
	Description	<Desc>	Or}	[1..1]		367

Used in element(s)

"PlaceOfListing" on page 267, "PlaceOfListing" on page 277

6.110.1 MarketIdentifierCode

XML Tag: MktldrCd

Presence: [1..1]

Definition: Market Identifier Code. Identification of a financial market, as stipulated in the norm ISO 10383 "Codes for exchanges and market identifications".

Datatype: "MICIdentifier" on page 614

6.110.2 Description

XML Tag: Desc

Presence: [1..1]

Definition: Description of the market when no Market Identifier Code is available.

Datatype: "RestrictedFINXMax30Text" on page 644

Usage Guideline details

- on seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/PlaceOfListing/Description
Type changed to: RestrictedFINXMax30Text on page 644
- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/PlaceOfListing/Description
Type changed to: RestrictedFINXMax30Text on page 644

6.111 NameAndAddress5__1

Definition: Information that locates and identifies a party.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]	⚠	367
	Address	<Adr>		[0..1]	🚫	368

Used in element(s)

"NameAndAddress" on page 384, "NameAndAddress" on page 382

6.111.1 Name

XML Tag: Nm

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "RestrictedFINXMax140Text" on page 643

Usage Guideline details

- on **seev.031.001.16/SolicitationAgent/NameAndAddress/Name**
Type changed to: [RestrictedFINXMax140Text on page 643](#)
- on **seev.031.001.16/PhysicalSecuritiesAgent/NameAndAddress/Name**
Type changed to: [RestrictedFINXMax140Text on page 643](#)
- on **seev.031.001.16/SubPayingAgent/NameAndAddress/Name**
Type changed to: [RestrictedFINXMax140Text on page 643](#)
- on **seev.031.001.16/ResellingAgent/NameAndAddress/Name**
Type changed to: [RestrictedFINXMax140Text on page 643](#)
- on **seev.031.001.16/PayingAgent/NameAndAddress/Name**
Type changed to: [RestrictedFINXMax140Text on page 643](#)
- on **seev.031.001.16/InformationAgent/NameAndAddress/Name**
Type changed to: [RestrictedFINXMax140Text on page 643](#)
- on **seev.031.001.16/DropAgent/NameAndAddress/Name**
Type changed to: [RestrictedFINXMax140Text on page 643](#)
- on **seev.031.001.16/IssuerAgent/NameAndAddress/Name**
Type changed to: [RestrictedFINXMax140Text on page 643](#)
- on **seev.031.001.16/Offeror/NameAndAddress/Name**
Type changed to: [RestrictedFINXMax140Text on page 643](#)
- on **seev.031.001.16/TransferAgent/NameAndAddress/Name**
Type changed to: [RestrictedFINXMax140Text on page 643](#)
- on **seev.031.001.16/Issuer/NameAndAddress/Name**
Type changed to: [RestrictedFINXMax140Text on page 643](#)
- on **seev.031.001.16/Registrar/NameAndAddress/Name**
Type changed to: [RestrictedFINXMax140Text on page 643](#)







6.111.2 Address

XML Tag: Adr

Presence: [0..1]

Definition: Postal address of the party.

The **Adr** block contains the following elements (see datatype "[PostalAddress1](#)" on [page 389](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		390
	AddressLine	<AdrLine>		[0..5]		390
	StreetName	<StrtNm>		[0..1]		390
	BuildingNumber	<BldgNb>		[0..1]		390
	PostCode	<PstCd>		[0..1]		390
	TownName	<TwnNm>		[0..1]		391
	CountrySubDivision	<CtrySubDvsn>		[0..1]		391
	Country	<Ctry>		[1..1]		391


Usage Guideline details

- This element(seev.031.001.16/SubPayingAgent/NameAndAddress/Address) is removed.
- This element(seev.031.001.16/PhysicalSecuritiesAgent/NameAndAddress/Address) is removed.
- This element(seev.031.001.16/Issuer/NameAndAddress/Address) is removed.
- This element(seev.031.001.16/ResellingAgent/NameAndAddress/Address) is removed.
- This element(seev.031.001.16/PayingAgent/NameAndAddress/Address) is removed.
- This element(seev.031.001.16/IssuerAgent/NameAndAddress/Address) is removed.
- This element(seev.031.001.16/InformationAgent/NameAndAddress/Address) is removed.
- This element(seev.031.001.16/Offeror/NameAndAddress/Address) is removed.
- This element(seev.031.001.16/Registrar/NameAndAddress/Address) is removed.
- This element(seev.031.001.16/TransferAgent/NameAndAddress/Address) is removed.
- This element(seev.031.001.16/SolicitationAgent/NameAndAddress/Address) is removed.
- This element(seev.031.001.16/DropAgent/NameAndAddress/Address) is removed.

6.112 NetDividendRateFormat38Choice__1

Definition: Choice between an amount or an unspecified rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]		370
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]		370

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		370
	NotSpecifiedRate	<NotSpecfdRate>	Or}	[1..1]		371

Used in element(s)

"NetDistributionRate" on page 236

6.112.1 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 640

Usage Guideline details

- on seev.031.001.16/CorporateActionOptionDetails/RateAndAmountDetails/NetDistributionRate/Amount

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)







6.112.2 AmountAndRateStatus


XML Tag: AmtAndRateSts

Presence: [1..1]

Definition: Specifies an amount and a rate status.

The **AmtAndRateSts** block contains the following elements (see datatype "AmountAndRateStatus1_1" on page 48 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		48
	RateStatus	<RateSts>		[1..1]		49







6.112.3 RateTypeAndAmountAndRateStatus


XML Tag: RateTpAndAmtAndRateSts

Presence: [1..1]

Definition: Specifies different formats for the net dividend rate.

The **RateTpAndAmtAndRateSts** block contains the following elements (see datatype "RateTypeAndAmountAndStatus56_1" on page 455 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		455
	Amount	<Amt>		[1..1]		456
	RateStatus	<RateSts>		[0..1]		456

6.112.4 NotSpecifiedRate

XML Tag: NotSpcfdRate







Presence: [1..1]


Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 639

6.113 NetDividendRateFormat39Choice__1

Definition: Choice between an amount or an unspecified rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]		371
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]		372
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		372
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		372

Used in element(s)

"NetDistributionRate" on page 424

6.113.1 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 640

Usage Guideline details

- on seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/Amount

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)

6.113.2 AmountAndRateStatus

XML Tag: AmtAndRateSts

Presence: [1..1]

Definition: Specifies an amount and a rate status.

The **AmtAndRateSts** block contains the following elements (see datatype "[AmountAndRateStatus1](#)" on page 48 for details)

❌ Removed - ⊗ Partial Removed - ◇ Ignored - ⊠ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]	⚠	48
	RateStatus	<RateSts>		[1..1]		49

6.113.3 RateTypeAndAmountAndRateStatus

XML Tag: RateTpAndAmtAndRateSts

Presence: [1..1]

Definition: Specifies different formats for the net dividend rate.

The **RateTpAndAmtAndRateSts** block contains the following elements (see datatype "[RateTypeAndAmountAndStatus58](#)" on page 458 for details)

❌ Removed - ⊗ Partial Removed - ◇ Ignored - ⊠ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		458
	Amount	<Amt>		[1..1]	⚠	458
	RateStatus	<RateSts>		[0..1]		459

6.113.4 NotSpecifiedRate

XML Tag: NotSpcedRate

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "[RateValueType7Code](#)" on page 639

6.114 NonEligibleProceedsIndicator5Choice__1

Definition: Choice between a standard code or proprietary code to specify the eligibility of outturn resources.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		373
	Proprietary	<Prtry>	Or}	[1..1]		373

Used in element(s)

"NonEligibleProceedsIndicator" on page 475, "NonEligibleProceedsIndicator" on page 70

6.114.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify information regarding the non eligibility of the outturn resources.

Datatype: "NonEligibleProceedsIndicator2Code" on page 621

6.114.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification to specify information regarding the non eligibility of the outturn resources.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 326 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]	⚠	327
	SchemeName	<SchmeNm>		[0..1]	⚠	337

6.115 OfferTypeFormat18Choice__1

Definition: Choice between a standard code or proprietary code to specify the type of offer.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		374
	Proprietary	<Prtry>	Or}	[1..1]		374

Used in element(s)

"OfferType" on page 92

6.115.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the conditions that apply to the offer.

Datatype: "OfferType7Code" on page 621







6.115.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the conditions that apply to the offer.







The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 326 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327
	SchemeName	<SchmeNm>		[0..1]		337

6.116 OptionAvailabilityStatus3Choice__1

Definition: Choice between a standard code or proprietary code to specify the option availability status.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		375
	Proprietary	<Prtry>	Or}	[1..1]		375

Used in element(s)

"OptionAvailabilityStatus" on page 188

6.116.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the status of the option availability.

Datatype: ["OptionAvailabilityStatus1Code" on page 623](#)







6.116.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the status of the option availability.







The **Prtry** block contains the following elements (see datatype ["GenericIdentification30_1" on page 326](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327
	SchemeName	<SchmeNm>		[0..1]		337

6.117 OptionFeaturesFormat32Choice__1

Definition: Choice between a standard code and a proprietary code to specify the features that may apply to a corporate action option.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		375
	Proprietary	<Prtry>	Or}	[1..1]		376

Used in element(s)

["OptionFeatures" on page 187](#)

6.117.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the features that may apply to a corporate action option.

Datatype: ["OptionFeatures15Code" on page 624](#)







6.117.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the features that may apply to a corporate action option.







The **Prtry** block contains the following elements (see datatype "[GenericIdentification30__1](#)" on page 326 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327
	SchemeName	<SchmeNm>		[0..1]		337

6.118 OptionStyle8Choice__1

Definition: Choice of format for the option style.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		376
	Proprietary	<Prtry>	Or}	[1..1]		376

Used in element(s)

"OptionStyle" on page 269, "OptionStyle" on page 279

6.118.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Option style expressed as an ISO 20022 code.

Datatype: "[OptionStyle2Code](#)" on page 626







6.118.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Option style expressed as a proprietary code.







The **Prtry** block contains the following elements (see datatype "[GenericIdentification30__1](#)" on page 326 for details)



 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327
	SchemeName	<SchmeNm>		[0..1]		337

6.119 OriginalAndCurrentQuantities1__1

Definition: Original and current value of an asset-back instrument.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FaceAmount	<FaceAmt>		[1..1]		377
	AmortisedValue	<AmtsdVal>		[1..1]		377

Used in element(s)

"OriginalAndCurrentFace" on page 415

6.119.1 FaceAmount

XML Tag: FaceAmt

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal of a debt instrument.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 642

Usage Guideline details

- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/EntitledQuantity/OriginalAndCurrentFace/FaceAmount
- Type changed to: RestrictedFINImpliedCurrencyAndAmount on page 642

6.119.2 AmortisedValue

XML Tag: AmtsdVal

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 642







Usage Guideline details



- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/EntitledQuantity/OriginalAndCurrentFace/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 642

6.120 OriginalAndCurrentQuantities6__1

Definition: Signed face amount and amortised value.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		378
	FaceAmount	<FaceAmt>		[1..1]		378
	AmortisedValue	<AmtsdVal>		[1..1]		378

Used in element(s)

["OriginalAndCurrentFaceAmount"](#) on page 414

6.120.1 ShortLongPosition

XML Tag: ShrtLngPos

Presence: [1..1]

Definition: Sign of the quantity of security.

Datatype: ["ShortLong1Code"](#) on page 648

6.120.2 FaceAmount

XML Tag: FaceAmt

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: ["RestrictedFINImpliedCurrencyAndAmount"](#) on page 642

Usage Guideline details

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/Balance/QuantityChoice/OriginalAndCurrentFaceAmount/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 642

6.120.3 AmortisedValue

XML Tag: AmtsdVal

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.







Datatype: ["RestrictedFINImpliedCurrencyAndAmount" on page 642](#)




Usage Guideline details

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/Balance/QuantityChoice/OriginalAndCurrentFaceAmount/AmortisedValue](#)
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 642](#)

6.121 OtherIdentification1__1

Definition: Other accepted financial instrument's identification than ISIN.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		379
	Suffix	<Sfx>		[0..1]		380
	Type	<Tp>		[1..1]		380

Used in element(s)

["OtherIdentification" on page 491](#)

6.121.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification of a security.

Datatype: ["RestrictedFINXMax31Text" on page 644](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/OtherIdentification/Identification](#)
Type changed to: [RestrictedFINXMax31Text on page 644](#)
- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification/OtherIdentification/Identification](#)
Type changed to: [RestrictedFINXMax31Text on page 644](#)

- on [seev.031.001.16/CorporateActionOptionDetails/FinancialInstrumentIdentification/OtherIdentification/Identification](#)
Type changed to: [RestrictedFINXMax31Text](#) on page 644
- on [seev.031.001.16/IntermediateSecurity/SecurityIdentification/OtherIdentification/Identification](#)
Type changed to: [RestrictedFINXMax31Text](#) on page 644

6.121.2 Suffix

XML Tag: Sfx

Presence: [0..1]

Definition: Identifies the suffix of the security identification.

Datatype: ["Max16Text"](#) on page 615

Usage Guideline details

- This element([seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/OtherIdentification/Suffix](#)) is removed.







6.121.3 Type


XML Tag: Tp

Presence: [1..1]

Definition: Type of the identification.

The **Tp** block contains the following elements (see datatype ["IdentificationSource3Choice__1"](#) on page 358 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		358
	Proprietary	<Prtry>	Or}	[1..1]		358

Usage Guideline details

- on [seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/OtherIdentification/Type](#)
 - Financial Instrument Identification Rule:
DTCC will only use CUSIP.
- on [seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/OtherIdentification/Type](#)

Synonym (DTCC): Event Security ID Type

6.122 Pagination1

Definition: Number used to sequence pages when it is not possible for data to be conveyed in a single message and the data has to be split across several pages (messages).

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PageNumber	<PgNb>		[1..1]		381
	LastPageIndicator	<LastPgInd>		[1..1]		381

Used in element(s)

"Pagination" on page 25

6.122.1 PageNumber

XML Tag: PgNb

Presence: [1..1]

Definition: Page number.

Datatype: "Max5NumericText" on page 617

6.122.2 LastPageIndicator

XML Tag: LastPgInd

Presence: [1..1]

Definition: Indicates the last page.

Datatype: "YesNoIndicator" on page 650

6.123 PartyIdentification120Choice__1

Definition: Choice between different formats for the identification of a party.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		382
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		382
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		382

Used in element(s)

"DropAgent" on page 35, "InformationAgent" on page 36, "PayingAgent" on page 33, "PhysicalSecuritiesAgent" on page 35, "Registrar" on page 34, "ResellingAgent" on page 34, "SolicitationAgent" on page 36, "SubPayingAgent" on page 33

6.123.1 AnyBIC

XML Tag: AnyBIC

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Datatype: "AnyBICDec2014Identifier" on page 516







6.123.2 ProprietaryIdentification




XML Tag: PrtryId

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **PrtryId** block contains the following elements (see datatype "GenericIdentification36_2" on page 349 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		349
	Issuer	<Issr>		[1..1]		350
	SchemeName	<SchmeNm>		[0..1]		352







6.123.3 NameAndAddress



XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of a party.

The **NmAndAdr** block contains the following elements (see datatype "NameAndAddress5_1" on page 367 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		367
	Address	<Adr>		[0..1]		368

6.124 PartyIdentification127Choice__1

Definition: Choice of identification of a party.

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		383
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		383

Used in element(s)

"AccountOwner" on page 42, "Borrower" on page 66

6.124.1 AnyBIC

XML Tag: AnyBIC

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Datatype: "AnyBICDec2014Identifier" on page 516

6.124.2 ProprietaryIdentification

XML Tag: PrtryId

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **PrtryId** block contains the following elements (see datatype "GenericIdentification36__2" on page 349 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	⚠	349
	Issuer	<Issr>		[1..1]	⚠	350
	SchemeName	<SchmeNm>		[0..1]	⚠	352

6.125 PartyIdentification129Choice__1

Definition: Choice between different formats for the identification of a party.

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		384
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		384
	NameAndAddress	<NmAndAdr>	Or	[1..1]		384
	LEI	<LEI>	Or}	[1..1]		385

Used in element(s)

"Issuer" on page 37, "IssuerAgent" on page 32, "Offeror" on page 37, "TransferAgent" on page 38

6.125.1 AnyBIC

XML Tag: AnyBIC

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Datatype: "AnyBICDec2014Identifier" on page 516







6.125.2 ProprietaryIdentification




XML Tag: PrtryId

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **PrtryId** block contains the following elements (see datatype "GenericIdentification36_2" on page 349 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		349
	Issuer	<Issr>		[1..1]		350
	SchemeName	<SchmeNm>		[0..1]		352

6.125.3 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of a party.

The **NmAndAdr** block contains the following elements (see datatype "NameAndAddress5_1" on page 367 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		367
	Address	<Adr>		[0..1]		368

6.125.4 LEI

XML Tag: LEI

Presence: [1..1]

Definition: Legal entity identification of the party.

Datatype: "LEIIdentifier" on page 613

6.126 PercentagePrice2

Definition: Price expressed as a percentage price.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePriceType	<PctgPricTp>		[1..1]		385
	PriceValue	<PricVal>		[1..1]		385

Used in element(s)

"PercentagePrice" on page 398, "PercentagePrice" on page 400, "PercentagePrice" on page 396

6.126.1 PercentagePriceType

XML Tag: PctgPricTp

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 630

6.126.2 PriceValue

XML Tag: PricVal

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "Percentage14Rate" on page 628

6.127 Period11

Definition: Time span defined by a start date and time, and an end date and time.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	StartDate	<StartDt>		[1..1]		386
	EndDate	<EndDt>		[1..1]		386

Used in element(s)

"Period" on page 389

6.127.1 StartDate

XML Tag: StartDt

Presence: [1..1]

Definition: Date and time at which the range starts.

The **StartDt** block contains the following elements (see datatype "[DateFormat45Choice](#)" on page 249 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or}	[1..1]		250
	NotSpecifiedDate	<NotSpcfdDt>	Or}	[1..1]		250

6.127.2 EndDate

XML Tag: EndDt

Presence: [1..1]

Definition: Date and time at which the range ends.







The **EndDt** block contains the following elements (see datatype "[DateFormat45Choice](#)" on page 249 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or}	[1..1]		250
	NotSpecifiedDate	<NotSpcfdDt>	Or}	[1..1]		250

6.128 Period12Choice

Definition: Choice between a period or a period code.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		387
	PeriodCode	<PrdCd>	Or}	[1..1]		387

Used in element(s)

"BookClosurePeriod" on page 204, "ClaimPeriod" on page 201, "CoDepositoriesSuspensionPeriod" on page 205, "CompulsoryPurchasePeriod" on page 201, "DepositorySuspensionPeriodForBookEntryTransfer" on page 201, "DepositorySuspensionPeriodForDeposit" on page 202, "DepositorySuspensionPeriodForDepositAtAgent" on page 202, "DepositorySuspensionPeriodForPledge" on page 203, "DepositorySuspensionPeriodForSegregation" on page 203, "DepositorySuspensionPeriodForWithdrawal" on page 208, "DepositorySuspensionPeriodForWithdrawalAtAgent" on page 203, "DepositorySuspensionPeriodForWithdrawalInNomineeName" on page 204, "DepositorySuspensionPeriodForWithdrawalInStreetName" on page 204, "FiscalYearPeriod" on page 205, "InterestPeriod" on page 200, "ParallelTradingPeriod" on page 207, "PriceCalculationPeriod" on page 206, "SplitPeriod" on page 205, "TradingPeriod" on page 480







6.128.1 Period

XML Tag: Prd

Presence: [1..1]

Definition: Time span defined by a start date and time, and an end date and time.

The **Prd** block contains the following elements (see datatype "Period18" on page 387 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	StartDate	<StartDt>		[1..1]		388
	EndDate	<EndDt>		[1..1]		388

6.128.2 PeriodCode

XML Tag: PrdCd







Presence: [1..1]

Definition: Standard code to specify the type of period.

Datatype: "DateType8Code" on page 579

6.129 Period18

Definition: Time span defined by a start date and time, and an end date and time.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	StartDate	<StartDt>		[1..1]		388
	EndDate	<EndDt>		[1..1]		388

Used in element(s)

"Period" on page 387, "TradingPeriod" on page 290







6.129.1 StartDate

XML Tag: StartDt

Presence: [1..1]

Definition: Date and time at which the range starts.

The **StartDt** block contains the following elements (see datatype "DateFormat73Choice" on page 253 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		253
	NotSpecifiedDate	<NotSpctdDt>	Or}	[1..1]		253







6.129.2 EndDate

XML Tag: EndDt

Presence: [1..1]

Definition: Date and time at which the range ends.







The **EndDt** block contains the following elements (see datatype "DateFormat73Choice" on page 253 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		253
	NotSpecifiedDate	<NotSpctdDt>	Or}	[1..1]		253

6.130 Period6Choice

Definition: Choice between a period or a period code.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		389
	PeriodCode	<PrdCd>	Or}	[1..1]		389

Used in element(s)

"AccountServicerRevocabilityPeriod" on page 208, "ActionPeriod" on page 207, "PrivilegeSuspensionPeriod" on page 208, "RevocabilityPeriod" on page 207







6.130.1 Period

XML Tag: Prd

Presence: [1..1]

Definition: Time span defined by a start date and time, and an end date and time.

The **Prd** block contains the following elements (see datatype "Period11" on page 385 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	StartDate	<StartDt>		[1..1]		386
	EndDate	<EndDt>		[1..1]		386

6.130.2 PeriodCode

XML Tag: PrdCd







Presence: [1..1]

Definition: Standard code to specify the type of period.

Datatype: "DateType8Code" on page 579

6.131 PostalAddress1

Definition: Information that locates and identifies a specific address, as defined by postal services.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		390
	AddressLine	<AdrLine>		[0..5]		390
	StreetName	<StrtNm>		[0..1]		390
	BuildingNumber	<BldgNb>		[0..1]		390
	PostCode	<PstCd>		[0..1]		390

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TownName	<TwnNm>		[0..1]		391
	CountrySubDivision	<CtrySubDvsn>		[0..1]		391
	Country	<Ctry>		[1..1]		391

Used in element(s)

"Address" on page 368

6.131.1 AddressType

XML Tag: AdrTp

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 513

6.131.2 AddressLine

XML Tag: AdrLine

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 617

6.131.3 StreetName

XML Tag: StrtNm

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 617

6.131.4 BuildingNumber

XML Tag: BldgNb

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 615

6.131.5 PostCode

XML Tag: PstCd

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: ["Max16Text" on page 615](#)

6.131.6 TownName

XML Tag: TwnNm

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: ["Max35Text" on page 616](#)

6.131.7 CountrySubDivision

XML Tag: CtrySubDvsn

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: ["Max35Text" on page 616](#)

6.131.8 Country

XML Tag: Ctry







Presence: [1..1]

Definition: Nation with its own government.

Datatype: ["CountryCode" on page 577](#)

6.132 PriceCalculationMethod2Choice__1

Definition: Choice between a standard code or proprietary code to specify the method applied for the price calculation.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		391
	Proprietary	<Prtry>	Or}	[1..1]		392

Used in element(s)

["PriceCalculationMethod" on page 73](#)

6.132.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the method applied for the calculation of the price.

Datatype: ["PriceCalculationMethod1Code" on page 629](#)







6.132.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification to specify the method applied for the calculation of the price.







The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 326 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327
	SchemeName	<SchmeNm>		[0..1]		337

6.133 PriceDetails38__1

Definition: Provides information about the prices related to a corporate action option.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	GenericCashPricePaidPerProduct	<GncCshPricPdPerPdct>		[0..1]		392
	GenericCashPriceReceivedPerProduct	<GncCshPricRcvdPerPdct>		[0..1]		393
	CashInLieuOfSharePrice	<CshInLieuOfShrPric>		[0..1]		393

Guidelines

1. GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

Used in element(s)

"PriceDetails" on page 78

6.133.1 GenericCashPricePaidPerProduct

XML Tag: GncCshPricPdPerPdct

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

The **GncCshPricPdPerPdct** block contains the following elements (see datatype "PriceFormat73Choice 1" on page 398 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		398
	AmountPrice	<AmtPric>	Or	[1..1]		399
	NotSpecifiedPrice	<NotSpctdPric>	Or	[1..1]		399
	IndexPoints	<IndxPts>	Or}	[1..1]	⚠	399

6.133.2 GenericCashPriceReceivedPerProduct

XML Tag: GncCshPricRcvdPerPdct

Presence: [0..1]

Definition: Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

The **GncCshPricRcvdPerPdct** block contains the following elements (see datatype "PriceFormat72Choice 1" on page 395 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		396
	AmountPrice	<AmtPric>	Or	[1..1]		396
	NotSpecifiedPrice	<NotSpctdPric>	Or	[1..1]		397
	AmountPricePerFinancialInstrumentQuantity	<AmtPricPerFinInstrmQty>	Or	[1..1]		397
	AmountPricePerAmount	<AmtPricPerAmt>	Or	[1..1]		397
	IndexPoints	<IndxPts>	Or}	[1..1]	⚠	397

6.133.3 CashInLieuOfSharePrice

XML Tag: CshInLieuOfShrPric

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.







The **CshInLieuOfShrPric** block contains the following elements (see datatype "PriceFormat74Choice 1" on page 400 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		400
	AmountPrice	<AmtPric>	Or	[1..1]		401
	NotSpecifiedPrice	<NotSpctdPric>	Or}	[1..1]		401

6.134 PriceFormat46Choice__1

Definition: Choice between a percentage price or an amount price or an unspecified price.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPrice	<AmtPric>	{Or	[1..1]		394
	NotSpecifiedPrice	<NotSpctdPric>	Or}	[1..1]		394

Used in element(s)

"CashValueForTax" on page 210







6.134.1 AmountPrice


XML Tag: AmtPric

Presence: [1..1]

Definition: Price expressed as a currency and amount.

The **AmtPric** block contains the following elements (see datatype "AmountPrice2__1" on page 49 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		49
	PriceValue	<PricVal>		[1..1]		49

6.134.2 NotSpecifiedPrice

XML Tag: NotSpctdPric

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType10Code" on page 630

6.135 PriceFormat61Choice__1

Definition: Choice between a percentage price or an amount price or an unspecified price.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPrice	<AmtPric>	{Or	[1..1]		395
	NotSpecifiedPrice	<NotSpctdPric>	Or}	[1..1]		395

Used in element(s)

"MaximumCashToInstruct" on page 215, "MinimumCashToInstruct" on page 215, "MinimumMultipleCashToInstruct" on page 215

6.135.1 AmountPrice

XML Tag: AmtPric

Presence: [1..1]

Definition: Price expressed as a currency and amount.

The **AmtPric** block contains the following elements (see datatype "AmountPrice6__1" on page 52 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		52
	PriceValue	<PricVal>		[1..1]	⚠	52

6.135.2 NotSpecifiedPrice

XML Tag: NotSpctdPric

Presence: [1..1]


Definition: Value of the price not specified.

Datatype: "PriceValueType10Code" on page 630

6.136 PriceFormat72Choice__1

Definition: Choice between a percentage price or an amount price or an unspecified price or an amount price per amount or an amount price per financial instrument quantity.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		396
	AmountPrice	<AmtPric>	Or	[1..1]		396
	NotSpecifiedPrice	<NotSpctdPric>	Or	[1..1]		397
	AmountPricePerFinancialInstrumentQuantity	<AmtPricPerFinInstrmQty>	Or	[1..1]		397
	AmountPricePerAmount	<AmtPricPerAmt>	Or	[1..1]		397
	IndexPoints	<IndxPts>	Or}	[1..1]		397

Used in element(s)

"GenericCashPriceReceivedPerProduct" on page 211, "GenericCashPriceReceivedPerProduct" on page 393







6.136.1 PercentagePrice

XML Tag: PctgPric

Presence: [1..1]

Definition: Price expressed as a percentage.

The **PctgPric** block contains the following elements (see datatype "PercentagePrice2" on page 385 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePriceType	<PctgPricTp>		[1..1]		385
	PriceValue	<PricVal>		[1..1]		385







6.136.2 AmountPrice


XML Tag: AmtPric

Presence: [1..1]

Definition: Price expressed as a currency and amount.

The **AmtPric** block contains the following elements (see datatype "AmountPrice3_1" on page 50 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		50
	PriceValue	<PricVal>		[1..1]		50

6.136.3 NotSpecifiedPrice

XML Tag: NotSpcfdPric

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType8Code" on page 630







6.136.4 AmountPricePerFinancialInstrumentQuantity


XML Tag: AmtPricPerFinInstrmQty

Presence: [1..1]

Definition: Price expressed as a ratio: amount price per financial instrument quantity.

The **AmtPricPerFinInstrmQty** block contains the following elements (see datatype "AmountPricePerFinancialInstrumentQuantity10_1" on page 54 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		54
	PriceValue	<PricVal>		[1..1]		55
	FinancialInstrumentQuantity	<FinInstrmQty>		[1..1]		55







6.136.5 AmountPricePerAmount



XML Tag: AmtPricPerAmt

Presence: [1..1]

Definition: Price expressed as a ratio: amount price per amount.

The **AmtPricPerAmt** block contains the following elements (see datatype "AmountPricePerAmount2_1" on page 53 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		53
	PriceValue	<PricVal>		[1..1]		53
	Amount	<Amt>		[1..1]		54

6.136.6 IndexPoints

XML Tag: IndxPts

Presence: [1..1]

Definition: Price expressed in index points.

Datatype: ["RestrictedFINDecimalNumber" on page 642](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/IndexPoints](#)
Type changed to: [RestrictedFINDecimalNumber on page 642](#)
- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/IndexPoints](#)
Type changed to: [RestrictedFINDecimalNumber on page 642](#)

6.137 PriceFormat73Choice__1

Definition: Choice between a percentage price or an amount price or an unspecified price or index points.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		398
	AmountPrice	<AmtPric>	Or	[1..1]		399
	NotSpecifiedPrice	<NotSpctdPric>	Or	[1..1]		399
	IndexPoints	<IndxPts>	Or}	[1..1]	⚠	399

Used in element(s)

["FirstBidIncrementPrice" on page 213](#), ["FirstBidIncrementPrice" on page 217](#), ["GenericCashPricePaidPerProduct" on page 210](#), ["GenericCashPricePaidPerProduct" on page 392](#), ["LastBidIncrementPrice" on page 213](#), ["LastBidIncrementPrice" on page 217](#), ["MaximumPrice" on page 212](#), ["MaximumPrice" on page 216](#), ["MinimumPrice" on page 212](#), ["MinimumPrice" on page 216](#)

6.137.1 PercentagePrice

XML Tag: PctgPric

Presence: [1..1]

Definition: Price expressed as a percentage.

The **PctgPric** block contains the following elements (see datatype ["PercentagePrice2" on page 385](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePriceType	<PctgPricTp>		[1..1]		385

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PriceValue	<PricVal>		[1..1]		385







6.137.2 AmountPrice


XML Tag: AmtPric

Presence: [1..1]

Definition: Price expressed as a currency and amount.

The **AmtPric** block contains the following elements (see datatype "[AmountPrice3_1](#)" on page 50 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		50
	PriceValue	<PricVal>		[1..1]		50

6.137.3 NotSpecifiedPrice

XML Tag: NotSpctdPric

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "[PriceValueType10Code](#)" on page 630

6.137.4 IndexPoints

XML Tag: IndxPts

Presence: [1..1]

Definition: Price expressed as an index points.

Datatype: "[RestrictedFINDecimalNumber](#)" on page 642

Usage Guideline details

- on seev.031.001.16/CorporateActionOptionDetails/PriceDetails/LastBidIncrementPrice/IndexPoints**
 Type changed to: [RestrictedFINDecimalNumber](#) on page 642
- on seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/IndexPoints**
 Type changed to: [RestrictedFINDecimalNumber](#) on page 642
- on seev.031.001.16/CorporateActionOptionDetails/PriceDetails/MinimumPrice/IndexPoints**
 Type changed to: [RestrictedFINDecimalNumber](#) on page 642

- on **seev.031.001.16/CorporateActionOptionDetails/PriceDetails/MaximumPrice/IndexPoints**
Type changed to: [RestrictedFINDecimalNumber](#) on page 642
- on **seev.031.001.16/CorporateActionDetails/PriceDetails/FirstBidIncrementPrice/IndexPoints**
Type changed to: [RestrictedFINDecimalNumber](#) on page 642
- on **seev.031.001.16/CorporateActionOptionDetails/PriceDetails/FirstBidIncrementPrice/IndexPoints**
Type changed to: [RestrictedFINDecimalNumber](#) on page 642
- on **seev.031.001.16/CorporateActionDetails/PriceDetails/LastBidIncrementPrice/IndexPoints**
Type changed to: [RestrictedFINDecimalNumber](#) on page 642
- on **seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/IndexPoints**
Type changed to: [RestrictedFINDecimalNumber](#) on page 642
- on **seev.031.001.16/CorporateActionDetails/PriceDetails/MinimumPrice/IndexPoints**
Type changed to: [RestrictedFINDecimalNumber](#) on page 642
- on **seev.031.001.16/CorporateActionDetails/PriceDetails/MaximumPrice/IndexPoints**
Type changed to: [RestrictedFINDecimalNumber](#) on page 642

6.138 PriceFormat74Choice__1

Definition: Choice between a percentage price or an amount price or an unspecified price.

❌ Removed - ❌ Partial Removed - ◆ Ignored - ◆ Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		400
	AmountPrice	<AmtPric>	Or	[1..1]		401
	NotSpecifiedPrice	<NotSpctdPric>	Or}	[1..1]		401

Used in element(s)

"CashInLieuOfSharePrice" on page 214, "CashInLieuOfSharePrice" on page 210, "CashInLieuOfSharePrice" on page 393, "IndicativePrice" on page 359, "IssuePrice" on page 286, "MarketPrice" on page 360, "OverSubscriptionDepositPrice" on page 214







6.138.1 PercentagePrice

XML Tag: PctgPric

Presence: [1..1]

Definition: Price expressed as a percentage.

The **PctgPric** block contains the following elements (see datatype "[PercentagePrice2](#)" on page 385 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePriceType	<PctgPricTp>		[1..1]		385
	PriceValue	<PricVal>		[1..1]		385







6.138.2 AmountPrice


XML Tag: AmtPric

Presence: [1..1]

Definition: Price expressed as a currency and amount.

The **AmtPric** block contains the following elements (see datatype "AmountPrice3_1" on page 50 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		50
	PriceValue	<PricVal>		[1..1]		50

6.138.3 NotSpecifiedPrice

XML Tag: NotSpctdPric







Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType10Code" on page 630

6.139 ProcessingPosition7Choice__1

Definition: Choice of format for the processing position.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		402
	Proprietary	<Prtry>	Or}	[1..1]		402

Rules

R44 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can

not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

Error handling: Undefined

Used in element(s)

"LinkageType" on page 258, "LinkageType" on page 259, "LinkageType" on page 161

6.139.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Processing position expressed as an ISO 20022 code.

Datatype: "ProcessingPosition3Code" on page 632

6.139.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Processing position expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30_1" on page 326 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]	⚠	327
	SchemeName	<SchmeNm>		[0..1]	⚠	337

6.140 ProprietaryQuantity7__1

Definition: Proprietary quantity format.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[0..1]		403
	Quantity	<Qty>		[1..1]	⚠	403
	QuantityType	<QtyTp>		[1..1]		403
	Issuer	<Issr>		[1..1]	⚠	403
	SchemeName	<SchmeNm>		[0..1]	⚠	404

Used in element(s)

["ProprietaryQuantity" on page 413](#)

6.140.1 ShortLongPosition

XML Tag: ShrtLngPos

Presence: [0..1]

Definition: Sign of the quantity of security.

Datatype: ["ShortLong1Code" on page 648](#)

6.140.2 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Provides the proprietary quantity with a decimal number.

Datatype: ["RestrictedFINDecimalNumber" on page 642](#)

Usage Guideline details

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/Balance/ProprietaryQuantity/Quantity](#)

Type changed to: [RestrictedFINDecimalNumber on page 642](#)

6.140.3 QuantityType

XML Tag: QtyTp

Presence: [1..1]

Definition: Identifies the type of proprietary quantity reported.

Datatype: ["Exact4AlphaNumericText" on page 590](#)

6.140.4 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Provides information related to issuer in free format.

Datatype: ["Max4AlphaNumericText" on page 616](#)

Usage Guideline details

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/Balance/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

6.140.5 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: "Max4AlphaNumericText" on page 616

Usage Guideline details

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/Balance/ProprietaryQuantity/SchemeName

Type changed to: Max4AlphaNumericText on page 616

6.141 ProprietaryQuantity8__1

Definition: Proprietary quantity format.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>		[1..1]	⚠	404
	QuantityType	<QtyTp>		[1..1]		406
	Issuer	<Issr>		[1..1]	⚠	406
	SchemeName	<SchmeNm>		[0..1]	⚠	408

Used in element(s)

"ProprietaryQuantity" on page 411

6.141.1 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Provides the proprietary quantity with a decimal number.

Datatype: "RestrictedFINDecimalNumber" on page 642

Usage Guideline details

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity
 Type changed to: RestrictedFINDecimalNumber on page 642
- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/RegisteredBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 642](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 642](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/OutForRegistrationBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 642](#)

- on seev.031.001.16/IntermediateSecurity/InstructedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 642](#)

- on seev.031.001.16/IntermediateSecurity/UninstructedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 642](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 642](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/TradeDatePositionBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 642](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 642](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/BlockedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 642](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/InTransshipmentBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 642](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOutBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 642](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 642](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 642](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 642](#)

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity](#)

Type changed to: [RestrictedFINDecimalNumber on page 642](#)

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/StreetPositionBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity](#)

Type changed to: [RestrictedFINDecimalNumber on page 642](#)

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity](#)

Type changed to: [RestrictedFINDecimalNumber on page 642](#)

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceiptBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity](#)

Type changed to: [RestrictedFINDecimalNumber on page 642](#)

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity](#)

Type changed to: [RestrictedFINDecimalNumber on page 642](#)

6.141.2 QuantityType

XML Tag: QtyTp

Presence: [1..1]

Definition: Identifies the type of proprietary quantity reported.

Datatype: ["Exact4AlphaNumericText" on page 590](#)

6.141.3 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Provides information related to issuer in free format.

Datatype: ["Max4AlphaNumericText" on page 616](#)

Usage Guideline details

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/IntermediateSecurity/InstructedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/IntermediateSecurity/UninstructedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOutBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/InTransshipmentBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/StreetPositionBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/OutForRegistrationBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceiptBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/TradeDatePositionBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/RegisteredBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/BlockedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

6.141.4 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: ["Max4AlphaNumericText" on page 616](#)

Usage Guideline details

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/BlockedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/OutForRegistrationBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/IntermediateSecurity/InstructedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/InTransshipmentBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/IntermediateSecurity/UninstructedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOutBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/TradeDatePositionBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/StreetPositionBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceiptBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName

Type changed to: [Max4AlphaNumericText on page 616](#)

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/RegisteredBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName







Type changed to: [Max4AlphaNumericText on page 616](#)

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 616](#)

6.142 ProrationBelowMinimumQuantity3Choice__1

Definition: Choice between a standard code or proprietary code to specify the proration type below minimum quantity.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		410
	Proprietary	<Prtry>	Or}	[1..1]		410

Used in element(s)

"ProrationBelowMinimumQuantity" on page 189

6.142.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of proration below minimum quantity.

Datatype: ["ProrationBelowMinimumQuantity2Code" on page 633](#)







6.142.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the proration below minimum quantity type.

The **Prtry** block contains the following elements (see datatype ["GenericIdentification30__1" on page 326](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327
	SchemeName	<SchmeNm>		[0..1]		337

6.143 Quantity48Choice__1

Definition: Choice between different quantity of security formats.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		411
	ProprietaryQuantity	<PrtryQty>	Or}	[1..1]	🚫	411

Used in element(s)

"QuantityChoice" on page 494

6.143.1 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Quantity of security.

The **Qty** block contains the following elements (see datatype "FinancialInstrumentQuantity33Choice__1" on page 291 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]	⚠	291
	FaceAmount	<FaceAmt>	Or	[1..1]	⚠	299
	AmortisedValue	<AmtsdVal>	Or	[1..1]	🚫 ⚠	306
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		313

6.143.2 ProprietaryQuantity

XML Tag: PrtryQty

Presence: [1..1]

Definition: Proprietary quantity of security format.

The **PrtryQty** block contains the following elements (see datatype "ProprietaryQuantity8__1" on page 404 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>		[1..1]	⚠	404

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityType	<QtyTp>		[1..1]		406
	Issuer	<Issr>		[1..1]	⚠	406
	SchemeName	<SchmeNm>		[0..1]	⚠	408

Usage Guideline details

- This element(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance/Balance/QuantityChoice/ProprietaryQuantity) is removed.
- This element(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance/Balance/QuantityChoice/ProprietaryQuantity) is removed.
- This element(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/Balance/QuantityChoice/ProprietaryQuantity) is removed.
- This element(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/ProprietaryQuantity) is removed.
- This element(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/Balance/QuantityChoice/ProprietaryQuantity) is removed.
- This element(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/Balance/QuantityChoice/ProprietaryQuantity) is removed.
- This element(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOutBalance/Balance/QuantityChoice/ProprietaryQuantity) is removed.

6.144 Quantity49Choice__1

Definition: Choice between different quantity of security formats.

⓪ Removed - ⓪ Partial Removed - ⬢ Ignored - ⬢ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityChoice	<QtyChc>	{Or	[1..1]		412
	ProprietaryQuantity	<PrtryQty>	Or}	[1..1]	⓪	413

Used in element(s)

"Balance" on page 499

6.144.1 QuantityChoice

XML Tag: QtyChc

Presence: [1..1]

Definition: Choice between different quantity of security formats.

The **QtyChc** block contains the following elements (see datatype "[Quantity50Choice__1](#)" on page 413 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	OriginalAndCurrentFaceAmount	<OrgnlAndCurFaceAmt>	{Or	[1..1]	🚫	414
	SignedQuantity	<SgndQty>	Or}	[1..1]		414

6.144.2 ProprietaryQuantity

XML Tag: PrtryQty

Presence: [1..1]

Definition: Proprietary quantity of security format.

The **PrtryQty** block contains the following elements (see datatype "[ProprietaryQuantity7__1](#)" on page 402 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[0..1]		403
	Quantity	<Qty>		[1..1]	⚠	403
	QuantityType	<QtyTp>		[1..1]		403
	Issuer	<Issr>		[1..1]	⚠	403
	SchemeName	<SchmeNm>		[0..1]	⚠	404

Usage Guideline details

- This element(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/Balance/ProprietaryQuantity) is removed.

6.145 Quantity50Choice__1

Definition: Choice between different quantity of security formats.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	OriginalAndCurrentFaceAmount	<OrgnlAndCurFaceAmt>	{Or	[1..1]	🚫	414
	SignedQuantity	<SgndQty>	Or}	[1..1]		414

Used in element(s)

"QuantityChoice" on page 412

6.145.1 OriginalAndCurrentFaceAmount

XML Tag: OrgnlAndCurFaceAmt

Presence: [1..1]

Definition: Signed face amount and amortised value of security.

The **OrgnlAndCurFaceAmt** block contains the following elements (see datatype "OriginalAndCurrentQuantities6__1" on page 378 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		378
	FaceAmount	<FaceAmt>		[1..1]	⚠	378
	AmortisedValue	<AmtsdVal>		[1..1]	⚠	378

Usage Guideline details

- This element(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/Balance/QuantityChoice/OriginalAndCurrentFaceAmount) is removed.

6.145.2 SignedQuantity

XML Tag: SgndQty

Presence: [1..1]

Definition: Signed quantity of security.

The **SgndQty** block contains the following elements (see datatype "SignedQuantityFormat10__1" on page 492 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		492
	Quantity	<Qty>		[1..1]		493

6.146 Quantity51Choice__1

Definition: Choice of format for the quantity.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		415
	OriginalAndCurrentFace	<OrgnlAndCurFace>	Or}	[1..1]		415

Used in element(s)

"EntitledQuantity" on page 478







6.146.1 Quantity




XML Tag: Qty

Presence: [1..1]

Definition: Quantity of financial instrument in units, original face amount or current face amount.

The **Qty** block contains the following elements (see datatype "[FinancialInstrumentQuantity33Choice_1](#)" on page 291 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		291
	FaceAmount	<FaceAmt>	Or	[1..1]		299
	AmortisedValue	<AmtsdVal>	Or	[1..1]		306
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		313







6.146.2 OriginalAndCurrentFace



XML Tag: OrgnlAndCurFace

Presence: [1..1]

Definition: Original and current value of an asset-back instrument.

The **OrgnlAndCurFace** block contains the following elements (see datatype "[OriginalAndCurrentQuantities1_1](#)" on page 377 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FaceAmount	<FaceAmt>		[1..1]		377
	AmortisedValue	<AmtsdVal>		[1..1]		377

6.147 QuantityToQuantityRatio1__1

Definition: Ratio expressed as a quotient of quantities.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity1	<Qty1>		[1..1]		416
	Quantity2	<Qty2>		[1..1]		416

Used in element(s)

["IntermediateSecuritiesToUnderlyingRatio"](#) on page 288, ["QuantityToQuantity"](#) on page 462, ["QuantityToQuantity"](#) on page 463, ["WarrantParity"](#) on page 274

6.147.1 Quantity1

XML Tag: Qty1

Presence: [1..1]

Definition: Numerator of the quotient of quantities.

Datatype: ["RestrictedFINDecimalNumber"](#) on page 642

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/QuantityToQuantity/Quantity1](#)
 Type changed to: [RestrictedFINDecimalNumber](#) on page 642
- on [seev.031.001.16/IntermediateSecurity/IntermediateSecuritiesToUnderlyingRatio/Quantity1](#)
 Type changed to: [RestrictedFINDecimalNumber](#) on page 642
- on [seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/WarrantParity/Quantity1](#)
 Type changed to: [RestrictedFINDecimalNumber](#) on page 642
- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToQuantity/Quantity1](#)
 Type changed to: [RestrictedFINDecimalNumber](#) on page 642
- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForSubscribedResultantSecurities/QuantityToQuantity/Quantity1](#)
 Type changed to: [RestrictedFINDecimalNumber](#) on page 642

6.147.2 Quantity2

XML Tag: Qty2

Presence: [1..1]

Definition: Denominator of the quotient of quantities.

Datatype: ["RestrictedFINDecimalNumber"](#) on page 642

Usage Guideline details

- on **seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/QuantityToQuantity/Quantity2**
Type changed to: RestrictedFINDecimalNumber on page 642
- on **seev.031.001.16/IntermediateSecurity/IntermediateSecuritiesToUnderlyingRatio/Quantity2**
Type changed to: RestrictedFINDecimalNumber on page 642
- on **seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/WarrantParity/Quantity2**
Type changed to: RestrictedFINDecimalNumber on page 642
- on **seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToQuantity/Quantity2**
Type changed to: RestrictedFINDecimalNumber on page 642
- on **seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForSubscribedResultantSecurities/QuantityToQuantity/Quantity2**
Type changed to: RestrictedFINDecimalNumber on page 642

6.148 Rate48__1

Definition: Provides information about the rates related to securities movement.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalTax	<AddtlTax>		[0..1]		420
	GrossDistributionRate	<GrssDstrbtnRate>		[0..*]		420
	GrossInterestRateUsedForPayment	<GrssIntrstRateUsd-ForPmt>		[0..*]		421
	WithholdingTaxRate	<WhldgTaxRate>		[0..*]		421
	SecondLevelTax	<ScndLvTax>		[0..*]		422
	ChargesFees	<ChrgsFees>		[0..1]		422
	EarlySolicitationFeeRate	<EarlySlctnFeeRate>		[0..1]		423
	FiscalStamp	<FscIStmp>		[0..1]		423
	ThirdPartyIncentiveRate	<ThrdPtyIncntivRate>		[0..1]		423
	NetDistributionRate	<NetDstrbtnRate>		[0..*]		424
	ApplicableRate	<AplblRate>		[0..1]		424
	SolicitationFeeRate	<SlctnFeeRate>		[0..1]		425
	TaxCreditRate	<TaxCdtRate>		[0..1]		425

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TaxOnIncome	<TaxOnIncm>		[0..1]		425
	TaxOnProfits	<TaxOnPrfts>		[0..1]		426
	TaxReclaimRate	<TaxRclmRate>		[0..1]		426
	EqualisationRate	<EqulstnRate>		[0..1]		426
	DeemedRate	<DmdRate>		[0..*]		427

Rules

R100 NetDistributionRate1Rule

If NetDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 564 NVR C8).

Error handling: Undefined

R101 GrossDistributionRate2Rule

If GrossDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDistributionRate. (MT 564 NVR C8).

Error handling: Undefined

R102 GrossDistributionRate3Rule

Only a single occurrence of either GrossDistributionRate/Amount or GrossDistributionRate/AmountAndRateStatus or GrossDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

Error handling: Undefined

R103 NetDistributionRate2Rule

Only a single occurrence of either NetDistributionRate/Amount or NetDistributionRate/AmountAndRateStatus or NetDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

Error handling: Undefined

R104 SecondLevelTax3Rule ✓

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 564 NVR C19).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00388

- *Error Text:* Invalid message contents for WithholdingTaxRate. It should be present.

R105 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R106 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R107 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R108 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R109 DeemedRate1Rule

If DeemedRate is repeated, then DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Code values and DeemedRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of DeemedRate.

(MT 564 NVR C8).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R110 DeemedRate2Rule

Only a single occurrence of either DeemedRate/Amount or DeemedRate/Rate or DeemedRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

Used in element(s)

"RateAndAmountDetails" on page 77

6.148.1 AdditionalTax

XML Tag: AddtlTax

Presence: [0..1]

Definition: Rate used for additional tax that cannot be categorised.

The **AddtlTax** block contains the following elements (see datatype "RateAndAmountFormat57Choice_1" on page 430 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		431
	NotSpecifiedRate	<NotSpcfdRate>	Or	[1..1]		431
	Amount	<Amt>	Or}	[1..1]	⚠	431

6.148.2 GrossDistributionRate

XML Tag: GrssDstrbtnRate

Presence: [0..*]

Definition: Distribution rate before tax has been deducted.

The **GrssDstrbtrRate** block contains the following elements (see datatype "GrossDividendRateFormat38Choice 1" on page 355 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]	⚠	356
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]		356
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		356
	NotSpecifiedRate	<NotSpctdRate>	Or}	[1..1]		357

6.148.3 GrossInterestRateUsedForPayment

XML Tag: GrssIntrstRateUsdForPmt

Presence: [0..*]

Definition: The actual interest rate before tax used for the payment of the interest for the specified interest period.

Usage guideline: It is used to provide the applicable rate for the current payment, after all calculations have been performed, that is, application of period and method of interest computation.

The **GrssIntrstRateUsdForPmt** block contains the following elements (see datatype "InterestRateUsedForPaymentFormat11Choice 1" on page 362 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		362
	Amount	<Amt>	Or	[1..1]	⚠	362
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		363
	NotSpecifiedRate	<NotSpctdRate>	Or}	[1..1]		363







6.148.4 WithholdingTaxRate


XML Tag: WhldgTaxRate

Presence: [0..*]

Definition: Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

The **WhldgTaxRate** block contains the following elements (see datatype "RateAndAmountFormat60Choice 1" on page 435 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		435
	NotSpecifiedRate	<NotSpctdRate>	Or	[1..1]		435
	Amount	<Amt>	Or	[1..1]		436
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		436







6.148.5 SecondLevelTax


XML Tag: ScndLvITax

Presence: [0..*]

Definition: Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

The **ScndLvITax** block contains the following elements (see datatype "RateAndAmountFormat60Choice 1" on page 435 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		435
	NotSpecifiedRate	<NotSpctdRate>	Or	[1..1]		435
	Amount	<Amt>	Or	[1..1]		436
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		436







6.148.6 ChargesFees

XML Tag: ChrgsFees


Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

The **ChrgsFees** block contains the following elements (see datatype "RateAndAmountFormat57Choice 1" on page 430 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		431
	NotSpecifiedRate	<NotSpctdRate>	Or	[1..1]		431

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	Or}	[1..1]		431







6.148.7 EarlySolicitationFeeRate


XML Tag: EarlySlctnFeeRate

Presence: [0..1]

Definition: Cash rate made available, as an incentive, in addition to the solicitation fee, in order to encourage early participation in an offer.

The **EarlySlctnFeeRate** block contains the following elements (see datatype "[SolicitationFeeRateFormat11Choice 1](#)" on page 494 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		495
	AmountToQuantity	<AmtToQty>	Or	[1..1]		495
	Amount	<Amt>	Or	[1..1]		495
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		496







6.148.8 FiscalStamp

XML Tag: FscIStmp

Presence: [0..1]

Definition: Percentage of fiscal tax to apply.

The **FscIStmp** block contains the following elements (see datatype "[RateFormat24Choice](#)" on page 439 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		439
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		439

6.148.9 ThirdPartyIncentiveRate

XML Tag: ThrdPtyIncntivRate

Presence: [0..1]

Definition: Cash rate made available in an event in order to encourage participation in the offer. As information, payment is made to a third party who has solicited an entity to take part in the offer.

The **ThrdPtyIncentivRate** block contains the following elements (see datatype "RateFormat26Choice 1" on page 440 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		441
	Amount	<Amt>	Or	[1..1]	⚠	441
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		441

6.148.10 NetDistributionRate

XML Tag: NetDstrbtnRate

Presence: [0..*]

Definition: Distribution rate after tax has been deducted.

The **NetDstrbtnRate** block contains the following elements (see datatype "NetDividendRateFormat39Choice 1" on page 371 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]	⚠	371
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]		372
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		372
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		372

6.148.11 ApplicableRate

XML Tag: AplblRate

Presence: [0..1]

Definition: Rate applicable to the event announced, for example, redemption rate for a redemption event.

The **AplblRate** block contains the following elements (see datatype "RateFormat31Choice" on page 441 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		442
	NotSpecifiedRate	<NotSpcfdRate>	Or	[1..1]		442

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	Or}	[1..1]		442

6.148.12 SolicitationFeeRate

XML Tag: SlctnFeeRate

Presence: [0..1]

Definition: Rate of the cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fee.

The **SlctnFeeRate** block contains the following elements (see datatype "[SolicitationFeeRateFormat11Choice 1](#)" on page 494 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		495
	AmountToQuantity	<AmtToQty>	Or	[1..1]		495
	Amount	<Amt>	Or	[1..1]	⚠	495
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		496

6.148.13 TaxCreditRate

XML Tag: TaxCdtRate

Presence: [0..1]

Definition: Amount of money per equity allocated as the result of a tax credit.

The **TaxCdtRate** block contains the following elements (see datatype "[RateFormat26Choice 1](#)" on page 440 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		441
	Amount	<Amt>	Or	[1..1]	⚠	441
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		441

6.148.14 TaxOnIncome

XML Tag: TaxOnIncm

Presence: [0..1]

Definition: Overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

The **TaxOnIncm** block contains the following elements (see datatype "[RateAndAmountFormat57Choice](#)" on page 430 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		431
	NotSpecifiedRate	<NotSpctdRate>	Or	[1..1]		431
	Amount	<Amt>	Or	[1..1]	⚠	431

6.148.15 TaxOnProfits

XML Tag: TaxOnPrfts

Presence: [0..1]

Definition: Taxation applied on an amount clearly identified as capital profits, capital gains.

The **TaxOnPrfts** block contains the following elements (see datatype "[RateFormat24Choice](#)" on page 439 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		439
	NotSpecifiedRate	<NotSpctdRate>	Or	[1..1]		439

6.148.16 TaxReclaimRate

XML Tag: TaxRclmRate

Presence: [0..1]

Definition: Percentage of cash that was paid in excess of actual tax obligation and was reclaimed.

The **TaxRclmRate** block contains the following elements (see datatype "[RateFormat24Choice](#)" on page 439 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		439
	NotSpecifiedRate	<NotSpctdRate>	Or	[1..1]		439

6.148.17 EqualisationRate

XML Tag: EqulstnRate

Presence: [0..1]

Definition: Portion of the fund distribution which represents the average accrued income included in the purchase price for units bought during the account period.

The **EqulstnRate** block contains the following elements (see datatype "RateAndAmountFormat42Choice__1" on page 427 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]	⚠	428
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		428

6.148.18 DeemedRate

XML Tag: DmdRate

Presence: [0..*]

Definition: Rate applied for the calculation of deemed proceeds which are not paid to security holders but on which withholding tax is applicable.

The **DmdRate** block contains the following elements (see datatype "RateAndAmountFormat61Choice__1" on page 436 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		437
	Amount	<Amt>	Or	[1..1]	⚠	437
	NotSpecifiedRate	<NotSpcfdRate>	Or	[1..1]		437
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		438
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		438

6.149 RateAndAmountFormat42Choice__1

Definition: Choice between an amount or an unspecified rate.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]	⚠	428
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		428

Used in element(s)

["EqualisationRate" on page 426](#)

6.149.1 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 640](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/EqualisationRate/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)

6.149.2 NotSpecifiedRate

XML Tag: NotSpcedRate







Presence: [1..1]


Definition: Value of the rate not specified.

Datatype: ["RateValueType7Code" on page 639](#)

6.150 RateAndAmountFormat56Choice__1

Definition: Choice of format between a rate or a rate type and rate or an amount or a unspecified rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		428
	NotSpecifiedRate	<NotSpcedRate>	Or	[1..1]		429
	Amount	<Amt>	Or	[1..1]		429
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		429

Used in element(s)

["WithholdingTaxRate" on page 238](#)

6.150.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: ["Percentage14Rate" on page 628](#)

6.150.2 NotSpecifiedRate

XML Tag: NotSpctdRate

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: ["RateValueType7Code" on page 639](#)

6.150.3 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 640](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/RateAndAmountDetails/Withholding-TaxRate/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)







6.150.4 RateTypeAndRate

XML Tag: RateTpAndRate

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.







The **RateTpAndRate** block contains the following elements (see datatype ["RateTypeAndPercentageRate12_1" on page 459](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		459
	Rate	<Rate>		[1..1]		460

6.151 RateAndAmountFormat57Choice

Definition: Choice of format between a rate, an amount or an unspecified rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		430
	NotSpecifiedRate	<NotSpcdfRate>	Or	[1..1]		430
	Amount	<Amt>	Or}	[1..1]		430

Used in element(s)

"IndexFactor" on page 228

6.151.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 628

6.151.2 NotSpecifiedRate

XML Tag: NotSpcdfRate

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 639

6.151.3 Amount

XML Tag: Amt







Presence: [1..1]


Definition: Value is expressed as a currency and amount.

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 506

6.152 RateAndAmountFormat57Choice__1

Definition: Choice of format between a rate, an amount or an unspecified rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		431
	NotSpecifiedRate	<NotSpcdfRate>	Or	[1..1]		431
	Amount	<Amt>	Or}	[1..1]		431

Used in element(s)

["AdditionalTax" on page 235](#), ["AdditionalTax" on page 420](#), ["ChargesFees" on page 230](#), ["ChargesFees" on page 422](#), ["InterestRate" on page 224](#), ["TaxOnIncome" on page 240](#), ["Tax-OnIncome" on page 425](#)

6.152.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: ["Percentage14Rate" on page 628](#)

6.152.2 NotSpecifiedRate

XML Tag: NotSpcdfRate

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: ["RateValueType7Code" on page 639](#)

6.152.3 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 640](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/AdditionalTax/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)
- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/TaxOnIncome/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)
- on [seev.031.001.16/CorporateActionDetails/RateAndAmountDetails/InterestRate/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)
- on [seev.031.001.16/CorporateActionOptionDetails/RateAndAmountDetails/Additional-Tax/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)
- on [seev.031.001.16/CorporateActionOptionDetails/RateAndAmountDetails/TaxOnIncome/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)

- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/ChargesFees/Amount](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 640

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/ChargesFees/Amount](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 640

6.153 RateAndAmountFormat58Choice

Definition: Choice of format between a rate, an amount, index points or an unspecified rate.

⊖ Removed - ⊖ Partial Removed - ♦ Ignored - ♦ Partial Ignored - ▲ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		432
	NotSpecifiedRate	<NotSpctdRate>	Or	[1..1]		432
	Amount	<Amt>	Or	[1..1]		432
	IndexPoints	<IndxPts>	Or}	[1..1]		433

Used in element(s)

["BidInterval"](#) on page 240

6.153.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: ["Percentage14Rate"](#) on page 628

6.153.2 NotSpecifiedRate

XML Tag: NotSpctdRate

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: ["RateValueType7Code"](#) on page 639

6.153.3 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Datatype: ["ActiveCurrencyAnd13DecimalAmount"](#) on page 506

6.153.4 IndexPoints

XML Tag: IndxPts







Presence: [1..1]



Definition: Price expressed in index points.

Datatype: ["DecimalNumber" on page 581](#)

6.154 RateAndAmountFormat58Choice__1

Definition: Choice of format between a rate, an amount, index points or an unspecified rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		433
	NotSpecifiedRate	<NotSpcfdRate>	Or	[1..1]		433
	Amount	<Amt>	Or	[1..1]		433
	IndexPoints	<IndxPts>	Or}	[1..1]		434

Used in element(s)

["BidInterval" on page 226](#)

6.154.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: ["Percentage14Rate" on page 628](#)

6.154.2 NotSpecifiedRate

XML Tag: NotSpcfdRate

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: ["RateValueType7Code" on page 639](#)

6.154.3 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 640](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionDetails/RateAndAmountDetails/BidInterval/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 640

6.154.4 IndexPoints

XML Tag: IndxPts

Presence: [1..1]

Definition: Price expressed in index points.







Datatype: ["RestrictedFINDecimalNumber"](#) on page 642


Usage Guideline details

- on [seev.031.001.16/CorporateActionDetails/RateAndAmountDetails/BidInterval/IndexPoints](#)
Type changed to: [RestrictedFINDecimalNumber](#) on page 642

6.155 RateAndAmountFormat59Choice__1

Definition: Choice of format between rate and amount.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		434
	Amount	<Amt>	Or}	[1..1]		434

Used in element(s)

["DeclaredRate"](#) on page 228, ["InterestShortfall"](#) on page 227, ["RealisedLoss"](#) on page 227

6.155.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: ["Percentage14Rate"](#) on page 628

6.155.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 640](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionDetails/RateAndAmountDetails/DeclaredRate/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)
- on [seev.031.001.16/CorporateActionDetails/RateAndAmountDetails/RealisedLoss/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)
- on [seev.031.001.16/CorporateActionDetails/RateAndAmountDetails/InterestShortfall/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)

6.156 RateAndAmountFormat60Choice__1

Definition: Choice of format between a rate or a rate type and rate or an amount or a unspecified rate.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		435
	NotSpecifiedRate	<NotSpctdRate>	Or	[1..1]		435
	Amount	<Amt>	Or	[1..1]	⚠	436
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		436

Used in element(s)

["SecondLevelTax" on page 238](#), ["SecondLevelTax" on page 233](#), ["SecondLevelTax" on page 422](#),
["WithholdingTaxRate" on page 232](#), ["WithholdingTaxRate" on page 421](#)

6.156.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: ["Percentage14Rate" on page 628](#)

6.156.2 NotSpecifiedRate

XML Tag: NotSpctdRate

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: ["RateValueType7Code" on page 639](#)

6.156.3 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 640

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/RateAndAmountDetails/SecondLevelTax/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)
- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/WithholdingTaxRate/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)
- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/SecondLevelTax/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)
- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/SecondLevelTax/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)
- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/WithholdingTaxRate/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)







6.156.4 RateTypeAndRate

XML Tag: RateTpAndRate

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.







The **RateTpAndRate** block contains the following elements (see datatype "[RateTypeAndPercentageRate13_1](#)" on page 460 for details)


 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		460
	Rate	<Rate>		[1..1]		460

6.157 RateAndAmountFormat61Choice__1

Definition: Choice of format between a rate or a deemed rate type and rate or an amount or a unspecified rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		437
	Amount	<Amt>	Or	[1..1]		437
	NotSpecifiedRate	<NotSpcdfRate>	Or	[1..1]		437
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		438
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		438

Used in element(s)

"DeemedRate" on page 427

6.157.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 628

6.157.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 640

Usage Guideline details

- on seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/Amount

Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640

6.157.3 NotSpecifiedRate

XML Tag: NotSpcdfRate

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 639







6.157.4 RateTypeAndAmountAndRateStatus


XML Tag: RateTpAndAmtAndRateSts

Presence: [1..1]

Definition: Value is expressed as a rate type and an amount.

The **RateTpAndAmtAndRateSts** block contains the following elements (see datatype "[RateTypeAndAmountAndStatus37](#)" on page 452 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		453
	Amount	<Amt>		[1..1]		453
	RateStatus	<RateSts>		[0..1]		453







6.157.5 RateTypeAndRate

XML Tag: RateTpAndRate

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.







The **RateTpAndRate** block contains the following elements (see datatype "[RateTypeAndPercentageRate14](#)" on page 461 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		461
	Rate	<Rate>		[1..1]		461

6.158 RateFormat12Choice

Definition: Choice between a rate or an unspecified rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		439
	NotSpecifiedRate	<NotSpctdRate>	Or}	[1..1]		439

Used in element(s)

["NextFactor" on page 274](#), ["NextFactor" on page 226](#), ["NextFactor" on page 282](#), ["PreviousFactor" on page 273](#), ["PreviousFactor" on page 226](#), ["PreviousFactor" on page 282](#)

6.158.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: ["BaseOne14Rate" on page 516](#)

6.158.2 NotSpecifiedRate

XML Tag: NotSpcdfRate







Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: ["RateType5Code" on page 637](#)

6.159 RateFormat24Choice

Definition: Choice between a rate or an unspecified rate.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		439
	NotSpecifiedRate	<NotSpcdfRate>	Or}	[1..1]		439

Used in element(s)

["ApplicableRate" on page 231](#), ["FinancialTransactionTaxRate" on page 232](#), ["FiscalStamp" on page 231](#), ["FiscalStamp" on page 423](#), ["InterestRate" on page 272](#), ["InterestRate" on page 283](#), ["MaximumAllowedOversubscriptionRate" on page 237](#), ["NextInterestRate" on page 273](#), ["NextInterestRate" on page 283](#), ["PercentageOfDebtClaim" on page 273](#), ["ReinvestmentDiscountRate-ToMarket" on page 227](#), ["RelatedIndex" on page 225](#), ["Spread" on page 225](#), ["TaxOnProfits" on page 426](#), ["TaxReclaimRate" on page 426](#)

6.159.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: ["Percentage14Rate" on page 628](#)

6.159.2 NotSpecifiedRate

XML Tag: NotSpcfdRate







Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateType5Code" on page 637

6.160 RateFormat25Choice

Definition: Choice between a rate or an unspecified rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		440
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		440

Used in element(s)

"PercentageSought" on page 224

6.160.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 628

6.160.2 NotSpecifiedRate

XML Tag: NotSpcfdRate







Presence: [1..1]


Definition: Value of the rate not specified.

Datatype: "RateType10Code" on page 636

6.161 RateFormat26Choice__1

Definition: Choice between a rate or an unspecified rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		441
	Amount	<Amt>	Or	[1..1]		441
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		441

Used in element(s)

["TaxCreditRate" on page 232](#), ["TaxCreditRate" on page 425](#), ["ThirdPartyIncentiveRate" on page 423](#)

6.161.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: ["Percentage14Rate" on page 628](#)

6.161.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Cash amount.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 640](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/RateDetails/TaxCreditRate/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)
- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/TaxCreditRate/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)
- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/ThirdPartyIncentiveRate/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)

6.161.3 NotSpecifiedRate

XML Tag: NotSpcedRate







Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: ["RateValueType7Code" on page 639](#)

6.162 RateFormat31Choice

Definition: Choice between a rate or an unspecified rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		442
	NotSpecifiedRate	<NotSpcdfRate>	Or	[1..1]		442
	Amount	<Amt>	Or}	[1..1]		442

Used in element(s)

"ApplicableRate" on page 424

6.162.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 628

6.162.2 NotSpecifiedRate

XML Tag: NotSpcdfRate

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateType5Code" on page 637

6.162.3 Amount

XML Tag: Amt







Presence: [1..1]

Definition: Value is expressed as an amount.

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 506

6.163 RateFormat32Choice

Definition: Choice between a rate or an unspecified rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		443
	NotSpecifiedRate	<NotSpcdfRate>	Or}	[1..1]		443

Used in element(s)

"ProrationRate" on page 238

6.163.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: ["Percentage14Rate" on page 628](#)

6.163.2 NotSpecifiedRate

XML Tag: NotSpcdfRate







Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: ["RateType14Code" on page 636](#)

6.164 RateStatus3Choice__1

Definition: Choice between a standard code or proprietary code to specify a rate status.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		443
	Proprietary	<Prtry>	Or}	[1..1]		443

Guidelines

1. RateStatusGuideline

The code value ACTU is the default value for a rate status.

Used in element(s)

["RateStatus" on page 455](#), ["RateStatus" on page 456](#), ["RateStatus" on page 451](#), ["RateStatus" on page 452](#), ["RateStatus" on page 457](#), ["RateStatus" on page 459](#), ["RateStatus" on page 453](#)

6.164.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: ["RateStatus1Code" on page 635](#)

6.164.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 326 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]	⚠	327
	SchemeName	<SchmeNm>		[0..1]	⚠	337

6.165 RateType33Choice__1

Definition: Choice between a standard code or proprietary code to specify a rate type.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		444
	Proprietary	<Prtry>	Or}	[1..1]		444

Used in element(s)

"RateType" on page 450

6.165.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of rate.

Datatype: "RateType7Code" on page 637

6.165.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of rate.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 326 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]	⚠	327
	SchemeName	<SchmeNm>		[0..1]	⚠	337

6.166 RateType36Choice__1

Definition: Choice between a standard code or proprietary code to specify a rate type.

⓪ Removed - ⓪ Partial Removed - ⬢ Ignored - ⬢ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		445
	Proprietary	<Prtry>	Or}	[1..1]		445

Used in element(s)

"RateType" on page 451

6.166.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of tax rate.

Datatype: "DividendRateType1Code" on page 583

6.166.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of tax rate.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 326 for details)

⓪ Removed - ⓪ Partial Removed - ⬢ Ignored - ⬢ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]	⚠	327
	SchemeName	<SchmeNm>		[0..1]	⚠	337

6.167 RateType42Choice__1

Definition: Choice between a standard code or proprietary code to specify a rate type.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		446
	Proprietary	<Prtry>	Or}	[1..1]		446

Used in element(s)

"RateType" on page 459, "RateType" on page 460

6.167.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of withholding tax rate.

Datatype: "WithholdingTaxRateType1Code" on page 650

6.167.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of withholding tax rate.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 326 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]	⚠	327
	SchemeName	<SchmeNm>		[0..1]	⚠	337

6.168 RateType76Choice__1

Definition: Choice between a standard code or proprietary code to specify a rate type.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		447
	Proprietary	<Prtry>	Or}	[1..1]		447

Used in element(s)

"RateType" on page 454

6.168.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of gross dividend rate.

Datatype: "GrossDividendRateType6Code" on page 593







6.168.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of gross dividend rate.







The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 326 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327
	SchemeName	<SchmeNm>		[0..1]		337

6.169 RateType77Choice__1

Definition: Choice between a standard code or proprietary code to specify a rate type.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		448
	Proprietary	<Prtry>	Or}	[1..1]		448

Used in element(s)

"RateType" on page 455

6.169.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of net dividend rate.

Datatype: "NetDividendRateType6Code" on page 617







6.169.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of net dividend rate.







The **Prtry** block contains the following elements (see datatype "GenericIdentification30_1" on page 326 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327
	SchemeName	<SchmeNm>		[0..1]		337

6.170 RateType78Choice__1

Definition: Choice between a standard code or proprietary code to specify a rate type.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		448
	Proprietary	<Prtry>	Or}	[1..1]		449

Used in element(s)

"RateType" on page 457

6.170.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of gross dividend rate.

Datatype: "GrossDividendRateType7Code" on page 594







6.170.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of gross dividend rate.







The **Prtry** block contains the following elements (see datatype "[GenericIdentification30_1](#)" on page 326 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327
	SchemeName	<SchmeNm>		[0..1]		337

6.171 RateType79Choice__1

Definition: Choice between a standard code or proprietary code to specify a rate type.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		449
	Proprietary	<Prtry>	Or}	[1..1]		449

Used in element(s)

"RateType" on page 458

6.171.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of net dividend rate.

Datatype: "[NetDividendRateType7Code](#)" on page 618

6.171.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of net dividend rate.







The **Prtry** block contains the following elements (see datatype "[GenericIdentification30_1](#)" on page 326 for details)


 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327
	SchemeName	<SchmeNm>		[0..1]		337

6.172 RateTypeAndAmountAndStatus24__1

Definition: Specifies the value expressed as a rate and an amount.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		450
	Amount	<Amt>		[1..1]		450
	RateStatus	<RateSts>		[0..1]		451

Used in element(s)

"RateTypeAndAmountAndRateStatus" on page 363







6.172.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "RateType33Choice 1" on page 444 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		444
	Proprietary	<Prtry>	Or}	[1..1]		444

6.172.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount"](#) on page 640

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 640
- on [seev.031.001.16/CorporateActionOptionDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 640







6.172.3 RateStatus

XML Tag: RateSts

Presence: [0..1]

Definition: Value expressed as a rate status.







The **RateSts** block contains the following elements (see datatype ["RateStatus3Choice 1"](#) on page 443 for details)


 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		443
	Proprietary	<Prtry>	Or}	[1..1]		443

6.173 RateTypeAndAmountAndStatus26__1

Definition: Specifies the value expressed as a rate and an amount.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		451
	Amount	<Amt>		[1..1]		452
	RateStatus	<RateSts>		[0..1]		452

Used in element(s)

["TaxableIncomePerDividendShare"](#) on page 239

6.173.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "[RateType36Choice_1](#)" on page 445 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		445
	Proprietary	<Prtry>	Or}	[1..1]		445

6.173.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 640

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/RateAndAmountDetails/TaxableIncomePer-DividendShare/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 640

6.173.3 RateStatus

XML Tag: RateSts

Presence: [0..1]

Definition: Value expressed as a rate status.

The **RateSts** block contains the following elements (see datatype "[RateStatus3Choice_1](#)" on page 443 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		443
	Proprietary	<Prtry>	Or}	[1..1]		443

6.174 RateTypeAndAmountAndStatus37__1

Definition: Specifies the value expressed as a rate and an amount.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		453
	Amount	<Amt>		[1..1]		453
	RateStatus	<RateSts>		[0..1]		453

Used in element(s)

"RateTypeAndAmountAndRateStatus" on page 438

6.174.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "[DeemedRateType1Choice_1](#)" on page 254 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		254
	Proprietary	<Prtry>	Or}	[1..1]		254

6.174.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 640

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndAmountAndRateStatus/Amount](#)
 Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 640







6.174.3 RateStatus

XML Tag: RateSts

Presence: [0..1]

Definition: Value expressed as a rate status.







The **RateSts** block contains the following elements (see datatype "[RateStatus3Choice_1](#)" on [page 443](#) for details)


 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		443
	Proprietary	<Prtry>	Or}	[1..1]		443

6.175 RateTypeAndAmountAndStatus55__1

Definition: Specifies the value expressed as a rate and an amount.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		454
	Amount	<Amt>		[1..1]		454
	RateStatus	<RateSts>		[0..1]		455

Used in element(s)

"[RateTypeAndAmountAndRateStatus](#)" on [page 355](#)







6.175.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "[RateType76Choice_1](#)" on [page 446](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		447
	Proprietary	<Prtry>	Or}	[1..1]		447

6.175.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 640](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/RateAndAmountDetails/GrossDistribution-Rate/RateTypeAndAmountAndRateStatus/Amount](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)







6.175.3 RateStatus

XML Tag: RateSts

Presence: [0..1]

Definition: Value expressed as a rate status.







The **RateSts** block contains the following elements (see datatype ["RateStatus3Choice 1" on page 443](#) for details)


 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		443
	Proprietary	<Prtry>	Or}	[1..1]		443

6.176 RateTypeAndAmountAndStatus56__1

Definition: Specifies the value expressed as a rate and an amount.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		455
	Amount	<Amt>		[1..1]		456
	RateStatus	<RateSts>		[0..1]		456

Used in element(s)

["RateTypeAndAmountAndRateStatus" on page 370](#)







6.176.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "[RateType77Choice_1](#)" on page 447 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		448
	Proprietary	<Prtry>	Or}	[1..1]		448

6.176.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 640

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/RateAndAmountDetails/NetDistribution-Rate/RateTypeAndAmountAndRateStatus/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 640







6.176.3 RateStatus

XML Tag: RateSts

Presence: [0..1]

Definition: Value expressed as a rate status.







The **RateSts** block contains the following elements (see datatype "[RateStatus3Choice_1](#)" on page 443 for details)


 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		443
	Proprietary	<Prtry>	Or}	[1..1]		443

6.177 RateTypeAndAmountAndStatus57__1

Definition: Specifies the value expressed as a rate and an amount.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		457
	Amount	<Amt>		[1..1]		457
	RateStatus	<RateSts>		[0..1]		457

Used in element(s)

"RateTypeAndAmountAndRateStatus" on page 356







6.177.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "[RateType78Choice_1](#)" on page 448 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		448
	Proprietary	<Prtry>	Or}	[1..1]		449

6.177.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 640

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 640







6.177.3 RateStatus

XML Tag: RateSts

Presence: [0..1]

Definition: Value expressed as a rate status.







The **RateSts** block contains the following elements (see datatype "[RateStatus3Choice](#) 1" on [page 443](#) for details)


 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		443
	Proprietary	<Prtry>	Or}	[1..1]		443

6.178 RateTypeAndAmountAndStatus58__1

Definition: Specifies the value expressed as a rate and an amount.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		458
	Amount	<Amt>		[1..1]		458
	RateStatus	<RateSts>		[0..1]		459

Used in element(s)

"[RateTypeAndAmountAndRateStatus](#)" on [page 372](#)







6.178.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "[RateType79Choice](#) 1" on [page 449](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		449
	Proprietary	<Prtry>	Or}	[1..1]		449

6.178.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 640](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/Amount](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)







6.178.3 RateStatus

XML Tag: RateSts

Presence: [0..1]

Definition: Value expressed as a rate status.







The **RateSts** block contains the following elements (see datatype ["RateStatus3Choice 1" on page 443](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		443
	Proprietary	<Prtry>	Or}	[1..1]		443

6.179 RateTypeAndPercentageRate12__1

Definition: Specifies the value expressed as a rate type and a percentage rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		459
	Rate	<Rate>		[1..1]		460

Used in element(s)

["RateTypeAndRate" on page 429](#)

6.179.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype ["RateType42Choice 1" on page 446](#) for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		446
	Proprietary	<Prtry>	Or}	[1..1]		446

6.179.2 Rate

XML Tag: Rate







Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: ["Percentage14Rate" on page 628](#)

6.180 RateTypeAndPercentageRate13__1

Definition: Specifies the value expressed as a rate type and a percentage rate.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		460
	Rate	<Rate>		[1..1]		460

Used in element(s)

["RateTypeAndRate" on page 436](#)







6.180.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype ["RateType42Choice 1" on page 446](#) for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		446
	Proprietary	<Prtry>	Or}	[1..1]		446

6.180.2 Rate

XML Tag: Rate







Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: ["Percentage14Rate" on page 628](#)

6.181 RateTypeAndPercentageRate14__1

Definition: Specifies the value expressed as a deemed rate type and a percentage rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		461
	Rate	<Rate>		[1..1]		461

Used in element(s)

["RateTypeAndRate" on page 438](#)







6.181.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype ["DeemedRateType1Choice 1" on page 254](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		254
	Proprietary	<Prtry>	Or}	[1..1]		254

6.181.2 Rate

XML Tag: Rate







Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: ["Percentage14Rate" on page 628](#)

6.182 RatioFormat17Choice__1

Definition: Choice of format to express a ratio.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityToQuantity	<QtyToQty>	{Or	[1..1]		462
	NotSpecifiedRate	<NotSpcdfRate>	Or	[1..1]		462
	AmountToAmount	<AmtToAmt>	Or}	[1..1]		462

Used in element(s)

"AdditionalQuantityForExistingSecurities" on page 229, "AdditionalQuantityForSubscribedResultantSecurities" on page 229







6.182.1 QuantityToQuantity



XML Tag: QtyToQty

Presence: [1..1]

Definition: Ratio expressed as a quotient of quantities.

The **QtyToQty** block contains the following elements (see datatype "QuantityToQuantityRatio1__1" on page 415 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity1	<Qty1>		[1..1]		416
	Quantity2	<Qty2>		[1..1]		416

6.182.2 NotSpecifiedRate

XML Tag: NotSpcdfRate

Presence: [1..1]

Definition: Value of the ratio not specified.

Datatype: "RateValueType7Code" on page 639







6.182.3 AmountToAmount



XML Tag: AmtToAmt

Presence: [1..1]

Definition: Ratio expressed as a quotient of amounts.







The **AmtToAmt** block contains the following elements (see datatype "AmountToAmountRatio2__1" on page 55 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount1	<Amt1>		[1..1]		56
	Amount2	<Amt2>		[1..1]		56

6.183 RatioFormat18Choice__1

Definition: Choice of format to express a ratio.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityToQuantity	<QtyToQty>	{Or	[1..1]		463
	NotSpecifiedRate	<NotSpctdRate>	Or	[1..1]		463
	AmountToAmount	<AmtToAmt>	Or	[1..1]		464
	AmountToQuantity	<AmtToQty>	Or	[1..1]		464
	QuantityToAmount	<QtyToAmt>	Or}	[1..1]		464

Used in element(s)

"NewToOld" on page 230







6.183.1 QuantityToQuantity



XML Tag: QtyToQty

Presence: [1..1]

Definition: Ratio expressed as a quotient of quantities.

The **QtyToQty** block contains the following elements (see datatype "[QuantityToQuantityRatio1__1](#)" on page 415 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity1	<Qty1>		[1..1]		416
	Quantity2	<Qty2>		[1..1]		416

6.183.2 NotSpecifiedRate

XML Tag: NotSpctdRate

Presence: [1..1]

Definition: Value of the ratio not specified.

Datatype: "[RateValueType7Code](#)" on page 639







6.183.3 AmountToAmount



XML Tag: AmtToAmt

Presence: [1..1]

Definition: Ratio expressed as a quotient of amounts.

The **AmtToAmt** block contains the following elements (see datatype "[AmountToAmountRatio2_1](#)" on page 55 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount1	<Amt1>		[1..1]		56
	Amount2	<Amt2>		[1..1]		56







6.183.4 AmountToQuantity



XML Tag: AmtToQty

Presence: [1..1]

Definition: Ratio expressed as an amount to quantity ratio.

The **AmtToQty** block contains the following elements (see datatype "[AmountAndQuantityRatio4_1](#)" on page 46 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		47
	Quantity	<Qty>		[1..1]		47







6.183.5 QuantityToAmount



XML Tag: QtyToAmt

Presence: [1..1]

Definition: Ratio expressed as a quantity to amount ratio.

The **QtyToAmt** block contains the following elements (see datatype "[AmountAndQuantityRatio4_1](#)" on page 46 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		47
	Quantity	<Qty>		[1..1]		47

6.184 RenounceableEntitlementStatusTypeFormat3Choice__1

Definition: Choice between a standard code or proprietary code to specify the type of the renounceable entitlement status.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -

M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		465
	Proprietary	<Prtry>	Or}	[1..1]		465

Used in element(s)

"RenounceableEntitlementStatusType" on page 288, "RenounceableEntitlementStatusType" on page 92

6.184.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the renounceable status.

Datatype: "RenounceableStatus1Code" on page 639

6.184.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the renounceable status.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 326 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -





M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]	⚠	327
	SchemeName	<SchmeNm>		[0..1]	⚠	337

6.185 SafekeepingPlaceFormat41Choice__1

Definition: Choice between formats for the place of safekeeping.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>	{Or	[1..1]		466
	Country	<Ctry>	Or	[1..1]		466
	DigitalLedgerIdentification	<DgtlLdgrId>	Or	[1..1]		467
	TypeAndIdentification	<TpAndId>	Or	[1..1]		467
	Proprietary	<Prtry>	Or}	[1..1]		467

Used in element(s)

"SafekeepingPlace" on page 479







6.185.1 Identification


XML Tag: Id

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

The **Id** block contains the following elements (see datatype "SafekeepingPlaceTypeAndText8_1" on page 471 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		472
	Identification	<Id>		[0..1]		472

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SafekeepingPlace/Identification) is removed.

6.185.2 Country

XML Tag: Ctry

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Datatype: "CountryCode" on page 577

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SafekeepingPlace/Country) is removed.

6.185.3 DigitalLedgerIdentification

XML Tag: DgtlLdgrId

Presence: [1..1]

Definition: Place of safekeeping expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

Datatype: "DTI2024Identifier" on page 578

6.185.4 TypeAndIdentification

XML Tag: TpAndId

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

The **TpAndId** block contains the following elements (see datatype "[SafekeepingPlaceTypeAndIdentification1](#)" on page 470 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		470
	Identification	<Id>		[1..1]		470

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SafekeepingPlace/TypeAndIdentification) is removed.

6.185.5 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification78_1](#)" on page 353 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>		[1..1]		353
	Identification	<Id>		[0..1]	⚠	353

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SafekeepingPlace/Proprietary) is removed.

6.186 SafekeepingPlaceFormat42Choice__1

Definition: Choice of formats for a place of safekeeping.

⓪ Removed - !⓪ Partial Removed - ♦ Ignored - !♦ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>	{Or	[1..1]	⓪	468
	Country	<Ctry>	Or	[1..1]	⓪	469
	DigitalLedgerIdentification	<DgtlLdgrId>	Or	[1..1]		469
	TypeAndIdentification	<TpAndId>	Or	[1..1]	⓪	469
	Proprietary	<Prtry>	Or}	[1..1]	⓪	470

Used in element(s)

"SafekeepingPlace" on page 42

6.186.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

The **Id** block contains the following elements (see datatype "SafekeepingPlaceTypeAndText6__1" on page 471 for details)

⓪ Removed - !⓪ Partial Removed - ♦ Ignored - !♦ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		471
	Identification	<Id>		[0..1]	⚠	471

Usage Guideline details

- This element(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Safekeeping-Place/Identification) is removed.

6.186.2 Country

XML Tag: Ctry

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Datatype: "CountryCode" on page 577

Usage Guideline details

- This element(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Safekeeping-Place/Country) is removed.

6.186.3 DigitalLedgerIdentification

XML Tag: DgtlLdgrId

Presence: [1..1]

Definition: Place of safekeeping expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

Datatype: "DTI2024Identifier" on page 578







6.186.4 TypeAndIdentification

XML Tag: TpAndId

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

The **TpAndId** block contains the following elements (see datatype "SafekeepingPlaceTypeAndIdentification1" on page 470 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		470
	Identification	<Id>		[1..1]		470

Usage Guideline details

- This element(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Safekeeping-Place/TypeAndIdentification) is removed.

6.186.5 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

The **Prtry** block contains the following elements (see datatype "GenericIdentification78_1" on page 353 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>		[1..1]		353
	Identification	<Id>		[0..1]	⚠	353

Usage Guideline details

- This element(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Safekeeping-Place/Proprietary) is removed.

6.187 SafekeepingPlaceTypeAndIdentification1

Definition: Identification of the type of place of safekeeping expressed as a code and a BIC.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		470
	Identification	<Id>		[1..1]		470

Used in element(s)

"TypeAndIdentification" on page 469, "TypeAndIdentification" on page 467

6.187.1 SafekeepingPlaceType

XML Tag: SfkpgPlcTp

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace1Code" on page 647

6.187.2 Identification

XML Tag: Id







Presence: [1..1]


Definition: Place of safekeeping.

Datatype: ["AnyBICDec2014Identifier" on page 516](#)

6.188 SafekeepingPlaceTypeAndText6__1

Definition: Identification of the place of safekeeping expressed as a code and a narrative description.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		471
	Identification	<Id>		[0..1]		471

Used in element(s)

["Identification" on page 468](#)

6.188.1 SafekeepingPlaceType

XML Tag: SfkpgPlcTp

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: ["SafekeepingPlace2Code" on page 647](#)

6.188.2 Identification

XML Tag: Id

Presence: [0..1]

Definition: Additional information about the place of safekeeping.

Datatype: ["RestrictedFINXMax30Text" on page 644](#)







Usage Guideline details


- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/SafekeepingPlace/Identification/Identification](#)

Type changed to: [RestrictedFINXMax30Text on page 644](#)

6.189 SafekeepingPlaceTypeAndText8__1

Definition: Identification of the place of safekeeping expressed as a code and a narrative description.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		472
	Identification	<Id>		[0..1]		472

Used in element(s)

"Identification" on page 466

6.189.1 SafekeepingPlaceType

XML Tag: SfkpgPlcTp

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace3Code" on page 648

6.189.2 Identification

XML Tag: Id

Presence: [0..1]

Definition: Additional information about the place of safekeeping.

Datatype: "RestrictedFINXMax30Text" on page 644







Usage Guideline details





- on seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/Safekeeping-Place/Identification/Identification

Type changed to: RestrictedFINXMax30Text on page 644

6.190 SecuritiesOption121__1

Definition: Provides information about the corporate action security option.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SecurityDetails	<SctyDtls>		[1..1]		474
	CreditDebitIndicator	<CdtDbtInd>		[1..1]		475
	TemporaryFinancialInstrumentIndicator	<TempFinInstrmInd>		[0..1]		475
	NonEligibleProceedsIndicator	<NonElgblPrcdsInd>		[0..1]		475
	IssuerOfferorTaxabilityIndicator	<IssrOfferrTaxbltyInd>		[0..1]		476
	NewSecuritiesIssuanceIndicator	<NewScetiesIssnclInd>		[0..1]		476

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IncomeType	<IncmTp>		[0..1]	⊘	477
	OtherIncomeType	<OthrIncmTp>		[0..*]	⊘	477
	ExemptionType	<XmptnTp>		[0..*]	⊘	478
	EntitledQuantity	<EntitldQty>		[0..1]	⊘	478
	SafekeepingPlace	<SfkpgPlc>		[0..1]		479
	CountryOfIncomeSource	<CtryOfIncmSrc>		[0..1]	⊘	479
	FractionDisposition	<FrctnDspstn>		[0..1]	⊘	479
	CurrencyOption	<CcyOptn>		[0..1]	⊘	480
	TradingPeriod	<TradgPrd>		[0..1]	⊘	480
	DateDetails	<DtDtls>		[1..1]		481
	RateDetails	<RateDtls>		[0..1]	⊘	481
	PriceDetails	<PricDtls>		[0..1]	⊘	482
	AmountDetails	<AmtDtls>		[0..1]	⊘	482

Rules

R88 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

Error handling: Undefined

R89 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R90 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

Error handling:

- *Error severity:* Fatal

– *Error Code:*

– *Error Text:*

R91 IncomeType1Rule ✓

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

Error handling:

– *Error severity:* Fatal

– *Error Code:* X00544

– *Error Text:* Invalid message contents for IncomeType. Element must be present.

Used in element(s)

["SecuritiesMovementDetails" on page 196](#)

6.190.1 SecurityDetails

XML Tag: SctyDtIs

Presence: [1..1]

Definition: Provides description of the financial instrument related to securities movement.

The **SctyDtIs** block contains the following elements (see datatype ["FinancialInstrumentAttributes129_1" on page 276](#) for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]		277
	PlaceOfListing	<PlcOfListg>		[0..1]	🚫	277
	DayCountBasis	<DayCntBsis>		[0..1]	🚫	278
	ClassificationType	<ClssfctnTp>		[0..1]	🚫	278
	OptionStyle	<OptnStyle>		[0..1]	🚫	279
	DenominationCurrency	<DnmtnCcy>		[0..1]	🚫	279
	NextCouponDate	<NxtCpnDt>		[0..1]	🚫	280
	FloatingRateFixingDate	<FltgRateFxdDt>		[0..1]	🚫	280
	MaturityDate	<MtrtyDt>		[0..1]	🚫	280
	IssueDate	<IssdDt>		[0..1]	🚫	280
	NextCallableDate	<NxtCllblDt>		[0..1]	🚫	281
	PutableDate	<PutblDt>		[0..1]	🚫	281
	DatedDate	<DtdDt>		[0..1]	🚫	281
	ConversionDate	<ConvsDt>		[0..1]	🚫	282

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PreviousFactor	<PrvsFctr>		[0..1]	🚫	282
	NextFactor	<NxtFctr>		[0..1]	🚫	282
	InterestRate	<IntrstRate>		[0..1]	🚫	283
	NextInterestRate	<NxtIntrstRate>		[0..1]	🚫	283
	MinimumNominalQuantity	<MinNmnlQty>		[0..1]	🚫	284
	MinimumQuantityToInstruct	<MinQtyToInst>		[0..1]	🚫	284
	MinimumMultipleQuantityToInstruct	<MinMltplQtyToInst>		[0..1]	🚫	285
	ContractSize	<CtrctSz>		[0..1]	🚫	285
	IssuePrice	<IssePric>		[0..1]	🚫	286

6.190.2 CreditDebitIndicator

XML Tag: CdtDbtInd

Presence: [1..1]

Definition: Specifies whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 577

6.190.3 TemporaryFinancialInstrumentIndicator

XML Tag: TempFinInstrmInd

Presence: [0..1]

Definition: Specifies that the security identified is a temporary security identification used for processing reasons, for example, contra security used in the US.

The **TempFinInstrmInd** block contains the following elements (see datatype "TemporaryFinancialInstrumentIndicator3Choice 1" on page 498 for details)

🚫 Removed - 🚫 Partial Removed - 💡 Ignored - 💡 Partial Ignored - ⚠️ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TemporaryIndicator	<TemplInd>	{Or	[1..1]		498
	Proprietary	<Prtry>	Or}	[1..1]		498

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/TemporaryFinancialInstrumentIndicator) is removed.

6.190.4 NonEligibleProceedsIndicator

XML Tag: NonElgblPrclsInd

Presence: [0..1]

Definition: Specifies information regarding outturn resources that cannot be processed by the Central Securities Depository (CSD). Special delivery instruction is required from the account owner for the corporate action outcome to be credited.

The **NonElgblPrclsInd** block contains the following elements (see datatype "NonEligibleProceedsIndicator5Choice" on page 372 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ▲ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		373
	Proprietary	<Prtry>	Or}	[1..1]		373

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/NonEligibleProceedsIndicator) is removed.

6.190.5 IssuerOfferorTaxabilityIndicator

XML Tag: IssrOfferrTaxbltyInd

Presence: [0..1]

Definition: Proceeds are taxable according to the information provided by the issuer / offeror.

The **IssrOfferrTaxbltyInd** block contains the following elements (see datatype "IssuerOfferorTaxabilityIndicator2Choice" on page 364 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ▲ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		365
	Proprietary	<Prtry>	Or}	[1..1]		365

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/IssuerOfferorTaxabilityIndicator) is removed.

6.190.6 NewSecuritiesIssuanceIndicator

XML Tag: NewSctiesIssnclnd

Presence: [0..1]

Definition: Specifies the nature of the securities issue.

Datatype: "NewSecuritiesIssuanceType5Code" on page 619

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/NewSecuritiesIssuanceIndicator) is removed.

6.190.7 IncomeType







XML Tag: IncmTp



Presence: [0..1]

Definition: Specifies the type of income.

The lists of income type codes to be used, are available on the SMPG website at www.smpg.info.

The **IncmTp** block contains the following elements (see datatype "GenericIdentification30_1" on page 326 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327
	SchemeName	<SchmeNm>		[0..1]		337

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/IncomeType) is removed.







6.190.8 OtherIncomeType

XML Tag: OthrIncmTp

Presence: [0..*]

Definition: Type of income to be used under another tax regime.

The **OthrIncmTp** block contains the following elements (see datatype "GenericIdentification30_1" on page 326 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Issuer	<Issr>		[1..1]	⚠	327
	SchemeName	<SchmeNm>		[0..1]	⚠	337

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/OtherIncomeType) is removed.

6.190.9 ExemptionType

XML Tag: XmptnTp

Presence: [0..*]

Definition: Specifies the basis for the reduced rate of withholding.

The **XmptnTp** block contains the following elements (see datatype "GenericIdentification30_1" on page 326 for details)

ⓧ Removed - ⓧ Partial Removed - ⬠ Ignored - ⬠ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]	⚠	327
	SchemeName	<SchmeNm>		[0..1]	⚠	337

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/ExemptionType) is removed.

6.190.10 EntitledQuantity

XML Tag: EntitldQty

Presence: [0..1]

Definition: Quantity of securities based on the terms of the corporate action event and balance of underlying securities entitled to the account owner. (This quantity can be positive or negative).

The **EntitldQty** block contains the following elements (see datatype "Quantity51Choice_1" on page 414 for details)

ⓧ Removed - ⓧ Partial Removed - ⬠ Ignored - ⬠ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		415
	OriginalAndCurrentFace	<OrgnlAndCurFace>	Or}	[1..1]		415

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/EntitledQuantity) is removed.







6.190.11 SafekeepingPlace





XML Tag: SfkpgPlc

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

The **SfkpgPlc** block contains the following elements (see datatype "SafekeepingPlaceFormat41Choice 1" on page 465 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>	{Or	[1..1]		466
	Country	<Ctry>	Or	[1..1]		466
	DigitalLedgerIdentification	<DgtlLdgrId>	Or	[1..1]		467
	TypeAndIdentification	<TpAndId>	Or	[1..1]		467
	Proprietary	<Prtry>	Or}	[1..1]		467

6.190.12 CountryOfIncomeSource

XML Tag: CtryOfIncmSrc

Presence: [0..1]

Definition: Indicates the country from which the income originates.

Datatype: "CountryCode" on page 577

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/CountryOfIncomeSource) is removed.

6.190.13 FractionDisposition

XML Tag: FrctnDspstn

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

The **FrctnDspstn** block contains the following elements (see datatype "[FractionDisposition-Type26Choice 1](#)" on page 324 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		324
	Proprietary	<Prtry>	Or}	[1..1]		325

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/FractionDisposition) is removed.

6.190.14 CurrencyOption

XML Tag: CcyOptn

Presence: [0..1]

Definition: Currency in which cash proceeds are to be credited/debited.

Datatype: "[ActiveCurrencyCode](#)" on page 506

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/CurrencyOption) is removed.

6.190.15 TradingPeriod

XML Tag: TradgPrd

Presence: [0..1]

Definition: Period during which intermediate or outturn securities are tradable in a secondary market.

The **TradgPrd** block contains the following elements (see datatype "[Period12Choice](#)" on page 386 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		387
	PeriodCode	<PrdCd>	Or}	[1..1]		387

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/TradingPeriod) is removed.







6.190.16 DateDetails






XML Tag: DtDtls

Presence: [1..1]

Definition: Provides information about the dates related to securities movement.

The **DtDtls** block contains the following elements (see datatype "SecurityDate201" on page 487 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PaymentDate	<PmtDt>		[1..1]		487
	AvailableDate	<AvlblDt>		[0..1]		487
	DividendRankingDate	<DvddRnkgDt>		[0..1]		488
	EarliestPaymentDate	<EarlstPmtDt>		[0..1]		488
	PariPassuDate	<PrpssDt>		[0..1]		489
	LastTradingDate	<LastTradgDt>		[0..1]		489







6.190.17 RateDetails

XML Tag: RateDtls

Presence: [0..1]

Definition: Provides information about the rates related to securities movement.

The **RateDtls** block contains the following elements (see datatype "CorporateActionRate128" on page 228 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalQuantityForSubscribedResultantSecurities	<AddtlQtyForSbcbdRslt-ntScities>		[0..1]		229
	AdditionalQuantityForExistingSecurities	<AddtlQtyForExstgScities>		[0..1]		229
	NewToOld	<NewToOd>		[0..1]		230
	TransformationRate	<TrfrmatnRate>		[0..1]		230
	ChargesFees	<ChrgsFees>		[0..1]		230

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FiscalStamp	<FscIStmp>		[0..1]		231
	ApplicableRate	<ApIblRate>		[0..1]		231
	TaxCreditRate	<TaxCdtRate>		[0..1]		232
	FinancialTransactionTaxRate	<FinTxTaxRate>		[0..1]		232
	WithholdingTaxRate	<WhldgTaxRate>		[0..*]		232
	SecondLevelTax	<ScndLvITax>		[0..*]		233

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/Rat-eDetails) is removed.







6.190.18 PriceDetails

XML Tag: PricDtls

Presence: [0..1]

Definition: Provides information about the prices related to securities movement.

The **PricDtls** block contains the following elements (see datatype "CorporateActionPrice82_1" on page 209 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IndicativeOrMarketPrice	<IndctvOrMktPric>		[0..1]		209
	CashInLieuOfSharePrice	<CshInLieuOfShrPric>		[0..1]		210
	CashValueForTax	<CshValForTax>		[0..1]		210
	GenericCashPricePaidPerProduct	<GncCshPricPdPerPdct>		[0..1]		210
	GenericCashPriceReceivedPerProduct	<GncCshPricRcvdPerPdct>		[0..1]		211

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/PriceDetails) is removed.







6.190.19 AmountDetails



XML Tag: AmtDtls

Presence: [0..1]

Definition: Provides information about the amounts related to a securities movement.

The **AmtDtls** block contains the following elements (see datatype "[CorporateActionAmounts60_1](#)" on [page 100](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	WithholdingTaxAmount	<WhldgTaxAmt>		[0..1]		100
	SecondLevelTaxAmount	<ScndLvTaxAmt>		[0..1]		101

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/AmountDetails) is removed.

6.191 SecuritiesOption81__1

Definition: Specifies the security option of a corporate event.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MaximumQuantityToInstruct	<MaxQtyToInst>		[0..1]		483
	MinimumQuantityToInstruct	<MinQtyToInst>		[0..1]		484
	MinimumMultipleQuantityToInstruct	<MinMltplQtyToInst>		[0..1]		484
	NewBoardLotQuantity	<NewBrdLotQty>		[0..1]		485
	NewDenominationQuantity	<NewDnmtnQty>		[0..1]		485
	FrontEndOddLotQuantity	<FrntEndOddLotQty>		[0..1]		486
	BackEndOddLotQuantity	<BckEndOddLotQty>		[0..1]		486

Used in element(s)

"[SecuritiesQuantity](#)" on [page 196](#)

6.191.1 MaximumQuantityToInstruct

XML Tag: MaxQtyToInst

Presence: [0..1]

Definition: Maximum quantity of financial instrument that may be instructed.

The **MaxQtyToInst** block contains the following elements (see datatype "[FinancialInstrumentQuantity34Choice](#) 1" on page 313 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]	⚠	314
	FaceAmount	<FaceAmt>	Or	[1..1]	⚠	314
	AmortisedValue	<AmtsdVal>	Or	[1..1]	⚠	315
	Code	<Cd>	Or	[1..1]		316
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		316

6.191.2 MinimumQuantityToInstruct

XML Tag: MinQtyToInst

Presence: [0..1]

Definition: Minimum quantity of financial instrument that may be instructed.

The **MinQtyToInst** block contains the following elements (see datatype "[FinancialInstrumentQuantity34Choice](#) 1" on page 313 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]	⚠	314
	FaceAmount	<FaceAmt>	Or	[1..1]	⚠	314
	AmortisedValue	<AmtsdVal>	Or	[1..1]	⚠	315
	Code	<Cd>	Or	[1..1]		316
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		316

6.191.3 MinimumMultipleQuantityToInstruct




XML Tag: MinMltplQtyToInst

Presence: [0..1]

Definition: Minimum multiple quantity of financial instrument that may be instructed.

The **MinMltplQtyToInst** block contains the following elements (see datatype "[FinancialInstrumentQuantity35Choice](#) 1" on page 317 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		318
	FaceAmount	<FaceAmt>	Or	[1..1]		319
	AmortisedValue	<AmtsdVal>	Or	[1..1]		320
	Code	<Cd>	Or	[1..1]		321
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		321







6.191.4 NewBoardLotQuantity




XML Tag: NewBrdLotQty

Presence: [0..1]

Definition: Quantity of equity that makes up the new board lot.

The **NewBrdLotQty** block contains the following elements (see datatype "[FinancialInstrumentQuantity35Choice_1](#)" on page 317 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		318
	FaceAmount	<FaceAmt>	Or	[1..1]		319
	AmortisedValue	<AmtsdVal>	Or	[1..1]		320
	Code	<Cd>	Or	[1..1]		321
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		321







6.191.5 NewDenominationQuantity




XML Tag: NewDnmtnQty

Presence: [0..1]

Definition: New denomination of the equity following, for example, an increase or decrease in nominal value.

The **NewDnmtnQty** block contains the following elements (see datatype "[FinancialInstrumentQuantity35Choice_1](#)" on page 317 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		318
	FaceAmount	<FaceAmt>	Or	[1..1]		319
	AmortisedValue	<AmtsdVal>	Or	[1..1]		320

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	Or	[1..1]		321
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		321







6.191.6 FrontEndOddLotQuantity




XML Tag: FrntEndOddLotQty

Presence: [0..1]

Definition: Specifies that if an order is prorated holders of odd lots who tender their full position will not have tendered position prorated but rather accepted in full.

The **FrntEndOddLotQty** block contains the following elements (see datatype "FinancialInstrumentQuantity35Choice 1" on page 317 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		318
	FaceAmount	<FaceAmt>	Or	[1..1]		319
	AmortisedValue	<AmtsdVal>	Or	[1..1]		320
	Code	<Cd>	Or	[1..1]		321
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		321







6.191.7 BackEndOddLotQuantity




XML Tag: BckEndOddLotQty

Presence: [0..1]

Definition: Represents the presence of a back end odd lot provision and the quantity of equity required after proration to be eligible for this privilege.







The **BckEndOddLotQty** block contains the following elements (see datatype "FinancialInstrumentQuantity35Choice 1" on page 317 for details)






 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		318
	FaceAmount	<FaceAmt>	Or	[1..1]		319
	AmortisedValue	<AmtsdVal>	Or	[1..1]		320
	Code	<Cd>	Or	[1..1]		321
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		321

6.192 SecurityDate20__1

Definition: Specifies security date details.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PaymentDate	<PmtDt>		[1..1]		487
	AvailableDate	<AvlblDt>		[0..1]		487
	DividendRankingDate	<DvddRnkgDt>		[0..1]		488
	EarliestPaymentDate	<EarlstPmtDt>		[0..1]		488
	PariPassuDate	<PrpssDt>		[0..1]		489
	LastTradingDate	<LastTradgDt>		[0..1]		489

Used in element(s)

"DateDetails" on page 481







6.192.1 PaymentDate

XML Tag: PmtDt

Presence: [1..1]

Definition: Date/time at which the movement is due to take place (cash and/or securities).

The **PmtDt** block contains the following elements (see datatype "DateFormat30Choice 1" on page 246 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		246
	DateCode	<DtCd>	Or}	[1..1]		246







6.192.2 AvailableDate

XML Tag: AvlblDt

Presence: [0..1]

Definition: Date/time at which securities become available for trading, for example first dealing date.

The **AvlblDt** block contains the following elements (see datatype "DateFormat30Choice 1" on page 246 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		246
	DateCode	<DtCd>	Or}	[1..1]		246

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/AvailableDate) is removed.







6.192.3 DividendRankingDate

XML Tag: DvddRnkgDt

Presence: [0..1]

Definition: Date/time at which a security will be entitled to a dividend.

The **DvddRnkgDt** block contains the following elements (see datatype "DateFormat30Choice_1" on page 246 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		246
	DateCode	<DtCd>	Or}	[1..1]		246

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/DividendRankingDate) is removed.







6.192.4 EarliestPaymentDate

XML Tag: EarlstPmtDt

Presence: [0..1]

Definition: Date/time at which a payment can be made, for example, if payment date is a non-business day or to indicate the first payment date of an offer.

The **EarlstPmtDt** block contains the following elements (see datatype "DateFormat30Choice_1" on page 246 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		246

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateCode	<DtCd>	Or}	[1..1]		246

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/EarliestPaymentDate) is removed.







6.192.5 PariPassuDate

XML Tag: PrpssDt

Presence: [0..1]

Definition: Date/time at which security will assimilate, become fungible, or have the same rights to dividends as the parent issue.

The **PrpssDt** block contains the following elements (see datatype "DateFormat30Choice_1" on page 246 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		246
	DateCode	<DtCd>	Or}	[1..1]		246

Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/DateDetails/PariPassuDate) is removed.







6.192.6 LastTradingDate

XML Tag: LastTradgDt

Presence: [0..1]

Definition: Date/time at which the securities to be reorganised will cease to be tradeable.

The **LastTradgDt** block contains the following elements (see datatype "DateFormat30Choice_1" on page 246 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		246
	DateCode	<DtCd>	Or}	[1..1]		246


Usage Guideline details

- This element(seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/DataDetails/LastTradingDate) is removed.

6.193 SecurityIdentification19__1

Definition: Identification of a security.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]		491
	OtherIdentification	<OthrId>		[0..*]		491
	Description	<Desc>		[0..1]		491

Rules

R51 DescriptionPresenceRule ✓

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00192
- *Error Text:* At least one identification must be present.

R52 OtherIdentificationPresenceRule ✓

If OtherIdentification is not present then either ISIN or Description must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00193
- *Error Text:* At least one identification must be present.

R53 ISINPresenceRule ✓

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00194
- *Error Text:* At least one identification must be present.

R54 DescriptionUsageRule

Description must be used alone as the last resort.

Error handling: Undefined

R55 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

Error handling: Undefined

Used in element(s)

["FinancialInstrumentIdentification" on page 266](#), ["FinancialInstrumentIdentification" on page 192](#),
["FinancialInstrumentIdentification" on page 277](#), ["SecurityIdentification" on page 287](#)

6.193.1 ISIN

XML Tag: ISIN

Presence: [0..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: ["ISINOct2015Identifier" on page 596](#)

6.193.2 OtherIdentification

XML Tag: OthrlId

Presence: [0..*]

Definition: Identification of a security by proprietary or domestic identification scheme.

The **OthrlId** block contains the following elements (see datatype ["OtherIdentification1_1" on page 379](#) for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	⚠	379
	Suffix	<Sfx>		[0..1]	🚫	380
	Type	<Tp>		[1..1]	⚠	380

6.193.3 Description

XML Tag: Desc

Presence: [0..1]

Definition: Textual description of a security instrument.







Datatype: ["RestrictedFINXMax140Text" on page 643](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/FinancialInstrumentIdentification/Description](#)
Type changed to: [RestrictedFINXMax140Text on page 643](#)
- on [seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/Description](#)
Type changed to: [RestrictedFINXMax140Text on page 643](#)
- on [seev.031.001.16/CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification/Description](#)
Type changed to: [RestrictedFINXMax140Text on page 643](#)
- on [seev.031.001.16/IntermediateSecurity/SecurityIdentification/Description](#)
Type changed to: [RestrictedFINXMax140Text on page 643](#)
- on [seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/Description](#)
Synonym (DTCC): Event Security Description
- on [seev.031.001.16/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/Description](#)
Comment:
Description of the security.

6.194 SignedQuantityFormat10__1

Definition: Signed quantity of security formats.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		492
	Quantity	<Qty>		[1..1]		493

Used in element(s)

["EligibleBalance" on page 58](#), ["EligibleBalance" on page 60](#), ["FullPeriodUnits" on page 499](#),
["FullPeriodUnits" on page 61](#), ["NotEligibleBalance" on page 58](#), ["NotEligibleBalance" on page 61](#),
["PartWayPeriodUnits" on page 500](#), ["PartWayPeriodUnits" on page 62](#), ["SignedQuantity" on page 414](#)

6.194.1 ShortLongPosition

XML Tag: ShrtLngPos

Presence: [1..1]

Definition: Sign of the quantity of security.

Datatype: ["ShortLong1Code" on page 648](#)







6.194.2 Quantity





XML Tag: Qty

Presence: [1..1]

Definition: Quantity of security.







The **Qty** block contains the following elements (see datatype ["FinancialInstrumentQuantity33Choice 1" on page 291](#) for details)


 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		291
	FaceAmount	<FaceAmt>	Or	[1..1]		299
	AmortisedValue	<AmtsdVal>	Or	[1..1]	 	306
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		313

6.195 SignedQuantityFormat11__1

Definition: Signed quantity of security formats.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		493
	QuantityChoice	<QtyChc>		[1..1]		494

Used in element(s)

["Balance" on page 57](#), ["Balance" on page 60](#)

6.195.1 ShortLongPosition

XML Tag: ShrtLngPos

Presence: [1..1]

Definition: Sign of the quantity of security.

Datatype: ["ShortLong1Code" on page 648](#)

Usage Guideline details

- on [seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/Balance/ShortLongPosition](#)

– **Market Practice Implementation Rule:**

Long must be used as default.

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/Balance/ShortLongPosition

– **Market Practice Implementation Rule:**

Long must be used as default.

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOutBalance/Balance/ShortLongPosition

– **Market Practice Implementation Rule:**

Long must be used as default.

- on seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/Balance/ShortLongPosition

– **Market Practice Implementation Rule:**

Long must be used as default.

6.195.2 QuantityChoice

XML Tag: QtyChc

Presence: [1..1]

Definition: Choice between different quantity of security formats.

The **QtyChc** block contains the following elements (see datatype "Quantity48Choice_1" on page 411 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]


Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		411
	ProprietaryQuantity	<PrtryQty>	Or}	[1..1]	🚫	411

6.196 SolicitationFeeRateFormat11Choice__1

Definition: Choice between a rate or an unspecified rate.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		495
	AmountToQuantity	<AmtToQty>	Or	[1..1]		495

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	Or	[1..1]		495
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		496

Used in element(s)

["EarlySolicitationFeeRate" on page 423](#), ["SolicitationFeeRate" on page 425](#)

6.196.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: ["Percentage14Rate" on page 628](#)







6.196.2 AmountToQuantity



XML Tag: AmtToQty

Presence: [1..1]

Definition: Ratio expressed as an amount to quantity ratio.

The **AmtToQty** block contains the following elements (see datatype ["AmountAndQuantityRatio4 1" on page 46](#) for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		47
	Quantity	<Qty>		[1..1]		47

6.196.3 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Cash amount.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 640](#)

Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/SolicitationFeeRate/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)
- on [seev.031.001.16/CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/EarlySolicitationFeeRate/Amount](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 640](#)

6.196.4 NotSpecifiedRate

XML Tag: NotSpcedRate







Presence: [1..1]


Definition: Value of the rate not specified.

Datatype: ["RateValueType7Code" on page 639](#)

6.197 SupplementaryData1

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		496
	Envelope	<Envlp>		[1..1]		497

Rules

R42 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

Error handling: Undefined

Used in element(s)

["SupplementaryData" on page 38](#)

6.197.1 PlaceAndName

XML Tag: PlcAndNm

Presence: [0..1]

Definition: Unambiguous reference to the location where the supplementary data must be inserted in the message instance.

In the case of XML, this is expressed by a valid XPath.

Datatype: ["Max350Text" on page 615](#)

Usage Guideline details

- This element([seev.031.001.16/SupplementaryData/PlaceAndName](#)) is removed.

6.197.2 Envelope







XML Tag: Envp

Presence: [1..1]

Definition: Technical element wrapping the supplementary data.

6.198 TaxableIncomePerShareCalculatedFormat3Choice__1

Definition: Choice between a standard code or proprietary code to specify whether the taxable income per share or per dividend is calculated.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		497
	Proprietary	<Prtry>	Or}	[1..1]		497

Used in element(s)

"TaxableIncomePerShareCalculated" on page 95

6.198.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify whether the fund calculates the taxable income per dividend/taxable income per share (TID/TIS).

Datatype: "CorporateActionTaxableIncomePerShareCalculated1Code" on page 576







6.198.2 Proprietary


XML Tag: Prtry


Presence: [1..1]

Definition: Proprietary identification to specify whether the fund calculates the taxable income per dividend/taxable income per share (TID/TIS).

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 326 for details)







 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SchemeName	<SchmeNm>		[0..1]		337

6.199 TemporaryFinancialInstrumentIndicator3Choice__1

Definition: Choice between an indicator or a proprietary code to specify whether the security is a temporary security.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TemporaryIndicator	<TemplInd>	{Or	[1..1]		498
	Proprietary	<Prtry>	Or}	[1..1]		498

Used in element(s)

"TemporaryFinancialInstrumentIndicator" on page 475

6.199.1 TemporaryIndicator

XML Tag: TemplInd

Presence: [1..1]

Definition: Temporary financial instrument identification used for processing reasons.

Datatype: "YesNoIndicator" on page 650







6.199.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary code to specify whether the security is a temporary security.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 326 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		326
	Issuer	<Issr>		[1..1]		327
	SchemeName	<SchmeNm>		[0..1]		337

6.200 TotalEligibleBalanceFormat10__1

Definition: Total eligible balance for the corporate action and full and part way period units.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>		[0..1]		499
	FullPeriodUnits	<FullPrdUnits>		[0..1]	🚫	499
	PartWayPeriodUnits	<PartWayPrdUnits>		[0..1]	🚫	500

Used in element(s)

"TotalEligibleBalance" on page 119

6.200.1 Balance

XML Tag: Bal

Presence: [0..1]

Definition: Provides information about balance related to a corporate action.

The **Bal** block contains the following elements (see datatype "[Quantity49Choice 1](#)" on page 412 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityChoice	<QtyChc>	{Or	[1..1]		412
	ProprietaryQuantity	<PrtryQty>	Or}	[1..1]	🚫	413

6.200.2 FullPeriodUnits

XML Tag: FullPrdUnits

Presence: [0..1]

Definition: Number of units of a fund that were purchased in a previous distribution period and/or held at the beginning of a distribution period, for example Group I Units in the UK.

The **FullPrdUnits** block contains the following elements (see datatype "[SignedQuantityFormat10 1](#)" on page 492 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		492
	Quantity	<Qty>		[1..1]		493

Usage Guideline details

- This element(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/FullPeriodUnits) is removed.







6.200.3 PartWayPeriodUnits

XML Tag: PartWayPrdUnits

Presence: [0..1]

Definition: Number of units of a fund that were purchased part way throughout a distribution period, for example Group II Units in the U.K.

The **PartWayPrdUnits** block contains the following elements (see datatype "SignedQuantityFormat10_1" on page 492 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		492
	Quantity	<Qty>		[1..1]		493


Usage Guideline details

- This element(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/PartWayPeriodUnits) is removed.

6.201 UpdatedAdditionalInformation19__1

Definition: Additional information with update description and date.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		500
	AdditionalInformation	<AddtlInf>		[1..1]		501

Used in element(s)

"AdditionalText" on page 172, "NarrativeVersion" on page 173

6.201.1 Language

XML Tag: Lang

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: ["ISO2ALanguageCode" on page 596](#)

6.201.2 AdditionalInformation

XML Tag: AddtlInf

Presence: [1..1]

Definition: Provides additional textual information.







Datatype: ["RestrictedFINXMax350Text" on page 645](#)



Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/AdditionalInformation/AdditionalText/AdditionalInformation](#)
Type changed to: [RestrictedFINXMax350Text on page 645](#)
- on [seev.031.001.16/CorporateActionOptionDetails/AdditionalInformation/NarrativeVersion/AdditionalInformation](#)
Type changed to: [RestrictedFINXMax350Text on page 645](#)

6.202 UpdatedAdditionalInformation20__1

Definition: Additional information with update description and date.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		502
	AdditionalInformation	<AddtlInf>		[1..*]		502

Rules

R113 AdditionalInformationRule

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

Error handling: Undefined

Used in element(s)

["AdditionalText" on page 177](#),
 ["BasketOrIndexInformation" on page 181](#),
 ["CertificationBreakdown" on page 181](#),
 ["Disclaimer" on page 179](#),
 ["InformationConditions" on page 178](#),
 ["InformationToComplyWith" on page 179](#),
 ["NarrativeVersion" on page 178](#),
 ["PartyContactNarrative" on page 180](#),
 ["ProcessingTextForNextIntermediary" on page 182](#),
 ["RegistrationDetails" on page 180](#),
 ["Taxation-Conditions" on page 179](#)

6.202.1 Language

XML Tag: Lang

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: ["ISO2ALanguageCode" on page 596](#)

Usage Guideline details

- on [seev.031.001.16/AdditionalInformation/AdditionalText/Language](#)

Single value: en

- on [seev.031.001.16/AdditionalInformation/AdditionalText/Language](#)

Comment:

Will always be English - en

6.202.2 AdditionalInformation

XML Tag: AddtlInf

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: ["RestrictedFINZMax8000Text" on page 646](#)

Usage Guideline details

- on [seev.031.001.16/AdditionalInformation/AdditionalText/AdditionalInformation](#)
Type changed to: [RestrictedFINZMax8000Text on page 646](#)
- on [seev.031.001.16/AdditionalInformation/TaxationConditions/AdditionalInformation](#)
Type changed to: [RestrictedFINZMax8000Text on page 646](#)
- on [seev.031.001.16/AdditionalInformation/InformationConditions/AdditionalInformation](#)
Type changed to: [RestrictedFINZMax8000Text on page 646](#)
- on [seev.031.001.16/AdditionalInformation/Disclaimer/AdditionalInformation](#)
Type changed to: [RestrictedFINZMax8000Text on page 646](#)
- on [seev.031.001.16/AdditionalInformation/NarrativeVersion/AdditionalInformation](#)
Type changed to: [RestrictedFINZMax8000Text on page 646](#)
- on [seev.031.001.16/AdditionalInformation/CertificationBreakdown/AdditionalInformation](#)
Type changed to: [RestrictedFINZMax8000Text on page 646](#)
- on [seev.031.001.16/AdditionalInformation/RegistrationDetails/AdditionalInformation](#)
Type changed to: [RestrictedFINZMax8000Text on page 646](#)
- on [seev.031.001.16/AdditionalInformation/PartyContactNarrative/AdditionalInformation](#)

Type changed to: [RestrictedFINZMax8000Text on page 646](#)

- on [seev.031.001.16/AdditionalInformation/ProcessingTextForNextIntermediary/AdditionalInformation](#)

Type changed to: [RestrictedFINZMax8000Text on page 646](#)

- on [seev.031.001.16/AdditionalInformation/BasketOrIndexInformation/AdditionalInformation](#)







Type changed to: [RestrictedFINZMax8000Text on page 646](#)


- on [seev.031.001.16/AdditionalInformation/InformationToComplyWith/AdditionalInformation](#)

Type changed to: [RestrictedFINZMax8000Text on page 646](#)

6.203 UpdatedAdditionalInformation21__1

Definition: Additional information with update description and date.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		503
	AdditionalInformation	<AddtlInf>		[1..*]		503

Used in element(s)

["CertificationBreakdown" on page 176](#),
 ["Disclaimer" on page 175](#),
 ["InformationConditions" on page 173](#),
 ["InformationToComplyWith" on page 174](#),
 ["SecurityRestriction" on page 174](#),
 ["Taxation-Conditions" on page 175](#)

6.203.1 Language

XML Tag: Lang

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: ["ISO2ALanguageCode" on page 596](#)

6.203.2 AdditionalInformation

XML Tag: AddtlInf

Presence: [1..*]

Definition: Provides additional textual information.







Datatype: ["RestrictedFINXMax350Text" on page 645](#)


Usage Guideline details

- on [seev.031.001.16/CorporateActionOptionDetails/AdditionalInformation/TaxationConditions/AdditionalInformation](#)
Type changed to: [RestrictedFINXMax350Text](#) on page 645
- on [seev.031.001.16/CorporateActionOptionDetails/AdditionalInformation/CertificationBreakdown/AdditionalInformation](#)
Type changed to: [RestrictedFINXMax350Text](#) on page 645
- on [seev.031.001.16/CorporateActionOptionDetails/AdditionalInformation/InformationConditions/AdditionalInformation](#)
Type changed to: [RestrictedFINXMax350Text](#) on page 645
- on [seev.031.001.16/CorporateActionOptionDetails/AdditionalInformation/SecurityRestriction/AdditionalInformation](#)
Type changed to: [RestrictedFINXMax350Text](#) on page 645
- on [seev.031.001.16/CorporateActionOptionDetails/AdditionalInformation/Disclaimer/AdditionalInformation](#)
Type changed to: [RestrictedFINXMax350Text](#) on page 645
- on [seev.031.001.16/CorporateActionOptionDetails/AdditionalInformation/InformationToComplyWith/AdditionalInformation](#)
Type changed to: [RestrictedFINXMax350Text](#) on page 645

6.204 UpdatedURLInformation6__1

Definition: Additional information with update description and date.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Language	<Lang>		[1..1]		504
	URLAddress	<URLAdr>		[1..1]		505

Used in element(s)

["URLAddress" on page 171](#), ["URLAddress" on page 182](#)

6.204.1 Language

XML Tag: Lang

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: ["ISO2ALanguageCode" on page 596](#)

6.204.2 URLAddress

XML Tag: URLAdr

Presence: [1..1]

Definition: Provides the web address, that is, the address for the Universal Resource Locator (URL), to use over the www (HTTP) service where additional information may be found.

Datatype: "RestrictedFINZMax2048Text" on page 646

Usage Guideline details

- on **seev.031.001.16/AdditionalInformation/URLAddress/URLAddress**
Type changed to: RestrictedFINZMax2048Text on page 646
- on **seev.031.001.16/CorporateActionDetails/AdditionalInformation/URLAddress/URLAddress**
Type changed to: RestrictedFINZMax2048Text on page 646

7 Message Datatypes

Note The following chapter identifies the datatypes that are used in the message.

7.1 ActiveCurrencyAnd13DecimalAmount

Definition: A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217. The number of fractional digits (or minor unit of currency) is not checked as per ISO 4217: It must be lesser than or equal to 13.

Note: The decimal separator is a dot.

Type: Amount

This data type must be used with the following XML Attributes:

Attribute	Tag	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 506

Format

fractionDigits	13
minInclusive	0
totalDigits	18

Used in element(s)

"Amount" on page 430, "Amount" on page 432, "Amount" on page 442

7.2 ActiveCurrencyCode

Definition: A code allocated to a currency by a Maintenance Agency under an international identification scheme as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

Type: CodeSet

Format

pattern	[A-Z]{3,3}
---------	------------

Rules

R39 ActiveCurrency ✓

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

Error handling:

– Error severity: Fatal

- *Error Code:* D00005
- *Error Text:* Invalid currency code

Used in element(s)

["CurrencyOption" on page 190](#), ["CurrencyOption" on page 480](#), ["QuotedCurrency" on page 322](#), ["QuotedCurrency" on page 322](#), ["UnitCurrency" on page 321](#), ["UnitCurrency" on page 322](#)

Used in attribute(s)

["ActiveCurrencyAnd13DecimalAmount" on page 506](#), ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 640](#), ["RestrictedFINActiveCurrencyAndAmount" on page 641](#)

Restricts

["ParentCurrencyCode" on page 627](#)

7.3 ActiveOrHistoricCurrencyCode

Definition: A code allocated to a currency by a Maintenance Agency under an international identification scheme, as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

Type: CodeSet

Format

pattern [A-Z]{3,3}

Rules**R50 ActiveOrHistoricCurrency ✓**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00006
- *Error Text:* Invalid currency code.

Used in element(s)

["DenominationCurrency" on page 269](#), ["DenominationCurrency" on page 279](#)

Restricts

["ParentCurrencyCode" on page 627](#)

7.4 AdditionalBusinessProcess9Code

Definition: Specifies the additional business process linked to a corporate action event.

Type: CodeSet

Code	Name	Definition
ACLA	AutomaticMarketClaim	<p>Will automatically give rise to market claims by the account servicer, for example, a Central Securities Depository (CSD).</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/AutomaticMarketClaim) is removed.
ATXF	SubjectToTransformation	<p>As a result of the corporate action, all transactions in the underlying security will be cancelled by the system and replaced with transactions in the outturn resource(s).</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/SubjectToTransformation) is removed.
CNTR	CancelUnderlyingTrades	<p>As a result of the corporate action, all transactions in the underlying security will be cancelled by the system.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/CancelUnderlyingTrades) is removed.
CONS	Consent	<p>Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/Consent

Code	Name	Definition
		Synonym (DTCC): Subevent Type Consent
FPRE	FullPrefunding	<p>Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/FullPrefunding <ul style="list-style-type: none"> – Full Prerefunding Rule: <p>Used when Corporate Action Event Type is PDEF and DTC event is Full Prerefunding</p> • on seev.031.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/FullPrefunding <p>Synonym (DTCC): DTCC Event Full Prerefunding</p>
INCP	IncentivePremiumPayment	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.
NAMC	NoAutomaticMarketClaimsAndTransformations	<p>Event will not automatically give rise to market claims and transformations by the account servicer, for example, a Central Securities Depository (CSD).</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/NoAutomaticMarketClaimsAndTransformations) is removed.
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding.

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/NoSplitElection) is removed.
PPRE	PartialPreFunding	<p>Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/PartialPreFunding <ul style="list-style-type: none"> – Partial Prerefunding Rule: <p>Used when Corporate Action Event type is PDEF and DTC event type is Partial Prerefunding</p> • on seev.031.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/PartialPreFunding <p>Synonym (DTCC): DTCC Event Partial Prerefunding</p>
PPUT	PartialMandatoryPutRedemption	<p>Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/

Code	Name	Definition
		PartialMandatoryPutRedemption Synonym (DTCC): Partial Mandatory Put
REAC	RequiredAction	<p>Proceeds / entitlements of the mandatory event can only be paid if specified action is taken by the account owner.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/RequiredAction) is removed.
SCHM	SchemeOrPlanOfArrangement	<p>Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/SchemeOrPlanOfArrangement) is removed.

Used in element(s)

"Code" on page 46

Restricts

"AdditionalBusinessProcessCode" on page 511

7.5 AdditionalBusinessProcessCode

Definition: Specifies the additional business process linked to a corporate action event such as an automatic market claim.

Type: CodeSet

Code	Name	Definition
ACLA	AutomaticMarketClaim	Will automatically give rise to market claims by the account servicer, for example, a Central Securities Depository (CSD).

Code	Name	Definition
ATXF	SubjectToTransformation	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system and replaced with transactions in the outturn resource(s).
CLAI	ClaimOrCompensation	Relates to a claim on the associated corporate action event.
CLFT	FullTransferOfReceivedProceeds	Market Claim is to transfer proceeds received from the issuer to the entitled party.
CLNT	PartialTransferOfReceivedProceeds	Market Claim is to transfer proceeds partially received from the issuer to the entitled party. Part is compensated in cash by the party that failed to fulfil its obligation.
CLPT	NoTransferOfReceivedProceeds	Market Claim is to compensate the entitled party in cash by the party that failed to fulfil its obligation. No proceeds have been received from the issuer.
CNTR	CancelUnderlyingTrades	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.
INCP	IncentivePremiumPayment	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.
NAMC	NoAutomaticMarketClaimsAndTransformations	Event will not automatically give rise to market claims and transformations by the account servicer, for example, a Central Securities Depository (CSD).
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will

Code	Name	Definition
		be used to determine eligibility for the event.
REAC	RequiredAction	Proceeds / entitlements of the mandatory event can only be paid if specified action is taken by the account owner.
REVR	NotificationOfReversal	Indicates a preadvice of movement reversal.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.
TAXR	TaxRefund	Relates to a tax refund from the authorities on the associated corporate action event.

Is restricted by

"AdditionalBusinessProcess9Code" on page 507

7.6 AddressType2Code

Definition: Specifies the type of address.

Type: CodeSet

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

Used in element(s)

"AddressType" on page 390

Restricts

"AddressTypeCode" on page 513

7.7 AddressTypeCode

Definition: Specifies the type of address.

Type: CodeSet

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

Is restricted by

"AddressType2Code" on page 513

7.8 AmountDirectionCode

Definition: Specifies if an operation is an increase or a decrease or the result of a reversal operation.

Type: CodeSet

Code	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.
RVCD	ReversalCredit	Debit entry used to reverse a previously booked credit entry.
RVDB	ReversalDebit	Credit entry used to reverse a previously booked debit entry.

Is restricted by

"CreditDebitCode" on page 577

7.9 AmountPriceType1Code

Definition: Specifies the amount price type.

Type: CodeSet

Code	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

Used in element(s)

"AmountPriceType" on page 50, "AmountPriceType" on page 54, "AmountPriceType" on page 53

Restricts

["AmountPriceTypeCode" on page 515](#)

7.10 AmountPriceType2Code

Definition: Specifies the amount price type.

Type: CodeSet

Code	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.

Used in element(s)

["AmountPriceType" on page 49](#)

Restricts

["AmountPriceTypeCode" on page 515](#)

7.11 AmountPriceType3Code

Definition: Specifies the amount price type.

Type: CodeSet

Code	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
PLOT	Lot	Price expressed as an amount of money per lot.

Used in element(s)

["AmountPriceType" on page 52](#)

Restricts

["AmountPriceTypeCode" on page 515](#)

7.12 AmountPriceTypeCode

Definition: Specifies the amount price type.

Type: CodeSet

Code	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.

Code	Name	Definition
PREM	Premium	Price expressed as a premium.

Is restricted by

"AmountPriceType1Code" on page 514, "AmountPriceType2Code" on page 515, "AmountPriceType3Code" on page 515

7.13 AnyBICDec2014Identifier

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Rules**R41 AnyBIC ✓**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00008
- *Error Text:* Invalid BIC.

Used in element(s)

"AnyBIC" on page 383, "AnyBIC" on page 384, "AnyBIC" on page 382, "Identification" on page 470

7.14 BaseOne14Rate

Definition: Rate expressed as a decimal, for example, 0.7 is 7/10 and 70% with 13 fractional digits maximum and 14 total digits.

Type: Rate

Format

fractionDigits 13
totalDigits 14

Used in element(s)

"ExchangeRate" on page 322, "ExchangeRate" on page 323, "Rate" on page 439

7.15 BeneficiaryCertificationType6Code

Definition: Specifies the type of certification required.

Type: CodeSet

Code	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
DIOR	IntermediaryDocumentationRequired	Documentation required on or prior to the documentation deadline date.
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
FRAC	FractionBreakdown	Indicates that the rounding rule in the Fraction Disposition component at the option level can be offered at the beneficial owner level.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.
NDOM	NonDomicileCountry	The holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.
PABD	ICSDParticipantBreakdown	The (I)CSD has to provide its participants' details for disclosure purpose to the issuer/issuer's agent.
PAPW	BeneficialOwnerPaperwork	Indicates if the Beneficial Owner is responsible to fill in the paperwork.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.

Used in element(s)

"Code" on page 62

Restricts

"BeneficiaryCertificationTypeCode" on page 517

7.16 BeneficiaryCertificationTypeCode

Definition: The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.

Type: CodeSet

Code	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
DIOR	IntermediaryDocumentationRequired	Documentation required on or prior to the documentation deadline date.
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
FRAC	FractionBreakdown	Indicates that the rounding rule in the Fraction Disposition component at the option level can be offered at the beneficial owner level.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
NARR	NarrativeDescription	See narrative field for certification requirements.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.
NDOM	NonDomicileCountry	The holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.
PABD	ICSDParticipantBreakdown	The (I)CSD has to provide its participants' details for disclosure purpose to the issuer/issuer's agent.
PAPW	BeneficialOwnerPaperwork	Indicates if the Beneficial Owner is responsible to fill in the paperwork.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.

Is restricted by

"BeneficiaryCertificationType6Code" on page 517

7.17 BidRangeType1Code

Definition: Types of acceptable bid range values.

Type: CodeSet

Code	Name	Definition
DIVI	Divisible	All values (including minimum and maximum prices) are divisible by the bid interval.
INCR	Incremental	No values within the bid range are divisible by the bid interval including the minimum and maximum prices. Each value between the minimum and maximum prices is incremented by the bid interval.
MULT	InMultiple	Minimum and maximum prices are not divisible by the bid interval. All successive values between the minimum and maximum prices are in multiples of the bid interval.

Used in element(s)

"Code" on page 63

Restricts

"BidRangeTypeCode" on page 519

7.18 BidRangeTypeCode

Definition: Types of acceptable bid range values.

Type: CodeSet

Code	Name	Definition
DIVI	Divisible	All values (including minimum and maximum prices) are divisible by the bid interval.
INCR	Incremental	No values within the bid range are divisible by the bid interval including the minimum and maximum prices. Each value between the minimum and maximum prices is incremented by the bid interval.
MULT	InMultiple	Minimum and maximum prices are not divisible by the bid interval. All successive values between the minimum and maximum prices are in multiples of the bid interval.

Is restricted by

"BidRangeType1Code" on page 518

7.19 CFIOct2015Identifier

Definition: Classification type of the financial instrument, as per the ISO 10962 Classification of Financial Instrument (CFI) codification, for example, common share with voting rights, fully paid, or registered.

Note: this identifier also supports the updated versions of the standard, which were published in 2019 and 2021 (ISO 10962 :2019 and ISO 10962:2021) as there is no update in the pattern.

Type: IdentifierSet

Format

pattern [A-Z]{6,6}

Used in element(s)

["ClassificationFinancialInstrument" on page 80](#)

7.20 CertificationFormatType1Code

Definition: Specifies the certification format required, that is, physical or electronic format.

Type: CodeSet

Code	Name	Definition
ELEC	Electronic	Certification must be provided in electronic format.
PHYS	Physical	Certification must be provided in physical format.

Used in element(s)

["Code" on page 79](#)

Restricts

["CertificationFormatTypeCode" on page 520](#)

7.21 CertificationFormatTypeCode

Definition: Specifies the certification format required, that is, physical or electronic format.

Type: CodeSet

Code	Name	Definition
ELEC	Electronic	Certification must be provided in electronic format.
PHYS	Physical	Certification must be provided in physical format.

Is restricted by

["CertificationFormatType1Code" on page 520](#)

7.22 ConsentType1Code

Definition: Specifies the type of consent announced.

Type: CodeSet

Code	Name	Definition
CTRM	ChangeInTerms	Change in the terms and conditions of the bond.
DUPY	DueAndPayable	Change in the due and payable conditions.

Used in element(s)

"Code" on page 80

Restricts

"ConsentTypeCode" on page 521

7.23 ConsentTypeCode

Definition: Specifies the type of consent announced.

Type: CodeSet

Code	Name	Definition
CTRM	ChangeInTerms	Change in the terms and conditions of the bond.
DUPY	DueAndPayable	Change in the due and payable conditions.

Is restricted by

"ConsentType1Code" on page 520

7.24 CorporateActionChangeType1Code

Definition: Specifies the type of changes.

Type: CodeSet

Code	Name	Definition
BERE	BearToRegistered	Change from bearer into registered form.
CERT	Certificates	Change of all instruments into certificates.
DECI	Decimalisation	Process of changing the accounting method of a security, for example, mutual fund, from units to a quantity with decimals.
DEPH	DematerialisedToPhysical	Change from dematerialised into physical form.
GPPH	GlobalPermanentToPhysical	Change from global permanent to physical form.
GTGP	GlobalTemporaryToGlobalPermanent	Change from global temporary to global permanent form.
GTPH	GlobalTemporaryToPhysical	Change from global temporary to physical form.

Code	Name	Definition
NAME	Name	The issuing company changes its name. CA event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
PHDE	PhysicalToDematerialised	Change from physical into dematerialised form.
REBE	RegisteredToBearer	Change from registered into bearer form.
TERM	Terms	Change in the terms of the security.

Used in element(s)

"Code" on page 132

Restricts

"CorporateActionCodeTypeCode" on page 522

7.25 CorporateActionCodeTypeCode

Definition: Specifies the type of changes.

Type: CodeSet

Code	Name	Definition
BERE	BearToRegistered	Change from bearer into registered form.
CERT	Certificates	Change of all instruments into certificates.
DECI	Decimalisation	Process of changing the accounting method of a security, for example, mutual fund, from units to a quantity with decimals.
DEPH	DematerialisedToPhysical	Change from dematerialised into physical form.
GPPH	GlobalPermanentToPhysical	Change from global permanent to physical form.
GTGP	GlobalTemporaryToGlobalPermanent	Change from global temporary to global permanent form.
GTPH	GlobalTemporaryToPhysical	Change from global temporary to physical form.
NAME	Name	The issuing company changes its name. CA event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
PHDE	PhysicalToDematerialised	Change from physical into dematerialised form.
REBE	RegisteredToBearer	Change from registered into bearer form.
TERM	Terms	Change in the terms of the security.

Is restricted by

["CorporateActionCodeType1Code" on page 521](#)

7.26 CorporateActionEventProcessingType1Code

Definition: Specifies the type of event processing.

Type: CodeSet

Code	Name	Definition
DISN	Distribution	Holder of the relevant security on a certain date, for example, the record date, will receive a benefit without giving up the underlying security.
GENL	General	No debit or credit of resources.
REOR	Reorganisation	Underlying security will be debited and may be replaced by another resource (or resources).

Used in element(s)

["Code" on page 159](#)

Restricts

["CorporateActionEventProcessingTypeCode" on page 523](#)

7.27 CorporateActionEventProcessingTypeCode

Definition: Specifies the type of event processing.

Type: CodeSet

Code	Name	Definition
DISN	Distribution	Holder of the relevant security on a certain date, for example, the record date, will receive a benefit without giving up the underlying security.
GENL	General	No debit or credit of resources.
REDM	Redemption	Indicates that the announcement is for a redemption of securities for events including full and partial calls, maturities partial prerefunding and partial defeasance events.
REOR	Reorganisation	Underlying security will be debited and may be replaced by another resource (or resources).

Is restricted by

["CorporateActionEventProcessingType1Code" on page 523](#)

7.28 CorporateActionEventStage3Code

Definition: Specifies the stage of the CA event.

Type: CodeSet

Code	Name	Definition
APPD	Approved	<p>Approved at the relevant meeting, for example, dividend.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionDetails/EventStage/Code/Approved) is removed.
CLDE	Deactivated	<p>Offer is closed for acceptance.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionDetails/EventStage/Code/Deactivated) is removed.
FULL	CancellationAndReRun	<p>Cancellation and re-run of an original lottery or an original and supplemental lottery. A subsequent lottery will be performed under a new event.</p>
LAPS	Lapsed	<p>Event/offer conditions have not been met and the event/offer is terminated or lapsed.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionDetails/EventStage/Code/Lapsed) is removed.
PART	SupplementalCancellationAndReRun	<p>Cancellation and re-run of a supplemental lottery only. A subsequent new supplemental lottery will be performed under the original event.</p>
PWAL	ActionPeriod	<p>Open for acceptance until the next specified deadline in the announcement (if any).</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionDetails/EventS-

Code	Name	Definition
		tage/Code/ActionPeriod) is removed.
RESC	SupplementalCancellation	A supplemental lottery is being cancelled by the issuer. No subsequent lottery will be performed.
SUAP	SubjectToApproval	<p>Subject to approval at the relevant meeting, for example, dividend.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionDetails/EventStage/Code/SubjectToApproval) is removed.
UNAC	UnconditionalAsToAcceptance	<p>The required level of acceptances specified in the terms of the offer has been achieved but there are still outstanding conditions to fulfil.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionDetails/EventStage/Code/UnconditionalAsToAcceptance) is removed.
WHOU	WhollyUnconditional	<p>Wholly unconditional; all conditions specified in the offer document have been satisfied.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionDetails/EventStage/Code/WhollyUnconditional) is removed.

Used in element(s)

"Code" on page 162

Restricts

"CorporateActionEventStageV2Code" on page 526

7.29 CorporateActionEventStageV2Code

Definition: Specifies the stage of the corporate action event.

Type: CodeSet

Code	Name	Definition
APPD	Approved	Approved at the relevant meeting, for example, dividend.
CLDE	Deactivated	Offer is closed for acceptance.
FULL	CancellationAndReRun	Cancellation and re-run of an original lottery or an original and supplemental lottery. A subsequent lottery will be performed under a new event.
LAPS	Lapsed	Event/offer conditions have not been met and the event/offer is terminated or lapsed.
PART	SupplementalCancellationAndReRun	Cancellation and re-run of a supplemental lottery only. A subsequent new supplemental lottery will be performed under the original event.
PWAL	ActionPeriod	Open for acceptance until the next specified deadline in the announcement (if any).
RESC	SupplementalCancellation	A supplemental lottery is being cancelled by the issuer. No subsequent lottery will be performed.
SUAP	SubjectToApproval	Subject to approval at the relevant meeting, for example, dividend.
UNAC	UnconditionalAsToAcceptance	The required level of acceptances specified in the terms of the offer has been achieved but there are still outstanding conditions to fulfil.
WHOU	WhollyUnconditional	Wholly unconditional; all conditions specified in the offer document have been satisfied.

Is restricted by

"CorporateActionEventStage3Code" on page 523

7.30 CorporateActionEventType35Code

Definition: Specifies the corporate action event type.

Type: CodeSet

Code	Name	Definition
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have

Code	Name	Definition
		<p>been distributed to investors for tax purposes.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Accumulation) is removed.
ACTV	ActiveTradingStatus	<p>Trading in the security has commenced or security has been re-activated after a suspension in trading.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/ActiveTradingStatus) is removed.
ATTI	Attachment	<p>Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Attachment) is removed.
BIDS	RepurchaseOffer	<p>Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/RepurchaseOffer

Code	Name	Definition
		<p>– Market Practice Implementation Rules:</p> <p>Used when the event type is Tender Offer and the offeror is the issuer of the security.</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/RepurchaseOffer <p>Synonym (DTCC): Tender Offer</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/RepurchaseOffer <p>Comment: Offer made to security holders, requesting them to sell (tender) their securities for a specified price (usually at a premium over prevailing market prices).</p>
BONU	BonusIssue	<p>Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/BonusIssue) is removed.
BPUT	PutRedemption	<p>Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/PutRedemption <p>– Market Practice Usage Rule:</p>

Code	Name	Definition
		<p>Identifies Put, Mandatory Put or Partial Mandatory Put event types.</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/PutRedemption Synonym (DTCC): Put • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/PutRedemption Synonym (DTCC): Partial Mandatory Put • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/PutRedemption Synonym (DTCC): Mandatory Put • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/PutRedemption Comment: Mandatory Put: mandatory exchange of all outstanding bonds (with a Put feature) for cash. The issuer may offer holders the right to retain their securities instead of exchanging them. Partial Mandatory Put: mandatory exchange of a portion of bonds, where the exchanged securities maybe remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. Put: feature of a bond that entitles the holder to elect to surrender the bond for cash during a predetermined time period, with a predetermined payable date.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Bankruptcy <p>Synonym (DTCC): Plan of Reorganisation (Vote)</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Bankruptcy <p>Synonym (DTCC): Bankruptcy</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Bankruptcy <p>Comment:</p> <p>Bankruptcy: notice given by a company facing financial difficulties that affords the company protection from creditors. The bankruptcy notice may require shareholder action and will usually involve a court ruling in which the securities may become valueless. Similar to the "Default Notice" event, however this is for information only. The actual processing of any entitlements will take place under a separate event.</p> <p>Plan of Reorganisation (Vote): reorganisation plan devised by the company or trustees that is usually associated with a bankruptcy filing. Identifies an event where a vote/consent is sought for the plan of reorganisation.</p>
CAPD	CapitalDistribution	<p>Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInforma-

Code	Name	Definition
		<p>tion/EventType/Code/CapitalDistribution</p> <p>Synonym (DTCC): Return of Capital (DRIP Issuer Only)</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/CapitalDistribution <p>Synonym (DTCC): Return of Capital</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/CapitalDistribution <p>Synonym (DTCC): Return of Capital (Opt Out)</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/CapitalDistribution <p>Synonym (DTCC): Return of Capital (DRIP DTC Only)</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/CapitalDistribution <p>Comment: Distribution of cash resulting from the sale of a capital asset or securities, or any other transaction unrelated to retained earnings. Security holders may have to adjust the 'cost basis' of their holding to account for the payment.</p>
CAPG	CapitalGainsDistribution	<p>Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.</p> <hr/> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/CapitalGainsDistribution

Code	Name	Definition
		<p>Synonym (DTCC): Capital Gains Distribution</p> <ul style="list-style-type: none"> on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Capital-GainsDistribution <p>Comment: Distribution of cash that the issuer has determined will be declared as income financed from capital gains and not ordinary income.</p>
CAPI	Capitalisation	<p>Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Capitalisation <p>Synonym (DTCC): Principal</p>
CERT	NonUSTEFRADCertification	<p>Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> This code(seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/NonUSTEFRADCertification) is removed.
CHAN	Change	<p>Information regarding a change further described in the corporate action details.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Change

Code	Name	Definition
		<p>– Market Practice Implementation Rule:</p> <p>Used when the event type is Name Change (no sub event type) or Change with sub event types (Board lot, Place of Listing, Security).</p> <p>When event type is Change (Security), ChangeType:TERM code must be used also in the core schema.</p> <p>When event type is Name Change, ChangeType:NAME code must be used also in the core schema.</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Change Synonym (DTCC): Change • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Change Synonym (DTCC): Name Change • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Change Comment: Change: issuer is announcing a change in company or security details. Name Change: issuer changes its name or the name of its security(ies). This may involve surrendering physical securities. A new security identifier may be assigned to the new name, especially when new securities are issued.
CLSA	ClassActionProposedSettlement	<p>Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionGeneralInforma-

Code	Name	Definition
		tion/EventType/Code/ClassAction-ProposedSettlement) is removed.
CONS	Consent	<p>Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Consent <p>Synonym (DTCC): Consent</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Consent <p>Comment: Solicitation to security holders for their agreement (consent) to proposed changes in the terms of the security, usually without a formal general meeting. A fee may be paid to the security holder.</p>
CONV	Conversion	<p>Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Conversion <p>Synonym (DTCC): Conversion</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Conversion <p>Comment: Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price or rate.</p>

Code	Name	Definition
COOP	CompanyOption	<p>Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/CompanyOption) is removed.
CREV	CreditEvent	<p>Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/CreditEvent) is removed.
DECR	DecreaseInValue	<p>Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/DecreaseInValue) is removed.

Code	Name	Definition
DETI	Detachment	<p>Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Detachment <p>Synonym (DTCC): Security Separation</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Detachment <p>Comment: Separation of a "unit" security (which consists of 2 or more securities that were originally issued) back into individual components.</p>
DFLT	BondDefault	<p>Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/BondDefault <p>Synonym (DTCC): Default</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/BondDefault <p>Comment: Notice of failure by the issuer to honor commitments made within the terms of the issued security. It usually relates to making timely payments of interest and principal as they come due. A payment may</p>

Code	Name	Definition
		be made in lieu of reinstituting the original payments.
DLST	TradingStatusDelisted	<p>Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/TradingStatusDelisted) is removed.
DRAW	Drawing	<p>Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Drawing <p>Synonym (DTCC): Partial Call</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Drawing <p>Comment: Securities are redeemed by the issuer for cash, in part, before their scheduled maturity date. The outstanding amount of securities will be proportionally reduced based on a specific percentage of holding. A lottery may be run where pooled securities are held.</p>
DRCA	CashDistributionFromNonEligibleSecurities-Sales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/CashDistributionFromNonEligibleSecuritiesSales <p>Synonym (DTCC): Return of Capital (Sale of Assets)</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/CashDistributionFromNonEligibleSecuritiesSales <p>Synonym (DTCC): Sale of Rights (ADR)</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/CashDistributionFromNonEligibleSecuritiesSales <p>Comment: This event will be used for the scenarios where securities are subject to redemptions other than full and partial calls. Specifically, when there is a feature of the security that allows the issuer to make a payment to the security holder.</p> <p>Sale of Rights (ADR) is defined as a corporate action event where the rights are ineligible and must be sold, thus the holder can only receive cash for them.</p> <p>Return of Capital (ADR) is defined as corporate action event where the securities other than rights are ineligible and must be sold, thus the holder can only receive cash.</p>
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/DividendReinvestment Synonym (DTCC): Automatic Dividend Reinvestment • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/DividendReinvestment Synonym (DTCC): Special Dividend (DRIP Issuer) • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/DividendReinvestment Synonym (DTCC): Cash Dividend (DRIP Issuer) • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/DividendReinvestment Comment: Automatic Dividend Reinvestment: mandatory event for which the dividend payment is paid only in additional shares of the same security. This event type will predominantly be used for US securities and differs from the Dividend Reinvestment option (a voluntary portion of the event that applies to non-US securities). "DRIP" also appears as an option under relevant events.
DSCL	Disclosure	<p>Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionGeneralInforma-

Code	Name	Definition
		tion/EventType/Code/Disclosure) is removed.
DTCH	DutchAuction	<p>Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/DutchAuction <p>Synonym (DTCC): Dutch Auction</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/DutchAuction <p>Comment: Identifies a tender event in which the corporation offers to purchase up to a certain amount of securities within a price range. Holders must submit a specific price within that range that they would be willing to accept. The actual tender price is not determined until the end of the offer.</p>
DVCA	CashDividend	<p>Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/CashDividend <p>– Market Practice Implementation Rule:</p> <p>Used when the event type is Cash Dividend with no sub event type, or sub event types DTC DRIP (DTC announcement dividend reinvestment and opt out, or special dividend event type).</p>

Code	Name	Definition
		<p>When event type is special dividend, DividendType:SPEC code must be used in core schema.</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/CashDividend Synonym (DTCC): Special Dividend • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/CashDividend Synonym (DTCC): Cash Dividend • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/CashDividend Comment: Cash Dividend: distribution of cash to shareholders, paid by the issuer, usually based upon current earnings and/or accumulated profits as declared by the board of directors. Special Dividend: cash payment to shareholders that represents an extra or non-regular payment.
DVOP	DividendOption	<p>Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/DividendOption Synonym (DTCC): Dividend With Option • on seev.031.001.16/CorporateActionGeneralInforma-

Code	Name	Definition
		<p>tion/EventType/Code/DividendOption</p> <p>Comment: Distribution of a dividend to shareholders with the choice of payment method. The shareholder has the option to choose the form of payment (e.g. securities, cash, or both).</p>
DVSC	ScripDividend	<p>Dividend or interest paid in the form of scrip.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/ScripDividend) is removed.
DVSE	StockDividend	<p>Dividend paid to shareholders in the form of equities of the issuing corporation.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/StockDividend <p>Synonym (DTCC): Stock Dividend</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/StockDividend <p>Comment: Dividend paid to shareholders in the form of shares of stock of the same security.</p>
EXOF	Exchange	<p>Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".</p>

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Exchange Synonym (DTCC): Exchange Offer • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Exchange Synonym (DTCC): Mandatory Exchange • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Exchange Synonym (DTCC): Termination • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Exchange Comment: Exchange Offer: offer to surrender securities in exchange for other securities or a combination of securities and cash. The exchange may also include a consent solicitation. Mandatory Exchange: required surrender of certificates to be exchanged for a new security or cash. Termination: security, usually a form of a derivative for which the agent or issuer has decided to terminate the derivative based on a change to the underlying security(ies) or a change in strategy.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHD). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/CallOnIntermediateSecurities <p>Synonym (DTCC): Sale or Rights (Poison Pill)</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/CallOnIntermediateSecurities <p>Synonym (DTCC): Rights Subscription</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/CallOnIntermediateSecurities <p>Comment: Rights Subscription: privilege granted to holders of rights to purchase new or additional securities. Rights are often tradable in a secondary market. Sale of Rights (Poison Pill) is defined as an event where the issuer redeems poison pill rights for cash.</p>
EXTM	MaturityExtension	<p>As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/MaturityExtension) is removed.
EXWA	WarrantExercise	<p>Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).</p>

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/WarrantExercise <p>Synonym (DTCC): Warrants Exercise</p> <ul style="list-style-type: none"> on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/WarrantExercise <p>Comment: Feature of a security that permits the holder to exercise an option to exchange the security into another form (usually, warrants into shares). The exercise will commonly require a payment based upon a pre-determined value and time.</p>
INCR	IncreaseInValue	<p>Increase in the face value of a single security. The number of circulating securities remains unchanged.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> This code(seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/IncreaseInValue) is removed.
INFO	Information	<p>Information provided by the issuer having no accounting/financial impact on the holder.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Information <p>Synonym (DTCC): General Info</p> <ul style="list-style-type: none"> on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Information

Code	Name	Definition
		Comment: Used for General Information events only
INTR	InterestPayment	<p>Interest payment distributed to holders of an interest bearing asset.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Interest-Payment <p>Synonym (DTCC): Interest</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Interest-Payment <p>Comment: Payment of an obligation that the issuer agrees to make to holders of an interest-bearing security. Usually, the payment is made in cash and on a scheduled basis.</p>
LIQU	LiquidationDividend	<p>Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/LiquidationDividend <p>Synonym (DTCC): Liquidation</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/LiquidationDividend <p>Comment: Company reports its intentions to dismantle its business, paying off debts in order of priority and distributing the remaining assets in cash and/or securities to the owners of the securities. The payment of</p>

Code	Name	Definition
		proceeds may require the presentation of securities.
MCAL	FullCall	<p>Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/FullCall Synonym (DTCC): Final Paydown • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/FullCall Synonym (DTCC): Full Call • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/FullCall Comment: Final Paydown: final distribution of principal due on a security, typically CMOs. Full Call: security is redeemed for cash in its entirety on a date that is prior to the maturity date, and for which the holders receive the principal amount of the security.
MRGR	Merger	<p>Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Merger Synonym (DTCC): Merger • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Merger

Code	Name	Definition
		<p>Comment: Exchange of one company's security for another company's security, cash, or a combination of cash and securities.</p>
NOOF	NonOfficialOffer	<p>Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/NonOfficialOffer <p>Synonym (DTCC): Tender Offer (Mini)</p> <ul style="list-style-type: none"> on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/NonOfficialOffer <p>Comment: Identifies a tender offer event presented by a third party to shareholders of the target company, in which the conditions of the offer (e.g., quantity sought) are less than the requirements established by market regulators. As such, regulatory approval is not required.</p>
ODLT	OddLotSalePurchase	<p>Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/OddLotSalePurchase <p>Synonym (DTCC): Odd Lot Offer</p> <ul style="list-style-type: none"> on seev.031.001.16/CorporateActionGeneralInforma-

Code	Name	Definition
		<p>tion/EventType/Code/Odd-LotSalePurchase</p> <p>Comment: Identifies a tender offer event made by the corporation or its agent to purchase/sell shares from/to odd-lot shareholders. An odd-lot shareholder typically refers to a holder of less than 100 shares of stock.</p>
OTHR	OtherEvent	<p>Other event, use only when no other event type applies, for example, a new event type.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/OtherEvent <ul style="list-style-type: none"> – Market Practice Usage Rule: <p>Identifies DTCC events that do not have ISO equivalent definition. Additional identifiers in the message will provide a one to one mapping for the DTCC event. Complete mapping matrix is available in DTCC Events Dictionary. Unknown event type will be created for the announcement not recognised by the validation service.</p> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/OtherEvent <p>Synonym (DTCC): Distribution</p> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/OtherEvent <p>Synonym (DTCC): Reorganisation</p> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/OtherEvent <p>Synonym (DTCC): Rights Plan Adoption</p>

Code	Name	Definition
		<ul style="list-style-type: none"> on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/OtherEvent <p>Synonym (DTCC): Unknown</p> <ul style="list-style-type: none"> on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/OtherEvent <p>Comment:</p> <p>Distribution: General distribution by the issuer that cannot be classified as a specific event. A distribution can be eligible for dividend reinvestment, also there is a sub category of such events as tax credit distribution.</p> <p>Reorganization: A reorganization event announced by the issuer that cannot be classified as another event.</p> <p>Rights Plan Adoption: General information provided by the issuer that should not result in material changes to the security.</p>
PARI	PariPassu	<p>Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> This code(seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/PariPassu) is removed.
PCAL	PartialRedemptionWithoutPoolFactorReduction	<p>Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).</p>

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/PartialRedemptionWithoutPoolFactorReduction) is removed.
PDEF	Prefunding	<p>Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Prefunding Synonym (DTCC): Full Prerefunding • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Prefunding Synonym (DTCC): Partial Defeasance • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Prefunding Synonym (DTCC): Partial Prerefunding • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Prefunding Comment: Full Prerefunding: exercise of a privilege by the issuer to repay, in full, any debt security prior to maturity when the issuer deposits assets in trust. This irrevocably restricts their use to satisfaction of the debt. Partial Prerefunding: similar to a full prerefunding, a partial prerefunding is the exercise of a privilege by the

Code	Name	Definition
		<p>issuer to repay, in part, any debt security prior to maturity when the issuer deposits assets in trust. This irrevocably restricts their use to satisfaction of the debt. New securities are issued for the portion prerefunded.</p> <p>Partial Defeasance: issuer sets aside cash in escrow to pay off a portion of the issue on the maturity date. New securities are issued for the portion defeased.</p>
PINK	PayInKind	<p>Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/PayInKind <p>Synonym (DTCC): Pay In Kind</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/PayInKind <p>Comment: Income on interest-bearing securities where the payment is made in additional securities rather than cash.</p>
PLAC	PlaceOfIncorporation	<p>Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/PlaceOfIncorporation <p>Synonym (DTCC): Change (Domicile)</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInforma-

Code	Name	Definition
		<p>tion/EventType/Code/PlaceOfIncorporation</p> <p>Comment: Identifies a change event where the issuer has changed the domicile of the corporation.</p>
PPMT	InstalmentCall	<p>Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/InstalmentCall) is removed.
PRED	PartialRedemptionWithPoolFactorReduction	<p>Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/PartialRedemptionWithPoolFactorReduction <p>Synonym (DTCC): Principal</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/PartialRedemptionWithPoolFactorReduction <p>Comment: Cash payment that represents a reduction of the principal in the security</p>
PRI0	PriorityIssue	<p>Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.</p>

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/PriorityIssue) is removed.
RCLA	Reclassification	<p>Securities related event which reclassifies the distribution in various types of income for US tax withholding purposes.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Reclassification <p>Synonym (DTCC): Tax Event</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Reclassification <p>Comment: Used for US Tax Event 1042-S</p>
REDM	FinalMaturity	<p>Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/FinalMaturity <p>Synonym (DTCC): Redemption of Warrants</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/FinalMaturity <p>Synonym (DTCC): Maturity</p>

Code	Name	Definition
		<ul style="list-style-type: none"> on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/FinalMaturity <p>Comment:</p> <p>Maturity: repayment, usually in cash, by an issuer for the entire issue, or remaining outstanding securities of a specific security on a specified date.</p> <p>Redemption of Warrants: issuer pays proceeds to holders on or after the expiration date of the warrant rather than expire the warrant for no cash (worthless).</p>
REDO	Redenomination	<p>Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> This code(seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Redenomination) is removed.
REMK	RemarketingAgreement	<p>Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> This code(seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/RemarketingAgreement) is removed.
RHDI	IntermediateSecuritiesDistribution	<p>Distribution of intermediate securities that gives the holder the right to take part in a future event.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/IntermediateSecuritiesDistribution

Code	Name	Definition
		<p>Synonym (DTCC): Rights Distribution</p> <ul style="list-style-type: none"> on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/IntermediateSecuritiesDistribution <p>Comment: Securities distributed to common stock holders of a company that grant the option to purchase new or additional securities of the same company during a predetermined time period at a predetermined price. A Rights Distribution is accompanied by a corresponding Rights Subscription, which provides the details related to exercising the rights. In some cases, DTC will allocate rights that are not issued by the company to process a subscription offer.</p>
RHTS	RightsIssue	<p>Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> This code(seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/RightsIssue) is removed.
SHPR	SharesPremiumDividend	<p>Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> This code(seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/SharesPremiumDividend) is removed.
SMAL	SmallestNegotiableUnit	<p>Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.</p>

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/SmallestNegotiableUnit) is removed.
SOFF	SpinOff	<p>Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/SpinOff <p>Synonym (DTCC): Spin-Off</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/SpinOff <p>Comment: Distribution of subsidiary securities to the shareholders of the parent company without a surrender of securities or payment. A spin-off represents a form of divestiture resulting in an independent company.</p>
SPLF	StockSplit	<p>Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/StockSplit <p>Synonym (DTCC): Stock Split</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/StockSplit

Code	Name	Definition
		Comment: Increase in a company's number of outstanding shares of stock without any change in the shareholder's equity or the aggregate market value at the time of the split. The share price is normally reduced. Forward split events are included here.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
		Usage Guideline restrictions for this code <ul style="list-style-type: none"> on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/ReverseStockSplit Synonym (DTCC): Reverse Stock Split
		<ul style="list-style-type: none"> on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/ReverseStockSplit Comment: Exchange of a company's security for the same company's new security at a preset rate. This corporate action reduces the number of shares outstanding.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
		Usage Guideline restrictions for this code <ul style="list-style-type: none"> This code(seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/TradingStatusSuspended) is removed.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Tender <p>Synonym (DTCC): Tender Offer</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Tender <p>Comment: Offer made to security holders, normally by a third party, requesting them to sell (tender) their securities for a specified price (usually at a premium over prevailing market prices). Generally, the objective of a tender offer is to take control of the target company.</p>
TNDP	TaxOnNonDistributedProceeds	<p>Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/TaxOnNonDistributedProceeds <p>Synonym (DTCC): Tax Event</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/TaxOnNonDistributedProceeds <p>Comment: Used for IRS 305c or 871m</p>
TREC	TaxReclaim	<p>Event related to tax reclaim activities.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/TaxReclaim

Code	Name	Definition
		<p>Synonym (DTCC): Tax Refund</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/TaxReclaim <p>Comment: Event that enables DTC to make a withholding tax refund, usually non U.S. dividends.</p>
WRTH	Worthless	<p>Booking out of valueless securities.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Worthless <p>Synonym (DTCC): Worthless</p> <ul style="list-style-type: none"> • on seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/Worthless <p>Comment: DTCC advising its participants that their positions in that security will be taken down. The announcement is created when DTC receives a formal letter advising that securities held are worthless.</p>
WTRC	WithholdingTaxReliefCertification	<p>Certification process for withholding tax reduction or exemption based on the tax status of the holder.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionGeneralInformation/EventType/Code/WithholdingTaxReliefCertification) is removed.

Used in element(s)

"Code" on page 163

Restricts

"CorporateActionEventTypeV7Code" on page 561

7.31 CorporateActionEventTypeV7Code

Definition: Specifies the corporate action event type.

Type: CodeSet

Code	Name	Definition
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest in-

Code	Name	Definition
		to the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
CHAN	Change	Information regarding a change further described in the corporate action details.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CMET	CourtMeeting	Announcement of a meeting at a Court.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CPST	CouponStripping	Coupon stripping is the process whereby interest coupons for future payment dates are separated from the security corpus that entitles the holder to the principal repayment.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.

Code	Name	Definition
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DRCA	CashDistributionFromNonEligibleSecurities-Sales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.
DVOP	DividendOption	Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.

Code	Name	Definition
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
ERED	EarlyRedemption	<p>This includes drawing, partial and full call, put.</p> <p>Redemption in part or full before the scheduled final maturity date of a security, subject to the terms and conditions of the issue.</p> <p>Drawing - Securities are redeemed in part by lottery.</p> <p>Partial Call - Securities are redeemed in part by reducing proportionally the outstanding amount of securities.</p> <p>Put - Early redemption of a bond at the election of the bondholder.</p> <p>Full Call - The entire outstanding of a security is redeemed by the issuer.</p>
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
EXOP	ExchangeOption	Event is an option for the shareholders to exchange their securities for other securities and/or cash. Exchange options are mentioned in the terms and conditions of a security and are valid during the whole lifetime of a security.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a pre-

Code	Name	Definition
		determined period of time (which usually corresponds to the life of the issue).
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
INFO	Information	Information provided by the issuer having no accounting/financial impact on the holder.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
MEET	AnnualGeneralMeeting	Meeting Annual general meeting.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
MTNG	SecuritiesHoldersMeeting	Ordinary or annual or extraordinary or special general meeting.
NAME	NameChange	Event is a name change. The issuing company changes its name. The event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OMET	OrdinaryGeneralMeeting	Ordinary general meeting.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or

Code	Name	Definition
		assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PRII	InterestPaymentWithPrincipal	Event which consists of two components, the decrease of the amortized value of a pool factor security and an interest payment.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
RCLA	Reclassification	Securities related event which reclassifies the distribution in various types of income for US tax withholding purposes.
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a

Code	Name	Definition
		national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
RMRK	Remarketing	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
SUBS	Subscription	Ability for security holders to purchase (additional or new) securities at a certain price, in proportion to their holding.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian

Code	Name	Definition
		deemed income or US 871m income regulation.
TREC	TaxReclaim	Event related to tax reclaim activities.
WRTH	Worthless	Booking out of valueless securities.
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
XMET	ExtraordinaryGeneralMeeting	Extraordinary or Special General Meeting. Extraordinary or special general meeting.

Is restricted by

"CorporateActionEventType35Code" on page 526

7.32 CorporateActionFrequencyType5Code

Definition: Automatic Reinvestment of Cash distributed by accumulating funds.

Type: CodeSet

Code	Name	Definition
FINL	Final	Payment of a dividend, less amounts already paid through interim dividends.
INTE	Interim	Dividend declared and paid before annual earnings have been determined.
REGR	Regular	Dividend paid at regular interval, for example, quarterly.
REIN	Reinvestment	Reinvestment of cash distributed.
SPEC	Special	Dividend in addition to the regular dividend being paid by the company.
SPRE	SpecialDividendWithReinvestment	Special Dividend with reinvestment component.

Used in element(s)

"Code" on page 256

Restricts

"CorporateActionFrequencyTypeV2Code" on page 568

7.33 CorporateActionFrequencyTypeV2Code

Definition: Frequency of the cash distribution.

Type: CodeSet

Code	Name	Definition
FINL	Final	Payment of a dividend, less amounts already paid through interim dividends.
INTE	Interim	Dividend declared and paid before annual earnings have been determined.
REGR	Regular	Dividend paid at regular interval, for example, quarterly.
REIN	Reinvestment	Reinvestment of cash distributed.
SPEC	Special	Dividend in addition to the regular dividend being paid by the company.
SPRE	SpecialDividendWithReinvestment	Special Dividend with reinvestment component.

Is restricted by

"CorporateActionFrequencyType5Code" on page 568

7.34 CorporateActionInformationType1Code

Definition: Specifies the type of information event.

Type: CodeSet

Code	Name	Definition
CONF	ConferenceCall	Information is about an informal call when an issuer, trustee, agent wishes to talk to note holders to discuss a certain issue or potential change to the notes; nothing said being binding.

Used in element(s)

"Code" on page 360

Restricts

"CorporateActionInformationTypeCode" on page 569

7.35 CorporateActionInformationTypeCode

Definition: Specifies the type of information event.

Type: CodeSet

Code	Name	Definition
CONF	ConferenceCall	Information is about an informal call when an issuer, trustee, agent wishes to talk to note holders to discuss a certain issue or potential change to the notes; nothing said being binding.

Is restricted by

"CorporateActionInformationType1Code" on page 569

7.36 CorporateActionMandatoryVoluntary1Code

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

Type: CodeSet

Code	Name	Definition
CHOS	MandatoryWithOptions	Participation in the corporate action is mandatory and further instructions from the account owner are required, unless a default option has been specified.
MAND	Mandatory	Participation in CA event is mandatory. Further instructions from the account owner are not required.
VOLU	Voluntary	Participation in the corporate action is voluntary. If the owner wishes to take part in the event, instructions from the account owner are required.

Used in element(s)

"Code" on page 169

Restricts

"CorporateActionMandatoryVoluntaryCode" on page 570

7.37 CorporateActionMandatoryVoluntaryCode

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

Type: CodeSet

Code	Name	Definition
CHOS	MandatoryWithOptions	Participation in the corporate action is mandatory and further instructions from the account owner are required, unless a default option has been specified.
MAND	Mandatory	Participation in CA event is mandatory. Further instructions from the account owner are not required.
VOLU	Voluntary	Participation in the corporate action is voluntary. If the owner wishes to take part in the event, instructions from the account owner are required.

Is restricted by

"CorporateActionMandatoryVoluntary1Code" on page 570

7.38 CorporateActionNotificationType1Code

Definition: Type of notification advice.

Type: CodeSet

Code	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.
RMDR	Reminder	Notification sent as a reminder of an event taking place. Usage Guideline restrictions for this code <ul style="list-style-type: none"> • This code(seev.031.001.16/NotificationGeneralInformation/NotificationType/Reminder) is removed.

Used in element(s)

"NotificationType" on page 183

Restricts

"CorporateActionNotificationTypeCode" on page 571

7.39 CorporateActionNotificationTypeCode

Definition: Type of notification.

Type: CodeSet

Code	Name	Definition
CANC	Cancellation	Notification requesting the cancellation of a previously sent notification.
NEWM	New	New notification.
PROC	Processing	Cancellation due to a processing error.
REPL	Replacement	Notification replacing a previously sent notification.
RMDR	Reminder	Notification sent as a reminder of an event taking place.
WITH	Withdrawal	Notification sent to void a previously sent notification due to the withdrawal of the event by the issuer.

Is restricted by

"CorporateActionNotificationType1Code" on page 570

7.40 CorporateActionOption15Code

Definition: Specifies the type of corporate action options.

Type: CodeSet

Code	Name	Definition
ABST	Abstain	<p>Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting.</p> <p>If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.</p>
BOBD	BeneficialOwnerBreakdownRequest	<p>Breakdown of beneficial ownership required for withholding tax reclaim event.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionOptionDetails/Option-Type/Code/BeneficialOwnerBreakdownRequest) is removed.
BSPL	BonusSharePlan	<p>Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionOptionDetails/Option-Type/Code/BonusSharePlan) is removed.
BUYA	BuyUp	<p>Buy additional securities to round up position.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionOptionDetails/Option-Type/Code/BuyUp) is removed.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.

Code	Name	Definition
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a putable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	<p>Account owner is a non-qualified investor.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionOptionDetails/Option-Type/Code/NonQualifiedInvestor) is removed.
OFFR	ProposedRate	<p>In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionOptionDetails/Option-Type/Code/ProposedRate) is removed.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
QINV	QualifiedInvestor	Account owner is a qualified investor.

Code	Name	Definition
		Usage Guideline restrictions for this code <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionOptionDetails/Option-Type/Code/QualifiedInvestor) is removed.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.

Used in element(s)

"Code" on page 199

Restricts

"CorporateActionOptionCode" on page 574

7.41 CorporateActionOptionCode

Definition: Specifies the type of corporate action options offered to the account holder for a corporate action.

Type: CodeSet

Code	Name	Definition
ABST	Abstain	<p>Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting.</p> <p>If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.</p>
AMGT	VoteAgainstManagement	Vote against management.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CERT	Certification	Certification is provided.

Code	Name	Definition
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MNGT	VoteWithManagement	Vote with management.
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a putable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
PROX	ProxyCard	Issue a proxy card in case of voting.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
QINV	QualifiedInvestor	Account owner is a qualified investor.

Code	Name	Definition
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, for example, a split voting instruction on a meeting.
TAXI	TaxInstruction	Tax instruction.

Is restricted by

"CorporateActionOption15Code" on page 571

7.42 CorporateActionTaxableIncomePerShare-Calculated1Code

Definition: Specifies whether the fund calculates the taxable income per dividend/taxable income per share (TID/TIS).

Type: CodeSet

Code	Name	Definition
TDIN	NotCalculated	Fund is not calculating the taxable income per dividend/taxable income per share.
TDIY	Calculated	Fund is calculating the taxable income per dividend/taxable income per share.
UKWN	Unknown	Unknown whether the fund is calculating the taxable income per dividend/taxable income per share.

Used in element(s)

"Code" on page 497

Restricts

"CorporateActionTaxableIncomePerShareCalculatedCode" on page 576

7.43 CorporateActionTaxableIncomePerShare-CalculatedCode

Definition: Specifies whether the fund calculates the taxable income per dividend/taxable income per share (TID/TIS).

Type: CodeSet

Code	Name	Definition
TDIN	NotCalculated	Fund is not calculating the taxable income per dividend/taxable income per share.

Code	Name	Definition
TDIY	Calculated	Fund is calculating the taxable income per dividend/taxable income per share.
UKWN	Unknown	Unknown whether the fund is calculating the taxable income per dividend/taxable income per share.

Is restricted by

"CorporateActionTaxableIncomePerShareCalculated1Code" on page 576

7.44 CountryCode

Definition: Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Type: CodeSet

Format

pattern [A-Z]{2,2}

Rules

R40 Country ✓

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

Used in element(s)

"Country" on page 391, "Country" on page 469, "Country" on page 466, "CountryOfIncomeSource" on page 479, "CountryOfIncomeSource" on page 73, "NonDomicileCountry" on page 190, "ValidDomicileCountry" on page 190

7.45 CreditDebitCode

Definition: Specifies if an operation is an increase or a decrease.

Type: CodeSet

Code	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

Used in element(s)

["CreditDebitIndicator" on page 475](#), ["CreditDebitIndicator" on page 70](#)

Restricts

["AmountDirectionCode" on page 514](#)

7.46 DTI2024Identifier

Definition: The Digital Token Identifier (DTI) is an 8 character code assigned to fungible digital assets which uses distributed ledger technology for its issuance, storage, exchange, record of ownership or transaction validation and is not a currency (ISO 4217) as described in ISO 24165 - DTI. A check character, using the same character set as the base number, shall be calculated and added.

Type: IdentifierSet

Format

pattern [1-9B-DF-HJ-NP-TV-XZ][0-9B-DF-HJ-NP-TV-XZ]{8,8}

Used in element(s)

["DigitalLedgerIdentification" on page 469](#), ["DigitalLedgerIdentification" on page 467](#)

7.47 DateType1Code

Definition: Specifies when date is unknown.

Type: CodeSet

Code	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

Used in element(s)

["Code" on page 243](#)

Restricts

["DateTypeCode" on page 580](#)

7.48 DateType7Code

Definition: Type of date.

Type: CodeSet

Code	Name	Definition
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

Used in element(s)

["Code" on page 243](#)

Restricts

["DateTypeCode" on page 580](#)

7.49 DateType8Code

Definition: Specifies the type of dates.

Type: CodeSet

Code	Name	Definition
ONGO	Ongoing	<p>Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionOptionDetails/DateDetails/ResponseDeadline/DateCode/Code/Ongoing) is removed. • This code(seev.031.001.16/CorporateActionOptionDetails/DateDetails/ExpiryDate/DateCode/Code/Ongoing) is removed. • This code(seev.031.001.16/CorporateActionOptionDetails/DateDetails/MarketDeadline/DateCode/Code/Ongoing) is removed. • This code(seev.031.001.16/CorporateActionOptionDetails/DateDetails/EarlyResponseDeadline/DateCode/Code/Ongoing) is removed.
UKWN	Unknown	Date is unknown by the sender or has not been established.

Used in element(s)

["Code" on page 241](#), ["NotSpecifiedDate" on page 250](#), ["NotSpecifiedDate" on page 253](#), ["PeriodCode" on page 387](#), ["PeriodCode" on page 389](#)

Restricts

["DateTypeCode" on page 580](#)

7.50 DateType9Code

Definition: Date is specified as a fixed code value.

Type: CodeSet

Code	Name	Definition
MEET	BlockingTillMeetingDate	Blocking occurs until and inclusive of the meeting date.
MKDT	BlockingTillMarketDeadline	Blocking occurs until and inclusive of the market deadline of the option.
NARR	NarrativeUnblockingDate	Refer to additional information element for the date until when the securities are blocked.
PAYD	BlockingTillPaymentDate	Unblocking will occur on payment date of the option.
PWAL	BlockingTillEndOfElectionPeriod	Blocking occurs until and inclusive of the end of the election period of the option.
RDDT	BlockingTillResponseDeadline	Blocking occurs until and inclusive of the account servicer deadline for the option.
RDTE	BlockingTillRecordDate	Blocking occurs until and inclusive of the record date of the event.
UKWN	Unknown	Date is unknown by the sender or has not been established.

Used in element(s)

["Code" on page 244](#)

Restricts

["DateTypeCode" on page 580](#)

7.51 DateTypeCode

Definition: Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

Type: CodeSet

Code	Name	Definition
MEET	BlockingTillMeetingDate	Blocking occurs until and inclusive of the meeting date.
MKDT	BlockingTillMarketDeadline	Blocking occurs until and inclusive of the market deadline of the option.
NARR	NarrativeUnblockingDate	Refer to additional information element for the date until when the securities are blocked.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".
OPEN	Open	Open-dated, which indicates that the date has not been established.

Code	Name	Definition
PAYD	BlockingTillPaymentDate	Unblocking will occur on payment date of the option.
PPYD	BlockingTillPremiumPaymentDate	Unblocking will occur on payment date of the premium.
PWAL	BlockingTillEndOfElectionPeriod	Blocking occurs until and inclusive of the end of the election period of the option.
RDDT	BlockingTillResponseDeadline	Blocking occurs until and inclusive of the account servicer deadline for the option.
RDTE	BlockingTillRecordDate	Blocking occurs until and inclusive of the record date of the event.
UKWN	Unknown	Date is unknown by the sender or has not been established.
VARI	Various	Partial trades have occurred over a period of two or more days.

Is restricted by

"DateType1Code" on page 578, "DateType7Code" on page 578, "DateType8Code" on page 579, "DateType9Code" on page 579

7.52 DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6.

Type: Quantity

Format

fractionDigits	17
totalDigits	18

Used in element(s)

"IndexPoints" on page 433, "Unit" on page 316

7.53 DeemedRateType1Code

Definition: Specifies the type of a deemed rate.

Type: CodeSet

Code	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

Used in element(s)["Code" on page 254](#)**Restricts**["DeemedRateTypeCode" on page 582](#)

7.54 DeemedRateTypeCode

Definition: Specifies the type of a deemed rate.*Type:* CodeSet

Code	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

Is restricted by["DeemedRateType1Code" on page 581](#)

7.55 DistributionType3Code

Definition: Specifies whether the proceeds of the event will be distributed on a rolling basis rather than on a specific date.*Type:* CodeSet

Code	Name	Definition
FINL	Final	Final payment.
INTE	Interim	Interim payment.
ONGO	Ongoing	Event is ongoing for acceptance on an unsolicited basis.
ROLL	RollingBasis	Event will have a number of acceptance and payment dates until further announcement by the Issuer or its agent.

Used in element(s)["Code" on page 256](#)**Restricts**["DistributionTypeCode" on page 582](#)

7.56 DistributionTypeCode

Definition: Specifies whether the proceeds of the event will be distributed on a rolling basis rather than on a specific date.

Type: CodeSet

Code	Name	Definition
FINL	Final	Final payment.
INTE	Interim	Interim payment.
ONGO	Ongoing	Event is ongoing for acceptance on an unsolicited basis.
ROLL	RollingBasis	Event will have a number of acceptance and payment dates until further announcement by the Issuer or its agent.

Is restricted by

"DistributionType3Code" on page 582

7.57 DividendRateType1Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

Code	Name	Definition
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.

Used in element(s)

"Code" on page 445

Restricts

"DividendRateTypeCode" on page 583

7.58 DividendRateTypeCode

Definition: Specifies the type of dividend rate.

Type: CodeSet

Code	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ConduitForeignIncome	Rate relating to a conduit foreign income type.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.

Code	Name	Definition
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
STCG	ShortTermCapitalGain	Short term capital gain.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

Is restricted by

"DividendRateType1Code" on page 583

7.59 DividendRateTypeV2Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

Code	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.

Code	Name	Definition
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
STCG	ShortTermCapitalGain	Short term capital gain.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

Is restricted by

"GrossDividendRateType6Code" on page 593, "GrossDividendRateType7Code" on page 594, "Net-DividendRateType6Code" on page 617, "NetDividendRateType7Code" on page 618

7.60 DutchAuctionType1Code

Definition: Specifies the type of Dutch auction.

Type: CodeSet

Code	Name	Definition
MDFD	Modified	All instructions are accepted at the same price (issuer bid).
UNMD	Unmodified	All instructions are accepted at the instructed price (offering bid).

Used in element(s)

"Code" on page 263

Restricts

"DutchAuctionTypeCode" on page 586

7.61 DutchAuctionTypeCode

Definition: Specifies the type of Dutch auction.

Type: CodeSet

Code	Name	Definition
MDFD	Modified	All instructions are accepted at the same price (issuer bid).
UNMD	Unmodified	All instructions are accepted at the instructed price (offering bid).

Is restricted by

"DutchAuctionType1Code" on page 585

7.62 EUCapitalGain2Code

Definition: Specifies whether capital gain is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June) for an income realised upon sale, a refund or redemption of shares and units etc.

Type: CodeSet

Code	Name	Definition
EUSI	CapitalGainInScope	Capital gain is in the scope of the directive.
EUSO	CapitalGainOutScope	Capital gain is out of the scope of the directive.
UKWN	CapitalGainUnknown	Unknown whether capital gain is in or out of the scope of the directive.

Used in element(s)

"Code" on page 66

Restricts

"EUCapitalGainCode" on page 586

7.63 EUCapitalGainCode

Definition: Specifies whether capital gain is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June) for an income realised upon sale, a refund or redemption of shares and units etc.

Type: CodeSet

Code	Name	Definition
EUSI	CapitalGainInScope	Capital gain is in the scope of the directive.
EUSO	CapitalGainOutScope	Capital gain is out of the scope of the directive.

Code	Name	Definition
OTHR	Other	Another type of EU capital gain.
UKWN	CapitalGainUnknown	Unknown whether capital gain is in or out of the scope of the directive.

Is restricted by

"EUCapitalGain2Code" on page 586

7.64 ElectionMovementType2Code

Definition: Specifies the effect on the holdings of electing a Corporate Action option.

Type: CodeSet

Code	Name	Definition
DRCT	Direct	Direct movement of resource, that is, cash or security that is directly credited to the paying agent account.
SEQD	Sequestered	Election results in a change of balance type that transfers control of the securities rather than the securities themselves.

Used in element(s)

"Code" on page 264

Restricts

"ElectionMovementTypeCode" on page 587

7.65 ElectionMovementTypeCode

Definition: Specifies the effect on the holdings of electing a Corporate Action option.

Type: CodeSet

Code	Name	Definition
DRCT	Direct	Direct movement of resource, that is, cash or security that is directly credited to the paying agent account.
REST	Restricted	Election results in a change of balance type that transfers control of the securities rather than the securities themselves.
SEQD	Sequestered	Election results in a change of balance type that transfers control of the securities rather than the securities themselves.

Is restricted by

"ElectionMovementType2Code" on page 587

7.66 EventCompletenessStatus1Code

Definition: Indicates whether the details provided about an event are complete or incomplete.

Type: CodeSet

Code	Name	Definition
COMP	Complete	Event details are complete.
INCO	Incomplete	Event details are not complete.

Used in element(s)

["EventCompletenessStatus" on page 162](#)

Restricts

["EventCompletenessStatusCode" on page 588](#)

7.67 EventCompletenessStatusCode

Definition: Indicates whether the details provided about an event are complete or incomplete.

Type: CodeSet

Code	Name	Definition
COMP	Complete	Event details are complete.
INCO	Incomplete	Event details are not complete.

Is restricted by

["EventCompletenessStatus1Code" on page 588](#)

7.68 EventConfirmationStatus1Code

Definition: Indicates the status of the occurrence of an event.

Type: CodeSet

Code	Name	Definition
CONF	Confirmed	Occurrence of the event has been confirmed.
UCON	Unconfirmed	Occurrence of the event has not been confirmed.

Used in element(s)

["EventConfirmationStatus" on page 163](#)

Restricts

["EventConfirmationStatusCode" on page 588](#)

7.69 EventConfirmationStatusCode

Definition: Indicates the status of the occurrence of an event.

Type: CodeSet

Code	Name	Definition
CONF	Confirmed	Occurrence of the event has been confirmed.
PDNG	Pending	Occurrence of the event is pending.
UCON	Unconfirmed	Occurrence of the event has not been confirmed.

Is restricted by

"EventConfirmationStatus1Code" on page 588

7.70 EventSequenceType1Code

Definition: Specifies whether the event is an interim or a final event in a series of successive events.

Type: CodeSet

Code	Name	Definition
FINL	Final	Final event in a series of successive events.
INTE	Interim	Interim event in a series of successive events.

Used in element(s)

"Code" on page 265

Restricts

"EventSequenceTypeCode" on page 589

7.71 EventSequenceTypeCode

Definition: Specifies whether the event is an interim or a final event in a series of successive events.

Type: CodeSet

Code	Name	Definition
FINL	Final	Final event in a series of successive events.
INTE	Interim	Interim event in a series of successive events.

Is restricted by

"EventSequenceType1Code" on page 589

7.72 Exact3NumericText

Definition: Specifies a numeric string with an exact length of 3 digits.

Type: Text

Format

pattern [0-9]{3}

Used in element(s)

"OptionNumber" on page 186, "ShortNumber" on page 262

7.73 Exact3UpperCaseAlphaNumericText

Definition: Specifies an upper case alphanumeric string with a length of three characters.

Type: Text

Format

pattern [A-Z0-9]{3}

Used in element(s)

"AcceptancePriorityLevel" on page 88, "ShortIdentification" on page 357

7.74 Exact4AlphaNumericText

Definition: Specifies an alphanumeric string with a length of 4 characters.

Type: Text

Format

pattern [a-zA-Z0-9]{4}

Used in element(s)

"Identification" on page 325, "Identification" on page 326, "QuantityType" on page 403, "QuantityType" on page 406

7.75 ExternalFinancialInstrument-IdentificationType1Code

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

maxLength	4
minLength	1

Used in element(s)

"Code" on page 358

Restricts

"ExternalFinancialInstrumentIdentificationTypeCode" on page 591

7.76 ExternalFinancialInstrument-IdentificationTypeCode

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

maxLength	4
minLength	1

Is restricted by

"ExternalFinancialInstrumentIdentificationType1Code" on page 590

7.77 FractionDispositionType8Code

Definition: Indicates that fractional value should be retained; no rounding.

Type: CodeSet

Code	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.

Code	Name	Definition
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
UKWN	Unknown	Characteristics of the disposition of fractions are unknown.

Used in element(s)

"Code" on page 324

Restricts

"FractionDispositionTypeV2Code" on page 592

7.78 FractionDispositionType9Code

Definition: Indicates that fractional value should be retained; no rounding.

Type: CodeSet

Code	Name	Definition
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
UKWN	Unknown	Characteristics of the disposition of fractions are unknown.

Used in element(s)

"Code" on page 324

Restricts

"FractionDispositionTypeV2Code" on page 592

7.79 FractionDispositionTypeV2Code

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

Type: CodeSet

Code	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.
EXPI	Expire	Let fractions expire worthless.
FULL	Full	Pre-allocate shares only if fully dealt.
NOFR	NoFraction	No fractional shares are allowed.
PROR	ProRate	In case of a partial fill, pro-rate the allocations.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.
RETA	Retain	Indicates that fractional value should be retained; no rounding.
SPEC	Specific	Pre-allocate according to the amounts shown in a linked allocation instruction.
SSTD	Standard	Indicates that if the fraction is greater than or equal to 0.5 of the value should be rounded up; otherwise rounded down.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
TALK	DiscussFirst	Do not pro-rate; discuss first.
UKWN	Unknown	Characteristics of the disposition of fractions are unknown.

Is restricted by

"FractionDispositionType8Code" on page 591, "FractionDispositionType9Code" on page 592

7.80 GrossDividendRateType6Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

Code	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have

Code	Name	Definition
		made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
STCG	ShortTermCapitalGain	Short term capital gain.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

Used in element(s)

"Code" on page 447

Restricts

"DividendRateTypeV2Code" on page 584

7.81 GrossDividendRateType7Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

Code	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.

Code	Name	Definition
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
STCG	ShortTermCapitalGain	Short term capital gain.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

Used in element(s)

"Code" on page 448

Restricts

"DividendRateTypeV2Code" on page 584

7.82 IBAN2007Identifier

Definition: The International Bank Account Number is a code used internationally by financial institutions to uniquely identify the account of a customer at a financial institution as described in the 2007 edition of the ISO 13616 standard "Banking and related financial services - International Bank Account Number (IBAN)" and replaced by the more recent edition of the standard.

Type: IdentifierSet

Format

pattern [A-Z]{2,2}[0-9]{2,2}[a-zA-Z0-9]{1,30}

Rules**R99 IBAN ✓**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

Error handling:

- *Error severity*: Fatal
- *Error Code*: D00003
- *Error Text*: Invalid IBAN format or invalid check digits.

Used in element(s)

["IBAN" on page 67](#)

7.83 ISINOct2015Identifier

Definition: The International Securities Identification Number is a code allocated to financial instruments as well as referential instruments, as described in the ISO 6166 standard, associated with the minimum descriptive data. The ISIN consists of a prefix using the alpha-2 country codes or reserved codes specified in ISO 3166 or other prefixes as may be determined by the Registration Authority for the ISO 6166 standard, a nine characters (alphanumeric) basic code and a check digit.

Note: this identifier also supports the new version of the standard, which was published in 2021 (ISO 6166:2021) as there is no update in the pattern.

Type: IdentifierSet

Format

pattern [A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}

Used in element(s)

["ISIN" on page 491](#)

7.84 ISO20022MessageIdentificationText

Definition: ISO 20022 Message identifier of an MX message.

Type: Text

Format

pattern [a-z]{4}\.[0-9]{3}\.[0-9]{3}\.[0-9]{2}

Used in element(s)

["LongNumber" on page 262](#)

7.85 ISO2ALanguageCode

Definition: Identification of the language name according to the ISO 639-1 codes. The type is validated by the list of values coded with two alphabetic characters, defined in the standard.

Type: CodeSet

Format

pattern [a-z]{2,2}

Used in element(s)

["Language" on page 504](#), ["Language" on page 500](#), ["Language" on page 503](#), ["Language" on page 502](#)

7.86 ISODate

Definition: A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Type: Date

Used in element(s)

["ConversionDate" on page 272](#), ["ConversionDate" on page 282](#), ["Date" on page 241](#), ["Date" on page 253](#), ["Date" on page 246](#), ["Date" on page 251](#), ["DatedDate" on page 271](#), ["DatedDate" on page 281](#), ["ExpiryDate" on page 270](#), ["FloatingRateFixingDate" on page 270](#), ["FloatingRateFixingDate" on page 280](#), ["IssueDate" on page 271](#), ["IssueDate" on page 280](#), ["MaturityDate" on page 270](#), ["MaturityDate" on page 280](#), ["NextCallableDate" on page 271](#), ["NextCallableDate" on page 281](#), ["NextCouponDate" on page 269](#), ["NextCouponDate" on page 280](#), ["PutableDate" on page 271](#), ["PutableDate" on page 281](#)

7.87 ISODateTime

Definition: A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: DateTime

Used in element(s)

["DateTime" on page 241](#)

7.88 ISOTime

Definition: A particular point in the progression of time in a calendar day expressed in either UTC time format (hh:mm:ss.sssZ), local time with UTC offset format (hh:mm:ss.sss+/-hh:mm), or local time format (hh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: Time

Used in element(s)

"Time" on page 245

7.89 ImpliedCurrencyAndAmount

Definition: Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

Type: Amount

Format

fractionDigits	5
minInclusive	0
totalDigits	18

Used in element(s)

"AmortisedValue" on page 317, "FaceAmount" on page 317

7.90 InterestComputationMethod2Code

Definition: Specifies the method used to compute accruing interest of a financial instrument.

Type: CodeSet

Code	Name	Definition
A001	IC30360ISDAor30360AmericanBasicRule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February, and

Code	Name	Definition
		provided that the interest period started on a 30th or a 31st. This means that a 31st is assumed to be a 30th if the period started on a 30th or a 31st and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). This is the most commonly used 30/360 method for US straight and convertible bonds.
A002	IC30365	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and a 365-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that a 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th).
A003	IC30Actual	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and the assumed number of days in a year in a way similar to the Actual/Actual (ICMA). Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). The assumed number of days in a year is computed as the actual number of days in the coupon period multiplied by the number of interest payments in the year.
A004	Actual360	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 360-day year.
A005	Actual365Fixed	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 365-day year.
A006	ActualActualICMA	Method whereby interest is calculated based on the actual number of accrued days and the assumed number of days in a year, that is, the actual number of days in the coupon period multiplied by the number of interest payments in the year. If the coupon period is irregular (first or last coupon), it is extended or split into quasi-interest periods that have the length of a regular coupon period and the computation is operated separately on each quasi-interest period and the intermediate results are summed up.
A007	IC30E360orEuroBondBasismodel1	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the

Code	Name	Definition
		same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. However, if the last day of the maturity coupon period is the last day of February, it will not be assumed to be the 30th. It is a variation of the 30/360 (ICMA) method commonly used for eurobonds. The usage of this variation is only relevant when the coupon periods are scheduled to end on the last day of the month.
A008	ActualActualISDA	Method whereby interest is calculated based on the actual number of accrued days of the interest period that fall (falling on a normal year, year) divided by 365, added to the actual number of days of the interest period that fall (falling on a leap year, year) divided by 366.
A009	Actual365LorActualActubasisRule	Method whereby interest is calculated based on the actual number of accrued days and a 365-day year (if the coupon payment date is NOT in a leap year) or a 366-day year (if the coupon payment date is in a leap year).
A010	ActualActualAFB	Method whereby interest is calculated based on the actual number of accrued days and a 366-day year (if 29 Feb falls in the coupon period) or a 365-day year (if 29 Feb does not fall in the coupon period). If a coupon period is longer than one year, it is split by repetitively separating full year subperiods counting backwards from the end of the coupon period (a year backwards from 28 Feb being 29 Feb, if it exists). The first of the subperiods starts on the start date of the accrued interest period and thus is possibly shorter than a year. Then the interest computation is operated separately on each subperiod and the intermediate results are summed up.
A011	IC30360ICMAor30360basicrule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). It is the most commonly used 30/360 method for non-US straight and convertible bonds issued before 1 January 1999.
A012	IC30E2360orEurobondbasismodel2	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the

Code	Name	Definition
		same as to the 30th calendar day of the same month, except for the last day of February whose day of the month value shall be adapted to the value of the first day of the interest period if the latter is higher and if the period is one of a regular schedule. This means that the 31st is assumed to be the 30th and 28 Feb of a non-leap year is assumed to be equivalent to 29 Feb when the first day of the interest period is the 29th, or to 30 Feb when the first day of the interest period is the 30th or the 31st. The 29th day of February in a leap year is assumed to be equivalent to 30 Feb when the first day of the interest period is the 30th or the 31st. Similarly, if the coupon period starts on the last day of February, it is assumed to produce only one day of interest in February as if it was starting on 30 Feb when the end of the period is the 30th or the 31st, or two days of interest in February when the end of the period is the 29th, or three days of interest in February when it is 28 Feb of a non-leap year and the end of the period is before the 29th.
A013	IC30E3360orEurobondbasismodel3	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. It is a variation of the 30E/360 (or Eurobond basis) method where the last day of February is always assumed to be the 30th, even if it is the last day of the maturity coupon period.
A014	Actual365NL	Method whereby interest is calculated based on the actual number of accrued days in the interest period, excluding any leap day from the count, and a 365-day year.
NARR	Narrative	Other method than A001-A020. See Narrative.

Used in element(s)

"Code" on page 361

Restricts

"InterestComputationMethodCode" on page 601

7.91 InterestComputationMethodCode

Definition: Specifies the method used to compute accruing interest of a financial instrument.

Type: CodeSet

Code	Name	Definition
A001	IC30360ISDAor30360AmericanBasicRule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February, and provided that the interest period started on a 30th or a 31st. This means that a 31st is assumed to be a 30th if the period started on a 30th or a 31st and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). This is the most commonly used 30/360 method for US straight and convertible bonds.
A002	IC30365	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and a 365-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that a 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th).
A003	IC30Actual	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and the assumed number of days in a year in a way similar to the Actual/Actual (ICMA). Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). The assumed number of days in a year is computed as the actual number of days in the coupon period multiplied by the number of interest payments in the year.
A004	Actual360	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 360-day year.
A005	Actual365Fixed	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 365-day year.
A006	ActualActualICMA	Method whereby interest is calculated based on the actual number of accrued days and the assumed number of days in a year, that is, the actual number of days in the coupon period multiplied by the number of interest payments in the year. If the coupon period is irregular (first or last coupon), it is extended or split into quasi-interest periods that have the length of a regular coupon period

Code	Name	Definition
		and the computation is operated separately on each quasi-interest period and the intermediate results are summed up.
A007	IC30E360orEuroBondBasismodel1	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. However, if the last day of the maturity coupon period is the last day of February, it will not be assumed to be the 30th. It is a variation of the 30/360 (ICMA) method commonly used for eurobonds. The usage of this variation is only relevant when the coupon periods are scheduled to end on the last day of the month.
A008	ActualActualISDA	Method whereby interest is calculated based on the actual number of accrued days of the interest period that fall (falling on a normal year, year) divided by 365, added to the actual number of days of the interest period that fall (falling on a leap year, year) divided by 366.
A009	Actual365LorActuActubasisRule	Method whereby interest is calculated based on the actual number of accrued days and a 365-day year (if the coupon payment date is NOT in a leap year) or a 366-day year (if the coupon payment date is in a leap year).
A010	ActualActualAFB	Method whereby interest is calculated based on the actual number of accrued days and a 366-day year (if 29 Feb falls in the coupon period) or a 365-day year (if 29 Feb does not fall in the coupon period). If a coupon period is longer than one year, it is split by repetitively separating full year subperiods counting backwards from the end of the coupon period (a year backwards from 28 Feb being 29 Feb, if it exists). The first of the subperiods starts on the start date of the accrued interest period and thus is possibly shorter than a year. Then the interest computation is operated separately on each subperiod and the intermediate results are summed up.
A011	IC30360ICMAor30360basicrule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). It is the most commonly used

Code	Name	Definition
		30/360 method for non-US straight and convertible bonds issued before 1 January 1999.
A012	IC30E2360orEurobondbasismodel2	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for the last day of February whose day of the month value shall be adapted to the value of the first day of the interest period if the latter is higher and if the period is one of a regular schedule. This means that the 31st is assumed to be the 30th and 28 Feb of a non-leap year is assumed to be equivalent to 29 Feb when the first day of the interest period is the 29th, or to 30 Feb when the first day of the interest period is the 30th or the 31st. The 29th day of February in a leap year is assumed to be equivalent to 30 Feb when the first day of the interest period is the 30th or the 31st. Similarly, if the coupon period starts on the last day of February, it is assumed to produce only one day of interest in February as if it was starting on 30 Feb when the end of the period is the 30th or the 31st, or two days of interest in February when the end of the period is the 29th, or three days of interest in February when it is 28 Feb of a non-leap year and the end of the period is before the 29th.
A013	IC30E3360orEurobondbasismodel3	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. It is a variation of the 30E/360 (or Eurobond basis) method where the last day of February is always assumed to be the 30th, even if it is the last day of the maturity coupon period.
A014	Actual365NL	Method whereby interest is calculated based on the actual number of accrued days in the interest period, excluding any leap day from the count, and a 365-day year.
A015	ActualActualUltimo	Method whereby interest is calculated based on the actual number of days in the coupon period divided by the actual number of days in the year. This method is a variation of the ActualActualICMA method with the exception that it assumes that the coupon always falls on the last day of the month. Method equal to ACT/ACT.ISMA in the FpML model

Code	Name	Definition
		and Act/Act (ICSMA Ultimo) in the FixML model.
A016	IC30EPlus360	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. This method is a variation of the 30E360 method with the exception that if the coupon falls on the last day of the month, change it to 1 and increase the month by 1 (ie next month). Method equal to ThirtyEPlusThreeSixty in the FixML model.
A017	Actual364	Method whereby interest is calculated based on the actual number of accrued days in the interest period divided by 364. Method equal to Act364 in the FixML model.
A018	Business252	Method whereby interest is calculated based on the actual number of business days in the interest period divided by 252. Usage: Brazilian Currency Swaps. Method equal to BUS/252 in the FpML model and BusTwoFiftyTwo in the FixML model.
A019	Actual360NL	Method whereby interest is calculated based on the actual number of accrued days in the interest period, excluding any leap day from the count, and a 360-day year.
A020	OneOne	Also named 1/1. ELABORATION: If parties specify the Day Count Fraction to be 1/1 then in calculating the applicable amount, 1 is simply input into the calculation as the relevant Day Count Fraction. See also 2006 ISDA Definitions, Section 4.16. Day Count Fraction, paragraph (a).
NARR	Narrative	Other method than A001-A020. See Narrative.
OTHR	Other	Any other interest computation method.

Is restricted by

"InterestComputationMethod2Code" on page 598

7.92 InterestRateTypeCode

Definition: Specifies the type of interest rate.

Type: CodeSet

Code	Name	Definition
CAPP	FloatingRateUnderlyingExposureWithCap	Interest rate type is Floating rate underlying exposure with cap.
DISC	Discount	Interest rate type is Discount.
FINX	FloatingLinkedToIndex	Interest rate type is Floating rate underlying exposure linked to one index that will revert to another index in the future.
FIXE	Fixed	Rate is fixed.
FLCA	FloatingRateUnderlyingExposureWithFloor-AndCap	Interest rate type is Floating rate underlying exposure with both floor and cap.
FLCF	FixedRateUnderlyingExposureWithCompulsory-FutureSwitchToFloating	Interest rate type is Fixed rate underlying exposure with compulsory future switch to floating.
FLFL	FloatingRateUnderlyingExposureWithFloor	Interest rate type is Floating rate underlying exposure with floor.
FLIF	FloatingRateUnderlyingExposureForLife	Interest rate type is Floating rate underlying exposure (for life).
FORF	Forfeit	No specific repurchase rate applies to the transaction Repo, only a forfeit.
FXPR	FixedWithFuturePeriodicResets	Interest rate type is Fixed with future periodic resets.
FXRL	FixedRateUnderlyingExposureForLife	Interest rate type is Fixed rate underlying exposure (for life).
MODE	Modular	Interest rate type is Modular.
OBLS	ObligorSwapped	Interest rate type is Obligor Swapped.
OTHR	Other	Any other type of interest rate that can be applied.
SCHD	Scheduled	Rate of the scheduled payment.
SWIC	SwicthOptionality	Interest rate type is Switch Optionality.
USCD	Unscheduled	Rate of the unscheduled payment.
VARI	Variable	Rate is variable.

Is restricted by

"RateType7Code" on page 637

7.93 IntermediateSecurityDistributionType6Code

Definition: Specifies the type of intermediate security distribution.

Type: CodeSet

Code	Name	Definition
BIDS	ReverseRights	<p>Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionDetails/FollowingEventTypeIndicator/Code/ReverseRights) is removed.
BONU	BonusRights	<p>Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionDetails/FollowingEventTypeIndicator/Code/BonusRights) is removed.
DRIP	DividendReinvestment	<p>Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionDetails/FollowingEventTypeIndicator/Code/DividendReinvestment) is removed.
DVCA	CashDividend	<p>Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.</p>

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionDetails/FollowingEvent-TypeIndicator/Code/CashDividend) is removed.
DVOP	DividendOption	<p>Distribution of dividend option.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionDetails/FollowingEvent-TypeIndicator/Code/DividendOption) is removed.
DVSC	ScripDividendOrPayment	<p>Dividend or interest paid in the form of scrip.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionDetails/FollowingEvent-TypeIndicator/Code/ScripDividendOrPayment) is removed.
DVSE	StockDividend	<p>Dividend paid to shareholders in the form of equities of the issuing corporation.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionDetails/FollowingEvent-TypeIndicator/Code/StockDividend) is removed.
EXOF	Exchange	<p>Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".</p>

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionDetails/FollowingEvent-TypeIndicator/Code/Exchange) is removed.
EXRI	SubscriptionRights	<p>Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.031.001.16/Corporate-ActionDetails/FollowingEvent-TypeIndicator/Code/SubscriptionRights <ul style="list-style-type: none"> – DTCC RHDI Event Type Rule: <p>To support ISO cross element rule when Event Type code is RHDI this code will be used.</p>
INTR	InterestPayment	<p>Interest payment distributed to holders of an interest bearing asset.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionDetails/FollowingEvent-TypeIndicator/Code/InterestPayment) is removed.
LIQU	LiquidationDividendOrPayment	<p>Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionDetails/FollowingEvent-

Code	Name	Definition
		TypeIndicator/Code/Liquidation-DividendOrPayment) is removed.
MRGR	Merger	<p>Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionDetails/FollowingEvent-TypeIndicator/Code/Merger) is removed.
PRI0	OpenOfferRights	<p>Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionDetails/FollowingEvent-TypeIndicator/Code/OpenOffer-Rights) is removed.
SOFF	SpinOff	<p>Distribution of subsidiary stock to the shareholders.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionDetails/FollowingEvent-TypeIndicator/Code/SpinOff) is removed.
SPLF	StockSplit	<p>Also known as change in nominal value or subdivision.</p> <p>Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.</p>

Code	Name	Definition
		Usage Guideline restrictions for this code <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionDetails/FollowingEvent-TypeIndicator/Code/StockSplit) is removed.
UKWN	Unknown	<p>Intermediate securities are distributed before the use of them has been established.</p> Usage Guideline restrictions for this code <ul style="list-style-type: none"> • This code(seev.031.001.16/CorporateActionDetails/FollowingEvent-TypeIndicator/Code/Unknown) is removed.

Used in element(s)

"Code" on page 364

Restricts

"IntermediateSecurityDistributionTypeV2Code" on page 611

7.94 IntermediateSecurityDistributionTypeV2Code

Definition: Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".

Type: CodeSet

Code	Name	Definition
BIDS	ReverseRights	Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
BONU	BonusRights	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market

Code	Name	Definition
		rather than creating new share capital in exchange for the dividend.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
DVOP	DividendOption	Distribution of dividend option.
DVSC	ScripDividendOrPayment	Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
EXRI	SubscriptionRights	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividendOrPayment	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
PRI0	OpenOfferRights	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
SOFF	SpinOff	Distribution of subsidiary stock to the shareholders.
SPLF	StockSplit	Also known as change in nominal value or subdivision. Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.

Code	Name	Definition
UKWN	Unknown	Intermediate securities are distributed before the use of them has been established.

Is restricted by

"IntermediateSecurityDistributionType6Code" on page 606

7.95 IssuerTaxability2Code

Definition: Specifies whether the corporate action proceeds are taxable at issuer level.

Type: CodeSet

Code	Name	Definition
TXBL	Taxable	The relevant proceeds are taxable.

Used in element(s)

"Code" on page 365

Restricts

"IssuerTaxabilityV2Code" on page 613

7.96 IssuerTaxabilityV2Code

Definition: Specifies whether the corporate action proceeds are taxable at issuer level.

Type: CodeSet

Code	Name	Definition
TXBL	Taxable	The relevant proceeds are taxable.

Is restricted by

"IssuerTaxability2Code" on page 613

7.97 LEIIdentifier

Definition: Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Type: IdentifierSet

Format

pattern [A-Z0-9]{18,18}[0-9]{2,2}

Used in element(s)

"LEI" on page 385

7.98 LotteryType1Code

Definition: Specifies the type of lottery.

Type: CodeSet

Code	Name	Definition
ORIG	OriginalLotteryNotification	Original lottery that has been announced for a specific amount and date.
SUPP	SupplementalLotteryNotification	Supplemental or additional quantity called on a previously announced original lottery.

Used in element(s)

["Code" on page 366](#)

Restricts

["LotteryTypeCode" on page 614](#)

7.99 LotteryTypeCode

Definition: Specifies the type of lottery.

Type: CodeSet

Code	Name	Definition
ORIG	OriginalLotteryNotification	Original lottery that has been announced for a specific amount and date.
SUPP	SupplementalLotteryNotification	Supplemental or additional quantity called on a previously announced original lottery.

Is restricted by

["LotteryType1Code" on page 614](#)

7.100 MICIdentifier

Definition: Market Identifier Code. The identification of a financial market, as stipulated in the norm ISO 10383 'Codes for exchanges and market identifications'.

Type: IdentifierSet

Format

pattern [A-Z0-9]{4,4}

Used in element(s)

["MarketIdentifierCode" on page 366](#)

7.101 Max140Text

Definition: Specifies a character string with a maximum length of 140 characters.

Type: Text

Format

maxLength	140
minLength	1

Used in element(s)

"Identification" on page 64

7.102 Max16Text

Definition: Specifies a character string with a maximum length of 16 characters.

Type: Text

Format

maxLength	16
minLength	1

Used in element(s)

"BuildingNumber" on page 390, "PostCode" on page 390, "Suffix" on page 380

7.103 Max30DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6 with a maximum of 30 digits and 29 fraction digits.

Type: Quantity

Format

fractionDigits	29
totalDigits	30

Used in element(s)

"DigitalTokenUnit" on page 317, "DigitalTokenUnit" on page 313, "DigitalTokenUnit" on page 316, "DigitalTokenUnit" on page 321

7.104 Max350Text

Definition: Specifies a character string with a maximum length of 350 characters.

Type: Text

Format

maxLength	350
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minLength	1
-----------	---

Used in element(s)

"PlaceAndName" on page 496

7.105 Max35Text

Definition: Specifies a character string with a maximum length of 35 characters.

Type: Text

Format

maxLength	35
minLength	1

Used in element(s)

"CountrySubDivision" on page 391, "Issuer" on page 325, "SchemeName" on page 326, "TownName" on page 391

7.106 Max3Number

Definition: Number (max 999) of objects represented as an integer.

Type: Quantity

Format

fractionDigits	0
totalDigits	3

Rules**R67 NumberRule**

If Number is negative, then Sign must be present.

Error handling: Undefined

Used in element(s)

"InterestAccruedNumberOfDays" on page 88

7.107 Max4AlphaNumericText

Definition: Specifies an alphanumeric string with a maximum length of 4 characters.

Type: Text

Format

maxLength	4
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minLength	1
pattern	[a-zA-Z0-9]{1,4}

Used in element(s)

["Issuer" on page 327](#), ["Issuer" on page 348](#), ["Issuer" on page 350](#), ["Issuer" on page 403](#), ["Issuer" on page 406](#), ["SchemeName" on page 337](#), ["SchemeName" on page 349](#), ["SchemeName" on page 352](#), ["SchemeName" on page 404](#), ["SchemeName" on page 408](#)

7.108 Max5NumericText

Definition: Specifies a numeric string with a maximum length of 5 digits.

Type: Text

Format

pattern	[0-9]{1,5}
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Used in element(s)

["PageNumber" on page 381](#)

7.109 Max70Text

Definition: Specifies a character string with a maximum length of 70characters.

Type: Text

Format

maxLength	70
minLength	1

Used in element(s)

["AddressLine" on page 390](#), ["Name" on page 65](#), ["StreetName" on page 390](#)

7.110 NetDividendRateType6Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

Code	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have

Code	Name	Definition
		made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

Used in element(s)

"Code" on page 448

Restricts

"DividendRateTypeV2Code" on page 584

7.111 NetDividendRateType7Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

Code	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.

Code	Name	Definition
INTR	Interest	Rate relating to the underlying security for which interest is paid.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

Used in element(s)

"Code" on page 449

Restricts

"DividendRateTypeV2Code" on page 584

7.112 NewSecuritiesIssuanceType5Code

Definition: Specifies the type of securities proceeds; whether they are newly issued or not, defeased or non-defeased.

Type: CodeSet

Code	Name	Definition
DEFE	DefeasedSecurityIndicator	Funds have been placed in escrow by a trustee or agent to ensure payment on a portion of the outstanding balance of the original security on maturity date. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
EXIS	ExistingIssue	Securities proceeds are not new issues.
NDEF	NonDefeasedSecurityIndicator	Funds will not be guaranteed at maturity by the issuer or paying agent for this newly issued non-defeased security. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
NEIS	NewIssue	Securities proceeds are newly issued.
NREF	NonRefundedSecurityIndicator	New Security issued that has not been refunded and the maturity date of the original underlying security is un-

Code	Name	Definition
		changed. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
REFU	RefundedSecurityIndicator	New security issued that has been refunded to an earlier maturity date. Applicable only in the frame of a partial defeasance PDEF corporate action event.
UKWN	Unknown	Not known whether the securities proceeds are newly issued or not.

Used in element(s)

"NewSecuritiesIssuanceIndicator" on page 476

Restricts

"NewSecuritiesIssuanceTypeCode" on page 620

7.113 NewSecuritiesIssuanceTypeCode

Definition: Specifies the type of securities proceeds; whether they are newly issued or not, defeased or non-defeased.

Type: CodeSet

Code	Name	Definition
DEFE	DefeasedSecurityIndicator	Funds have been placed in escrow by a trustee or agent to ensure payment on a portion of the outstanding balance of the original security on maturity date. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
EXIS	ExistingIssue	Securities proceeds are not new issues.
NDEF	NonDefeasedSecurityIndicator	Funds will not be guaranteed at maturity by the issuer or paying agent for this newly issued non-defeased security. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
NEIS	NewIssue	Securities proceeds are newly issued.
NREF	NonRefundedSecurityIndicator	New Security issued that has not been refunded and the maturity date of the original underlying security is unchanged. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
REFU	RefundedSecurityIndicator	New security issued that has been refunded to an earlier maturity date. Applicable only in the frame of a partial defeasance PDEF corporate action event.
UKWN	Unknown	Not known whether the securities proceeds are newly issued or not.

Is restricted by

["NewSecuritiesIssuanceType5Code" on page 619](#)

7.114 NonEligibleProceedsIndicator2Code

Definition: Specifies information regarding the non eligibility of the outturn resources.

Type: CodeSet

Code	Name	Definition
ACLI	AccountLimitation	Participant's account limitation.
NELC	NonEligibleCurrency	Currency not eligible for settlement in the Central Securities Depository (CSD).
NELS	NonEligibleSecurity	Security not eligible in the Central Securities Depository (CSD).
ONEL	OtherNonEligibility	Other source of non eligibility.

Used in element(s)

["Code" on page 373](#)

Restricts

["NonEligibleProceedsIndicatorCode" on page 621](#)

7.115 NonEligibleProceedsIndicatorCode

Definition: Specifies information regarding the non eligibility of the outturn resources.

Type: CodeSet

Code	Name	Definition
ACLI	AccountLimitation	Participant's account limitation.
NELC	NonEligibleCurrency	Currency not eligible for settlement in the Central Securities Depository (CSD).
NELS	NonEligibleSecurity	Security not eligible in the Central Securities Depository (CSD).
ONEL	OtherNonEligibility	Other source of non eligibility.

Is restricted by

["NonEligibleProceedsIndicator2Code" on page 621](#)

7.116 OfferType7Code

Definition: Specifies the conditions that apply to the offer.

Type: CodeSet

Code	Name	Definition
ACPR	AcceptancePriority	Event will be paid in a particular priority stated in the offering documentation.

Code	Name	Definition
		Higher tiers of securities will be paid ahead of lower tiers of securities.
DISS	DissenterRights	Securities holder has the right to disagree with a mandatory event. This right is not inherent in all offers and therefore must be noted in announcements only when applicable.
ERUN	RestrictionExchange	Exchange of Restricted to Unrestricted (or vice versa).
FINL	FinalOffer	Final or last offer to holders subject to offer conditions being reached, for example, 50 percent accepted on a tender.
NDIS	DissenterRightsNotApplicable	Dissenter's rights are not applicable for this option of the offer.
PART	PartialOffer	Offer made by the offeror for a portion of the outstanding shares not already owned by the offeror.
SQUE	SqueezeOutBid	Offer launched by a company, in possession of a large number of the shares (90% - 95%) of a company (generally as a result of a tender offer), in order to acquire the remaining shares of that company.

Used in element(s)

"Code" on page 374

Restricts

"OfferTypeV2Code" on page 622

7.117 OfferTypeV2Code

Definition: Specifies the conditions that apply to the offer.

Type: CodeSet

Code	Name	Definition
ACPR	AcceptancePriority	Event will be paid in a particular priority stated in the offering documentation. Higher tiers of securities will be paid ahead of lower tiers of securities.
DISS	DissenterRights	Securities holder has the right to disagree with a mandatory event. This right is not inherent in all offers and therefore must be noted in announcements only when applicable.
ERUN	RestrictionExchange	Exchange of Restricted to Unrestricted (or vice versa).
FCFS	FirstComeFirstServed	Securities will be accepted in the order they have been submitted until the target number of quantity sought is reached.

Code	Name	Definition
FINL	FinalOffer	Final or last offer to holders subject to offer conditions being reached, for example, 50 percent accepted on a tender.
MINI	MiniOddLotOffer	Sale or purchase of odd lots to/from a third party other than the issuing company.
NDIS	DissenterRightsNotApplicable	Dissenter's rights are not applicable for this option of the offer.
PART	PartialOffer	Offer made by the offeror for a portion of the outstanding shares not already owned by the offeror.
SQUE	SqueezeOutBid	Offer launched by a company, in possession of a large number of the shares (90% - 95%) of a company (generally as a result of a tender offer), in order to acquire the remaining shares of that company.

Is restricted by

"OfferType7Code" on page 621

7.118 OptionAvailabilityStatus1Code

Definition: Specifies the status of the option availability.

Type: CodeSet

Code	Name	Definition
CANC	Cancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required.
INTV	Inactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, for example, expired option.

Used in element(s)

"Code" on page 375

Restricts

"OptionAvailabilityStatusCode" on page 623

7.119 OptionAvailabilityStatusCode

Definition: Specifies the status of the option availability.

Type: CodeSet

Code	Name	Definition
CANC	Cancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required.
INTV	Inactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, for example, expired option.

Is restricted by

"OptionAvailabilityStatus1Code" on page 623

7.120 OptionFeatures15Code

Definition: Specifies the features that may apply to a corporate action option.

Type: CodeSet

Code	Name	Definition
ASVO	AccountServicerOption	Option is offered by the account servicer only.
BOIS	BeneficiaryOwnerInstruction	Indicates that the holder needs to instruct at beneficiary owner level only.
CAOS	OptionApplicability	Option applicability is not subject to the account owner decision but depends on the terms defined by the issuer, for example in the case of equity linked notes or warrants.
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
FCFS	FirstComeFirstServed	Securities will be accepted in the order they have been submitted until the target number of quantity sought is reached.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a combination of securities and cash outturn.
NOSE	NoServiceOffered	Feature whereby the holder must elect directly to the issuer's agent (issuer only supported option).
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.
PINS	PreviousInstructionInvalidity	Indicates the previously sent instructions becomes invalid. This is only applicable after a market deadline extension.

Code	Name	Definition
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.
QCAS	InstructCashAmount	Feature whereby the holder should only instruct a cash amount.
RGRS	GrossDividendReinvestment	Gross dividend is reinvested.
RNET	NetDividendReinvestment	Dividend reinvestment is processed net of withholding tax.
SHAR	ShareholderNumber	Indicates usage of a reference number to identify an investor or a shareholder with the issuer or the registration provider (for instance allocation code).
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.

Used in element(s)

"Code" on page 375

Restricts

"OptionFeaturesCode" on page 625

7.121 OptionFeaturesCode

Definition: Specifies the features that may apply to a corporate action option.

Type: CodeSet

Code	Name	Definition
ASVO	AccountServicerOption	Option is offered by the account servicer only.
BOIS	BeneficiaryOwnerInstruction	Indicates that the holder needs to instruct at beneficiary owner level only.
CAOS	OptionApplicability	Option applicability is not subject to the account owner decision but depends on the terms defined by the issuer, for example in the case of equity linked notes or warrants.
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
FCFS	FirstComeFirstServed	Securities will be accepted in the order they have been submitted until the target number of quantity sought is reached.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a

Code	Name	Definition
		combination of securities and cash out-turn.
NOSE	NoServiceOffered	Feature whereby the holder must elect directly to the issuer's agent (issuer only supported option).
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.
PINS	PreviousInstructionInvalidity	Indicates the previously sent instructions becomes invalid. This is only applicable after a market deadline extension.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.
QCAS	InstructCashAmount	Feature whereby the holder should only instruct a cash amount.
QOVE	OverAndAbove	Feature whereby the holder can elect a quantity to receive over and above normal ensured entitlement.
QREC	QuantityToReceive	Feature whereby the holder can elect a quantity to receive.
RGRS	GrossDividendReinvestment	Gross dividend is reinvested.
RNET	NetDividendReinvestment	Dividend reinvestment is processed net of withholding tax.
SHAR	ShareholderNumber	Indicates usage of a reference number to identify an investor or a shareholder with the issuer or the registration provider (for instance allocation code).
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.

Is restricted by

["OptionFeatures15Code" on page 624](#)

7.122 OptionStyle2Code

Definition: Defines how an option can be exercised.

Type: CodeSet

Code	Name	Definition
AMER	American	Option can be exercised before or on expiry date.
EURO	European	Option that can be exercised on expiry date only.

Used in element(s)

["Code" on page 376](#)

Restricts

"OptionStyleCode" on page 627

7.123 OptionStyleCode

Definition: Defines how an option can be exercised.

Type: CodeSet

Code	Name	Definition
AMER	American	Option can be exercised before or on expiry date.
ASIA	Asian	Option where the payoff is not determined by the underlying price at maturity but by the average underlying price over some pre-set period of time.
BERM	Bermudan	Option that can be exercised on multiple discrete dates prior to, or on expiry date.
CANA	Canary	Option whose exercise style lies somewhere between European options and Bermudan options. The holder can exercise the option at quarterly dates, but not before a set time period (typically one year) has elapsed.
EURO	European	Option that can be exercised on expiry date only.
OTHR	Other	Other type of option style.

Is restricted by

"OptionStyle2Code" on page 626

7.124 ParentCurrencyCode

Definition: Code allocated to a currency, by a maintenance agency, under an international identification scheme as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds". Valid currency codes are registered with the ISO 4217 Maintenance Agency, and consist of three contiguous letters.

Type: CodeSet

Format

pattern [A-Z]{3,3}

Is restricted by

"ActiveCurrencyCode" on page 506, "ActiveOrHistoricCurrencyCode" on page 507

7.125 Payment2Code

Definition: Indicates whether the cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer or upon receipt of proceeds from the issuer.

Type: CodeSet

Code	Name	Definition
ACTU	ActualPayment	The cash payment occurs or will occur upon receipt of proceeds from the issuer.

Used in element(s)

"ContractualPaymentIndicator" on page 70

Restricts

"PaymentCode" on page 628

7.126 PaymentCode

Definition: Indicates whether the cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer or upon receipt of proceeds from the issuer.

Type: CodeSet

Code	Name	Definition
ACTU	ActualPayment	The cash payment occurs or will occur upon receipt of proceeds from the issuer.
CONT	ContractualPayment	The cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer.

Is restricted by

"Payment2Code" on page 627

7.127 Percentage14Rate

Definition: Rate expressed as a percentage, that is, in hundredths, for example, 0.7 is 7/10 of a percent, and 7.0 is 7% with 13 fractional digits maximum and 14 total digits.

Type: Rate

Format

fractionDigits	13
totalDigits	14

Used in element(s)

"PriceValue" on page 385, "Rate" on page 430, "Rate" on page 432, "Rate" on page 439, "Rate" on page 440, "Rate" on page 442, "Rate" on page 443, "Rate" on page 431, "Rate" on page 433, "Rate" on page 434, "Rate" on page 362, "Rate" on page 428, "Rate" on page 460, "Rate" on page 435, "Rate" on

page 460, "Rate" on page 441, "Rate" on page 495, "Rate" on page 437, "Rate" on page 461, "TransformationRate" on page 230

7.128 PriceCalculationMethod1Code

Definition: Standard code to specify the method applied for the calculation of the price.

Type: CodeSet

Code	Name	Definition
AVCL	AverageClosingPrice	Price of the asset at close of a given day.
MIMP	MidMarketPrice	Price at a certain amount of time prior to the date it is announced.
NAVA	NetAssetValue	Net value of an investment fund's assets less its liabilities, divided by the number of shares outstanding.
NAVF	FinalAssetValue	Final asset value at the liquidation of the fund.
VWAP	VolumeWeightedAveragePrice	The price is based on a volume-weighted average price which is a ratio of the cumulative share price to the cumulative volume traded over a given time period.

Used in element(s)

"Code" on page 391

Restricts

"PriceCalculationMethodCode" on page 629

7.129 PriceCalculationMethodCode

Definition: Specifies the method applied for the calculation of the price.

Type: CodeSet

Code	Name	Definition
AVCL	AverageClosingPrice	Price of the asset at close of a given day.
MIMP	MidMarketPrice	Price at a certain amount of time prior to the date it is announced.
NAVA	NetAssetValue	Net value of an investment fund's assets less its liabilities, divided by the number of shares outstanding.
NAVF	FinalAssetValue	Final asset value at the liquidation of the fund.
VWAP	VolumeWeightedAveragePrice	The price is based on a volume-weighted average price which is a ratio of the cumulative share price to the cumulative volume traded over a given time period.

Is restricted by

["PriceCalculationMethod1Code" on page 629](#)

7.130 PriceRateType3Code

Definition: Specifies the type of price rate.

Type: CodeSet

Code	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
YIEL	Yield	Price expressed as a yield.

Used in element(s)

["PercentagePriceType" on page 385](#)

Restricts

["PriceValueTypeCode" on page 631](#)

7.131 PriceValueType10Code

Definition: Specifies the value of a price.

Type: CodeSet

Code	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

Used in element(s)

["NotSpecifiedPrice" on page 399](#), ["NotSpecifiedPrice" on page 401](#), ["NotSpecifiedPrice" on page 395](#), ["NotSpecifiedPrice" on page 394](#)

Restricts

["PriceValueTypeCode" on page 631](#)

7.132 PriceValueType8Code

Definition: Specifies a type of price value.

Type: CodeSet

Code	Name	Definition
NILP	NilPayment	Price will not be paid.
TBSP	ToBeSpecified	Price to be specified by account owner.
UKWN	Unknown	Price is unknown by the sender or has not been established.
UNSP	Unspecified	Price is not required to be specified by account owner.

Used in element(s)

"NotSpecifiedPrice" on page 397

Restricts

"PriceValueTypeCode" on page 631

7.133 PriceValueTypeCode

Definition: Price will not be paid.

Type: CodeSet

Code	Name	Definition
ABSO	Absolute	Price is expressed as absolute.
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
NEGA	NegativeActualAmount	Price must be interpreted as a negative amount of currency per unit or per share.
NILP	NilPayment	Price will not be paid.
OPEN	OpenDated	Price has not been established.
PARV	Par	Price is the face amount.
PEUN	PerUnit	Price expressed per unit.
PRCT	Percentage	Price expressed as a percentage of par.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
SPRE	Spread	Difference between a market maker's bid and asked price.
TBSP	ToBeSpecified	Price to be specified by account owner.

Code	Name	Definition
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
UKWN	Unknown	Price is unknown by the sender or has not been established.
UNSP	Unspecified	Price is not required to be specified by account owner.
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
YIEL	Yield	Price expressed as a yield.

Is restricted by

"PriceRateType3Code" on page 630, "PriceValueType10Code" on page 630, "PriceValueType8Code" on page 630

7.134 ProcessingPosition3Code

Definition: Specifies the processing position.

Type: CodeSet

Code	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.

Used in element(s)

"Code" on page 402

Restricts

"ProcessingPositionCode" on page 632

7.135 ProcessingPositionCode

Definition: Specifies when an transaction/instruction is to be executed relative to a linked transaction/instruction.

Type: CodeSet

Code	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.

Is restricted by

"ProcessingPosition3Code" on page 632

7.136 ProrationBelowMinimumQuantity2Code

Definition: Specifies the type of proration when below the minimum quantity to instruct.

Type: CodeSet

Code	Name	Definition
FULL	AcceptedInFull	Instructions will be accepted in full (with their original quantity of securities instructed).
MIEX	MinimumQuantity	Instructions will be accepted but prorated to the minimum quantity to instruct.
MILD	RoundDown	Instructions will be accepted but rounded down to the specified minimum multiple quantity to instruct.
MILU	RoundUp	Instructions will be accepted but rounded up to the specified minimum multiple quantity to instruct.
REJT	Rejected	Instructions will be rejected.
UKWN	Unknown	Processing of instructions prorated below the minimum quantity to instruct is unknown.

Used in element(s)

"Code" on page 410

Restricts

"ProrationBelowMinimumQuantityCode" on page 634

7.137 ProrationBelowMinimumQuantityCode

Definition: Specifies the type of proration when below the minimum quantity to instruct.

Type: CodeSet

Code	Name	Definition
FULL	AcceptedInFull	Instructions will be accepted in full (with their original quantity of securities instructed).
MIEX	MinimumQuantity	Instructions will be accepted but prorated to the minimum quantity to instruct.
MILD	RoundDown	Instructions will be accepted but rounded down to the specified minimum multiple quantity to instruct.
MILT	MultipleQuantity	Instructions will be accepted but rounded up or down to the specified minimum multiple quantity to instruct according to the value of the disposition of fraction indicator.
MILU	RoundUp	Instructions will be accepted but rounded up to the specified minimum multiple quantity to instruct.
REJT	Rejected	Instructions will be rejected.
UKWN	Unknown	Processing of instructions prorated below the minimum quantity to instruct is unknown.

Is restricted by

"ProrationBelowMinimumQuantity2Code" on page 633

7.138 Quantity4Code

Definition: Specifies quantity of a financial instrument.

Type: CodeSet

Code	Name	Definition
ANYA	AnyAndAll	Instruction applies to the entire eligible balance of underlying securities.
UKWN	UnknownQuantity	Quantity is unknown by the sender or has not been established.

Used in element(s)

"Code" on page 316

Restricts

"QuantityCode" on page 635

7.139 Quantity5Code

Definition: Specifies quantity of a financial instrument.

Type: CodeSet

Code	Name	Definition
UKWN	UnknownQuantity	Quantity is unknown by the sender or has not been established.

Used in element(s)

"Code" on page 317, "Code" on page 321

Restricts

"QuantityCode" on page 635

7.140 QuantityCode

Definition: Quantity is unknown by the sender or has not been established.

Type: CodeSet

Code	Name	Definition
ANYA	AnyAndAll	Instruction applies to the entire eligible balance of underlying securities.
OPEN	Open	Quantity has not been established.
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.
UKWN	UnknownQuantity	Quantity is unknown by the sender or has not been established.

Is restricted by

"Quantity4Code" on page 634, "Quantity5Code" on page 634

7.141 RateStatus1Code

Definition: Specifies the type of rate.

Type: CodeSet

Code	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

Used in element(s)

"Code" on page 443, "RateStatus" on page 49

Restricts

"RateStatusCode" on page 636

7.142 RateStatusCode

Definition: Specifies the type of rate.

Type: CodeSet

Code	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

Is restricted by

"RateStatus1Code" on page 635

7.143 RateType10Code

Definition: Specifies the type of rate.

Type: CodeSet

Code	Name	Definition
ANYA	AnyAndAll	Any and all rate is sought.
UKWN	Unknown	Rate is unknown by the sender or has not been established.

Used in element(s)

"NotSpecifiedRate" on page 440

Restricts

"RateTypeCode" on page 638

7.144 RateType13Code

Definition: Specifies the type of rate.

Type: CodeSet

Code	Name	Definition
NILP	NilPayment	Rate will not be paid.
UKWN	Unknown	Rate is unknown by the sender or has not been established.

Used in element(s)

"NotSpecifiedRate" on page 355, "NotSpecifiedRate" on page 363, "NotSpecifiedRate" on page 357

Restricts

"RateTypeCode" on page 638

7.145 RateType14Code

Definition: Specifies the type of rate.

Type: CodeSet

Code	Name	Definition
JLID	IssuerDiscretion	Indicates that there will not be a uniform proration rate that applies to all instructions or accounts.
UKWN	Unknown	Rate is unknown by the sender or has not been established.

Used in element(s)

["NotSpecifiedRate" on page 443](#)

Restricts

["RateTypeCode" on page 638](#)

7.146 RateType5Code

Definition: Specifies the type of rate.

Type: CodeSet

Code	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

Used in element(s)

["NotSpecifiedRate" on page 439](#), ["NotSpecifiedRate" on page 439](#), ["NotSpecifiedRate" on page 442](#)

Restricts

["RateTypeCode" on page 638](#)

7.147 RateType7Code

Definition: Specifies the type of rate.

Type: CodeSet

Code	Name	Definition
SCHD	Scheduled	Rate of the scheduled payment.
USCD	Unscheduled	Rate of the unscheduled payment.

Used in element(s)

["Code" on page 444](#)

Restricts

["InterestRateTypeCode" on page 606](#)

7.148 RateTypeCode

Definition: Any and all rate is sought.

Type: CodeSet

Code	Name	Definition
ANYA	AnyAndAll	Any and all rate is sought.
ATAX	AdditionalTax	Rate used for additional tax that cannot be categorised.
CHAR	Charges	Rate used to calculate the amount of the charges/fees that cannot be categorised.
CINL	CashInLieuOfSecurities	Rate used to calculate the cash disbursement in lieu of a fractional quantity of, for example, equity.
FIXE	Fixed	Rate is fixed.
FORF	Forfeit	No specific repurchase rate applies to the transaction Repo, only a forfeit.
GRSS	Gross	Cash dividend per equity before deductions or allowances have been made.
IMPU	Imputed	Imputed tax.
INCE	CashIncentive	Rate of the cash premium made available if the securities holder consents or participates to an event, for example, consent fees.
JLID	IssuerDiscretion	Indicates that there will not be a uniform proration rate that applies to all instructions or accounts.
LIDT	LocalTax	Interest liable for interest down payment tax (proportion of gross interest per unit/ interim profits that is not covered by the credit in the interest pool).
NETT	Net	Relates to the netting of settlement instructions.
NILP	NilPayment	Rate will not be paid.
OPEN	Open	Rate has not been established.
PREC	Precompte	Rate is a precompte.
SCHD	Scheduled	Rate of the scheduled payment.
SOFE	Sollicitation	Cash rate made available in an offer in order to encourage participation in the offer.
STAM	StampDuty	Financial instrument has not been stamped and/or duly signed.
STEX	StockExchangeTax	Rate of stock exchange tax.
TAXR	WithholdingTax	Relates to a tax refund from the authorities on the associated corporate action event.
TIER	OneTierTax	Rate is a one tier tax.

Code	Name	Definition
TRAN	TransferTax	Transaction has been generated due to transformation following a corporate action.
TRAX	TransactionTax	Rate used to calculate the amount of transaction tax.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFeeAmount	Rate relating to the underlying security which is not taxable.
UKWN	Unknown	Rate is unknown by the sender or has not been established.
USCD	Unscheduled	Rate of the unscheduled payment.
VARI	Variable	Rate is variable.
WITF	WithholdingOfForeignTax	Rate at which the income will be withheld by the jurisdiction in which the income was originally paid, for which relief at source and/or reclaim may be possible.
WITL	WithholdingOfLocalTax	Rate at which the income will be withheld by the jurisdiction in which the account owner is located, for which relief at source and/or reclaim may be possible.

Is restricted by

["RateType10Code" on page 636](#), ["RateType13Code" on page 636](#), ["RateType14Code" on page 636](#), ["RateType5Code" on page 637](#), ["RateValueType7Code" on page 639](#)

7.149 RateValueType7Code

Definition: Specifies the value of a rate.

Type: CodeSet

Code	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

Used in element(s)

["NotSpecifiedRate" on page 430](#), ["NotSpecifiedRate" on page 432](#), ["NotSpecifiedRate" on page 431](#), ["NotSpecifiedRate" on page 433](#), ["NotSpecifiedRate" on page 371](#), ["NotSpecifiedRate" on page 429](#), ["NotSpecifiedRate" on page 435](#), ["NotSpecifiedRate" on page 462](#), ["NotSpecifiedRate" on page 463](#), ["NotSpecifiedRate" on page 441](#), ["NotSpecifiedRate" on page 496](#), ["NotSpecifiedRate" on page 372](#), ["NotSpecifiedRate" on page 428](#), ["NotSpecifiedRate" on page 437](#)

Restricts

["RateTypeCode" on page 638](#)

7.150 RenounceableStatus1Code

Definition: Specifies the renounceable status.

Type: CodeSet

Code	Name	Definition
NREN	NonRenounceable	Intermediate securities cannot be sold.
RENO	Renounceable	Intermediate securities can be sold.

Used in element(s)

"Code" on page 465

Restricts

"RenounceableStatusCode" on page 640

7.151 RenounceableStatusCode

Definition: Specifies the renounceable status.

Type: CodeSet

Code	Name	Definition
NREN	NonRenounceable	Intermediate securities cannot be sold.
RENO	Renounceable	Intermediate securities can be sold.

Is restricted by

"RenounceableStatus1Code" on page 639

7.152 RestrictedFINActiveCurrencyAnd13Decimal-Amount

Definition: A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217. The number of fractional digits (or minor unit of currency) is not checked as per ISO 4217: It must be lesser than or equal to 13.

Note: The decimal separator is a dot.

Type: Amount

This data type must be used with the following XML Attributes:

Attribute	Tag	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 506

Format

fractionDigits	13
minInclusive	0
totalDigits	14

Used in element(s)

["Amount" on page 431](#), ["Amount" on page 433](#), ["Amount" on page 434](#), ["Amount" on page 354](#), ["Amount" on page 48](#), ["Amount" on page 454](#), ["Amount" on page 370](#), ["Amount" on page 456](#), ["Amount" on page 362](#), ["Amount" on page 450](#), ["Amount" on page 429](#), ["Amount" on page 436](#), ["Amount" on page 452](#), ["Amount" on page 47](#), ["Amount" on page 441](#), ["Amount" on page 54](#), ["Amount" on page 356](#), ["Amount" on page 457](#), ["Amount" on page 495](#), ["Amount" on page 371](#), ["Amount" on page 458](#), ["Amount" on page 428](#), ["Amount" on page 437](#), ["Amount" on page 453](#), ["Amount1" on page 56](#), ["Amount2" on page 56](#), ["PriceValue" on page 49](#), ["PriceValue" on page 50](#), ["PriceValue" on page 52](#), ["PriceValue" on page 55](#), ["PriceValue" on page 53](#)

7.153 RestrictedFINActiveCurrencyAndAmount

Definition: A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217.

Type: Amount

This data type must be used with the following XML Attributes:

Attribute	Tag	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 506

Format

fractionDigits	5
minInclusive	0
totalDigits	14

Rules**R94 CurrencyAmount ✓**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00007
- *Error Text:* Invalid currency code or too many decimal digits

Used in element(s)

["AccruedInterestAmount" on page 114](#), ["AdditionalTaxAmount" on page 108](#), ["BackUpWithholdingTaxAmount" on page 115](#), ["BuyUpAmount" on page 118](#), ["CapitalGain" on page 104](#), ["CashInLieuOfShare" on page 104](#), ["ChargesAmount" on page 111](#), ["DeemedAmount" on page 116](#), ["DeemedDividendAmount" on page 117](#), ["DeemedFundAmount" on page 117](#), ["DeemedInterestAmount" on page 117](#), ["DeemedRoyaltiesAmount" on page 118](#), ["EUTaxRetentionAmount" on page 114](#), ["EntitledAmount" on page 112](#), ["EqualisationAmount" on page 114](#), ["ExecutingBrokerAmount" on page 110](#), ["FATCATaxAmount" on page 115](#), ["FiscalStampAmount" on page 109](#), ["ForeignIncomeAmount" on page 116](#), ["FullyFrankedAmount" on page 106](#), ["GrossAmount" on page 103](#), ["IncomePortion" on page 113](#), ["IndemnityAmount" on page 105](#), ["InterestAmount" on page 104](#), ["LocalBrokerCommissionAmount" on page 110](#), ["ManufacturedDividendPaymentAmount" on page 105](#), ["NRATaxAmount"](#)

[on page 115](#), ["NetAmount" on page 103](#), ["OriginalAmount" on page 112](#), ["PayingAgentCommissionAmount" on page 110](#), ["PrincipalOrCorpus" on page 112](#), ["RedemptionPremiumAmount" on page 113](#), ["RegulatoryFeesAmount" on page 111](#), ["ReinvestmentAmount" on page 105](#), ["ResultingAmount" on page 323](#), ["SecondLevelTaxAmount" on page 101](#), ["SecondLevelTaxAmount" on page 109](#), ["ShippingFeesAmount" on page 111](#), ["SolicitationFees" on page 103](#), ["StampDutyAmount" on page 108](#), ["StockExchangeTax" on page 113](#), ["SundryOrOtherAmount" on page 106](#), ["TaxCreditAmount" on page 108](#), ["TaxDeferredAmount" on page 107](#), ["TaxFreeAmount" on page 107](#), ["TaxOnIncomeAmount" on page 115](#), ["TaxReclaimAmount" on page 108](#), ["TransactionTax" on page 116](#), ["UnfrankedAmount" on page 106](#), ["ValueAddedTaxAmount" on page 107](#), ["WithholdingTaxAmount" on page 100](#), ["WithholdingTaxAmount" on page 109](#)

7.154 RestrictedFINDecimalNumber

Definition: Number of objects represented as a decimal number, for example, 0.75 or 45.6.

Type: Quantity

Format

<code>fractionDigits</code>	14
<code>totalDigits</code>	14

Used in element(s)

["IndexPoints" on page 434](#), ["IndexPoints" on page 399](#), ["IndexPoints" on page 397](#), ["Quantity" on page 403](#), ["Quantity" on page 404](#), ["Quantity" on page 287](#), ["Quantity" on page 47](#), ["Quantity1" on page 416](#), ["Quantity2" on page 416](#), ["Unit" on page 291](#), ["Unit" on page 314](#), ["Unit" on page 318](#)

7.155 RestrictedFINExact2Text

Definition: Specifies a character string with an exact length of 2 characters that must have a pattern XX|TS.

Type: Text

Format

<code>length</code>	2
<code>pattern</code>	XX TS

Used in element(s)

["Proprietary" on page 358](#)

7.156 RestrictedFINImpliedCurrencyAndAmount

Definition: Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

Type: Amount

Format

fractionDigits	5
minInclusive	0
totalDigits	14

Used in element(s)

["AmortisedValue" on page 306](#), ["AmortisedValue" on page 378](#), ["AmortisedValue" on page 315](#), ["AmortisedValue" on page 320](#), ["AmortisedValue" on page 377](#), ["FaceAmount" on page 299](#), ["FaceAmount" on page 378](#), ["FaceAmount" on page 314](#), ["FaceAmount" on page 319](#), ["FaceAmount" on page 377](#)

7.157 RestrictedFINX2Max34Text

Definition: Specifies a character string with a maximum length of 34 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

maxLength	34
minLength	1
pattern	[0-9a-zA-Z/\-?:(\)\.,'\+]{1,34}

Used in element(s)

["Proprietary" on page 68](#)

7.158 RestrictedFINXMax140Text

Definition: Specifies a character string with a maximum length of 140 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

maxLength	140
minLength	1
pattern	[0-9a-zA-Z/\-?:(\)\.\\n\\r,'\+]{1,140}

Used in element(s)

["BlockchainAddressOrWallet" on page 42](#), ["Description" on page 491](#), ["Name" on page 367](#)

7.159 RestrictedFINXMax16Text

Definition: Specifies a character string with a maximum length of 16 characters. It has a pattern that disables the use of characters that is not part of the character set X, that is, that is not a-z A-Z / - ? : () . , ' + , and disable the use of slash "/" at the beginning and end of line and double slash "/" within the line.

Type: Text

Format

maxLength	16
minLength	1
pattern	([0-9a-zA-Z\-\:\(\)\.,\'+]([0-9a-zA-Z\-\:\(\)\.,\'+]*([0-9a-zA-Z\-\:\(\)\.,\'+])?*))

Used in element(s)

["AccountOwnerDocumentIdentification" on page 260](#), ["AccountServicerDocumentIdentification" on page 260](#), ["ClassActionNumber" on page 166](#), ["CorporateActionEventIdentification" on page 165](#), ["Identification" on page 257](#), ["Identification" on page 261](#), ["LinkedCorporateActionIdentification" on page 160](#), ["LinkedOfficialCorporateActionEventIdentification" on page 160](#), ["NotificationIdentification" on page 183](#), ["OfficialCorporateActionEventIdentification" on page 165](#)

7.160 RestrictedFINXMax30Text

Definition: Specifies a character string with a maximum length of 30 characters. It has a pattern that disables the use of characters that is not part of the character set X, that is, that is not a-z A-Z / - ? : () . , ' + , and disable the use of slash "/" at the beginning and end of line and double slash "/" within the line.

Type: Text

Format

maxLength	30
minLength	1
pattern	([0-9a-zA-Z\-\:\(\)\.,\'+]([0-9a-zA-Z\-\:\(\)\.,\'+]*([0-9a-zA-Z\-\:\(\)\.,\'+])?*))

Used in element(s)

["Description" on page 367](#), ["Identification" on page 348](#), ["Identification" on page 471](#), ["Identification" on page 353](#), ["Identification" on page 472](#), ["LongIdentification" on page 357](#)

7.161 RestrictedFINXMax31Text

Definition: Specifies a character string with a maximum length of 31 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

maxLength	31
minLength	1
pattern	[0-9a-zA-Z\-\:\(\)\.,\'+]{1,31}

Used in element(s)

["Identification" on page 379](#)

7.162 RestrictedFINXMax34Text

Definition: Specifies a character string with a maximum length of 34 characters. It has a pattern that disables the use of characters that is not part of the character set X, that is, that is not a-z A-Z / - ? : () . , ' + , and disable the use of slash "/" at the beginning and end of line and double slash "/" within the line.

Type: Text

Format

maxLength	34
minLength	1
pattern	<code>(([0-9a-zA-Z\-\?:(\)\.,\'+] ([0-9a-zA-Z\-\?:(\)\.,\'+])*([0-9a-zA-Z\-\?:(\)\.,\'+])?</code>

Used in element(s)

["Identification" on page 349](#)

7.163 RestrictedFINXMax350Text

Definition: Specifies a character string with a maximum length of 350 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

maxLength	350
minLength	1
pattern	<code>[0-9a-zA-Z\-\?:(\)\.\n\r,\'+]{1,350}</code>

Used in element(s)

["AdditionalInformation" on page 501](#), ["AdditionalInformation" on page 503](#), ["NewCompanyName" on page 171](#), ["NewPlaceOfIncorporation" on page 99](#), ["Offeror" on page 171](#)

7.164 RestrictedFINXMax35Text

Definition: Specifies a character string with a maximum length of 35 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

maxLength	35
minLength	1
pattern	<code>[0-9a-zA-Z\-\?:(\)\.,\'+]{1,35}</code>

Used in element(s)

["SafekeepingAccount" on page 41](#)

7.165 RestrictedFINZMax2048Text

Definition: Specifies a character string with a maximum length of 2048 characters limited to character set Z that is, a-z A-Z / - ? : () . , ' += ! " % & * < > ; @ # .

Type: Text

Format

maxLength	2048
minLength	1
pattern	[0-9a-zA-Z!"%&*; <> \.,\(\)\n\r/= \+: \? @# \{ \- _] {1,2048}

Used in element(s)

"EventProcessingWebSiteAddress" on page 171, "URLAddress" on page 505

7.166 RestrictedFINZMax8000Text

Definition: Specifies a character string with a maximum length of 8000 characters limited to character set Z, that is, a-z A-Z / - ? : () . , ' += ! " % & * < > ; @ # .

Type: Text

Format

maxLength	8000
minLength	1
pattern	[0-9a-zA-Z!"%&*; <> \.,\(\)\n\r/= \+: \? @# \{ \- _] {1,8000}

Used in element(s)

"AdditionalInformation" on page 502

7.167 SafekeepingAccountIdentification1Code

Definition: Specifies the account identification via a code.

Type: CodeSet

Code	Name	Definition
GENR	General	Announcement applies to all safekeeping accounts that own underlying financial instrument. (Used for general or preliminary announcements.).

Used in element(s)

"IdentificationCode" on page 44

Restricts

"SafekeepingAccountIdentificationCode" on page 647

7.168 SafekeepingAccountIdentificationCode

Definition: Specifies the account identification via a code.

Type: CodeSet

Code	Name	Definition
GENR	General	Announcement applies to all safekeeping accounts that own underlying financial instrument. (Used for general or preliminary announcements.).

Is restricted by

"SafekeepingAccountIdentification1Code" on page 646

7.169 SafekeepingPlace1Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

Code	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Used in element(s)

"SafekeepingPlaceType" on page 470

Restricts

"SafekeepingPlaceCode" on page 648

7.170 SafekeepingPlace2Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

Code	Name	Definition
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Used in element(s)

["SafekeepingPlaceType" on page 471](#)

Restricts

["SafekeepingPlaceCode" on page 648](#)

7.171 SafekeepingPlace3Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

Code	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Used in element(s)

["SafekeepingPlaceType" on page 472](#)

Restricts

["SafekeepingPlaceCode" on page 648](#)

7.172 SafekeepingPlaceCode

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

Code	Name	Definition
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Is restricted by

["SafekeepingPlace1Code" on page 647](#), ["SafekeepingPlace2Code" on page 647](#), ["SafekeepingPlace3Code" on page 648](#)

7.173 ShortLong1Code

Definition: Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

Type: CodeSet

Code	Name	Definition
LONG	Long	Position is long, that is, the balance is positive.
SHOR	Short	Position is short, that is, the balance is negative. Usage Guideline restrictions for this code <ul style="list-style-type: none"> • This code(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/OutForRegistrationBalance/NotEligibleBalance/ShortLongPosition/Short) is removed. • This code(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/NotEligibleBalance/ShortLongPosition/Short) is removed. • This code(seev.031.001.16/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance/NotEligibleBalance/ShortLongPosition/Short) is removed.

Used in element(s)

["ShortLongPosition" on page 378](#), ["ShortLongPosition" on page 492](#), ["ShortLongPosition" on page 403](#), ["ShortLongPosition" on page 493](#)

Restricts

["ShortLongCode" on page 649](#)

7.174 ShortLongCode

Definition: Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

Type: CodeSet

Code	Name	Definition
LONG	Long	Position is long, that is, the balance is positive.
SHOR	Short	Position is short, that is, the balance is negative.

Is restricted by

"ShortLong1Code" on page 648

7.175 WithholdingTaxRateType1Code

Definition: Specifies the type of withholding tax rate.

Type: CodeSet

Code	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

Used in element(s)

"Code" on page 446

Restricts

"WithholdingTaxRateTypeCode" on page 650

7.176 WithholdingTaxRateTypeCode

Definition: Specifies the type of withholding tax rate.

Type: CodeSet

Code	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

Is restricted by

"WithholdingTaxRateType1Code" on page 650

7.177 YesNoIndicator

Definition: Indicates a "Yes" or "No" type of answer for an element.

Type: Indicator

Meaning When True: Yes

Meaning When False: No

Used in element(s)

"AccruedInterestIndicator" on page 90, "AppliedOptionIndicator" on page 192, "CertificationBreakdownIndicator" on page 89, "CertificationBreakdownIndicator" on page 191, "ChangeAllowedIndicator" on page 192, "ChargesAppliedIndicator" on page 89, "ChargesAppliedIndicator" on page 191, "DefaultOptionIndicator" on page 255, "ForfeitureOfInterestIndicator" on page 90, "LastPageIndicator" on page 381, "LetterOfGuaranteedDeliveryIndicator" on page 90, "RestrictionIndicator" on page 89, "ReverseDutchAuctionIndicator" on page 99, "ShareholderRightsDirectiveIndicator" on page 90, "StandingInstructionIndicator" on page 255, "TemporaryIndicator" on page 498, "WithdrawalAllowedIndicator" on page 191

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